# Automatic Software Verification by Abstract Interpretation: Numerical Abstract Domains

Patrick Cousot

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Major difficulties for numerical software verification

- Machine integers are not mathematical integers  $\mathbb{Z}$
- Machine floats are not mathematical reals  $\mathbb R$



# 1. Numerical bugs

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# Integer bugs

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```
#include <stdio.h>
int fact (int n ) {
                                           3
  int r, i;
  r = 1;
  for (i=2; i<=n; i++) {</pre>
                                           4
    r = r*i;
  }
                                           %
  return r;
}
int main() { int n;
  scanf("%d",&n);
  printf("%d!=%d\n",n,fact(n));
}
```

```
% gcc fact.c -o fact.exec
% ./fact.exec
3
3! = 6
% ./fact.exec
4
4! = 24
%
```

```
#include <stdio.h>
int fact (int n ) {
  int r, i;
  r = 1;
  for (i=2; i<=n; i++) {</pre>
    r = r*i;
  }
  return r;
}
int main() { int n;
  scanf("%d",&n);
  printf("%d!=%d\n",n,fact(n));
}
```

```
% gcc fact.c -o fact.exec
% ./fact.exec
3
3! = 6
% ./fact.exec
4
4! = 24
% ./fact.exec
100
```

```
#include <stdio.h>
int fact (int n ) {
  int r, i;
  r = 1;
  for (i=2; i<=n; i++) {</pre>
    r = r*i;
  }
  return r;
}
int main() { int n;
  scanf("%d",&n);
  printf("%d!=%d\n",n,fact(n));
}
```

```
% gcc fact.c -o fact.exec
% ./fact.exec
3
3! = 6
% ./fact.exec
4
4! = 24
% ./fact.exec
100
100! = 0
%
```

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```
#include <stdio.h>
int fact (int n ) {
  int r, i;
  r = 1;
  for (i=2; i<=n; i++) {</pre>
    r = r*i;
  }
  return r;
}
int main() { int n;
  scanf("%d",&n);
  printf("%d!=%d\n",n,fact(n));
}
```

```
% gcc fact.c -o fact.exec
% ./fact.exec
3
3! = 6
% ./fact.exec
4
4! = 24
% ./fact.exec
100
100! = 0
% ./fact.exec
20
```

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```
#include <stdio.h>
int fact (int n ) {
  int r, i;
  r = 1;
  for (i=2; i<=n; i++) {</pre>
    r = r*i:
  }
  return r;
}
int main() { int n;
  scanf("%d",&n);
  printf("%d!=%d\n",n,fact(n));
}
```

```
% gcc fact.c -o fact.exec
% ./fact.exec
3
3! = 6
% ./fact.exec
4
4! = 24
% ./fact.exec
100
100! = 0
% ./fact.exec
20
20! = -2102132736
```

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#### Modular integer arithmetics

- Computers use integer modular arithmetics on n bits (where n = 16, 32, 64, etc)
- Example of an integer representation on 4 bits (in complement to two) :



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### Modular integer arithmetics

- Computers use integer modular arithmetics on n bits (where n = 16, 32, 64, etc)
- Example of an integer representation on 4 bits (in complement to two) :



Only integers between -8 and7 can be represented on 4 bits

- We get 
$$7 + 2 = -7$$

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#### Proof of absence of runtime error by static analysis

%

```
% cat -n fact_lim.c
 1 \text{ int MAXINT} = 2147483647;
 2 int fact (int n) {
 3
      int r, i;
      if (n < 1) || (n = MAXINT) {
 4
          r = 0;
 5
      } else {
 6
 7
          r = 1;
          for (i = 2; i<=n; i++) {</pre>
 8
               if (r <= (MAXINT / i)) {
 9
10
                   r = r * i;
11
               } else {
12
                   r = 0;
13
               }
14
          }
15
      }
16
      return r;
17 }
18
```

```
19 int main() {
          int n, f;
    20
    21
          f = fact(n);
    22 }
% astree -exec-fn main fact_lim.c |& grep WARN
```

```
\rightarrow No alarm!
```



# Float bugs

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## Floats

- Floating point numbers are a finite subset of the rationals
- For example one can represent 32 floats on 6 bits, the 16 positive normalized floats spread as follows on the line:



 When real computations do not spot on a float, one must *round* the result to a close float Example of rounding error (1)

$$(x+a)-(x-a)=2a$$

```
#include <stdio.h>
int main() {
    double x, a; float y, z;
    x = 1125899973951488.0;
    a = 1.0;
    y = (x+a);
    z = (x-a);
    printf("%f\n", y-z);
}
```

% gcc arrondi1.c -o arrondi1.exec % ./arrondi1.exec

Example of rounding error (1)

$$(x+a)-(x-a)
eq 2a$$

```
#include <stdio.h>
int main() {
    double x, a; float y, z;
    x = 1125899973951488.0;
    a = 1.0;
    y = (x+a);
    z = (x-a);
    printf("%f\n", y-z);
}
```

% gcc arrondi1.c -o arrondi1.exec % ./arrondi1.exec 134217728.000000 %

Example of rounding error (2)

$$(x+a)-(x-a)
eq 2a$$

```
#include <stdio.h>
int main() {
    double x, a; float y, z;
    x = 1125899973951487.0;
    a = 1.0;
    y = (x+a);
    z = (x-a);
    printf("%f\n", y-z);
}
```

% gcc arrondi2.c -o arrondi2.exec % ./arrondi2.exec

Example of rounding error (2)

$$(x+a)-(x-a)
eq 2a$$

```
#include <stdio.h>
int main() {
    double x, a; float y, z;
    x = 1125899973951487.0;
    a = 1.0;
    y = (x+a);
    z = (x-a);
    printf("%f\n", y-z);
}
```

% gcc arrondi2.c -o arrondi2.exec % ./arrondi2.exec 0.000000 %

# Rounding (1)



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# Rounding (2)



Proof of absence of runtime error by static analysis

```
% cat -n arrondi3.c
     1 int main() {
     2
           double x; float y, z, r;;
           x = 1125899973951488.0;
     З
     4 y = x + 1;
     5 z = x - 1;
     6 	 r = y - z;
     7 __ASTREE_log_vars((r));
     8 }
\% astree -exec-fn main -print-float-digits 10 arrondi3.c \setminus
  |& grep "r in "
direct = <float-interval: r in [-134217728, 134217728] ><sup>(1)</sup>
```

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<sup>(1)</sup> ASTRÉE considers the worst rounding case (towards  $+\infty$ ,  $-\infty$ , 0 or to the nearest) whence the possibility to obtain -134217728.

#### The verification is done in the worst case



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Examples of bugs due to rounding errors

- The patriot missile bug missing Scuds in 1991 because of a software clock incremented by  $\frac{1}{10}$  th of a seconde  $((0,1)_{10} = (0,0001100110011001100...)_2$  in binary)
- The Exel 2007 bug : 77.1  $\times$  850 gives 65,535 but displays as 100,000!  $^{(2)}$

2	65535-2^(-37)	100000	65536-2^(-37)	100001
3	65535-2^(-36)	100000	65536-2^(-36)	100001
4	65535-2^(-35)	100000	65536-2^(-35)	100001
5	65535-2^(-34)	65535	65536-2^(-34)	65536
6	65535-2^(-36)-2^(-37)	100000	65536-2^(-36)-2^(-37)	100001
7	65535-2^(-35)-2^(-37)	100000	65536-2^(-35)-2^(-37)	100001
8	65535-2^(-35)-2^(-36)	100000	65536-2^(-35)-2^(-36)	100001
9	65535-2^(-35)-2^(-36)-2^(-37)	65535	65536-2^(-35)-2^(-36)-2^(-37)	65536

<sup>(2)</sup> Incorrect float rounding which leads to an alignment error in the conversion table while translating 64 bits IEEE 754 floats into a Unicode character string. The bug appears exactly for six numbers between 65534.99999999995 and 65535 and six between 65535.99999999995 and 65536.

# 2. Software verification

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Major difficulties for software verification: undecidability and complexity

- The mathematical proof problem is undecidable<sup>(3)</sup>
- Even assuming finite states, the complexity is much too high for combinatorial exploration to succeed
- Example: 1.000.000 lines  $\times$  50.000 variables  $\times$  64 bits  $\simeq 10^{27}$  states
- Exploring  $10^{15}$  states per seconde, one would need  $10^{12}$  s > 300 centuries (and a lot of memory)!

<sup>(3)</sup> there are infinitely many programs for which a computer cannot solve them in finite time even with an infinite memory.

## Example: Using a Model Checker (CBMC)

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```
typedef enum {FALSE = 0, TRUE = 1} SECIOIPEANS; tarted on Fri Jul 11 04:59:55 200
BOOLEAN INIT; float P, X; % time ./cbmc filter.c
void filter () {
  static float E[2], S[2]; file filter.c: Parsing
  if (INIT) { S[0] = X; P = X; E[0]Converting
  else { P = (((((0.5 * X) - (E[0] \otimes h \otimes c.k7)))g + fi(Et[eff] * 0.4))
              + (S[0] * 1.5)) - (S[1GenerCat7i)n)g, GOTO Program
  E[1] = E[0]; E[0] = X; S[1] = S[OP]o; inSteen AmaPlysis
  /* P in [-1325.4522, 1325.4522] *Adding Pointer Checks
}
                                     Starting Bounded Model Checking
void main () { X = 0.2 * X + 5; INIUnwinRUE, loop 1 iteration 1
  while (1) {
                                     Unwinding loop 1 iteration 2
    X = 0.9 * X + 35; /* simulated Ufinivitinedring plutop*/1 iteration 3
    filter (); INIT = FALSE; }
                                     . . .
}
                                     Unwinding loop 1 iteration 100452
                                     cbmc(51539) malloc: *** mmap(size=2097152
                                     *** error: can't allocate region
                                     *** set a breakpoint in malloc_error_brea
                               ◄ ♥ € 19 -? ■ -> € The after throwing an instar
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                                       what(): St9bad_alloc
```

#### Example: Using an Abstract Interpreter (ASTRÉE)

```
typedef enum {FALSE = 0, TRUE = 1} %BOOLIEAN; astree -exec-fn main filter-a.c)
BOOLEAN INIT; float P, X; 0.630u 0.072s 0:01.86 37.6%
void filter () {
                                    0+0k 2+6io 835pf+0w
  static float E[2], S[2];
                                    %
  if (INIT) { S[0] = X; P = X; E[0]% =astrele -exec-fn main filter-a.c |& grep
  else { P = (((((0.5 * X) - (E[0] d*in0e.7t)) = \times f(\text{Eo[att]-i*nt0e.r4v}a)]: P in [-1325.452
              + (S[0] * 1.5)) - (S[1] * 0.7)); \}
  E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
  __ASTREE_log_vars((P));
  /* P in [-1325.4522, 1325.4522] */
}
void main () { X = 0.2 * X + 5; INIT = TRUE;
  while (1) {
    X = 0.9 * X + 35; /* simulated filter input */
    filter (); INIT = FALSE; }
}
```

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How to fight undecidability and complexity?

- Unsoundness : test, bug finding analysis, bounded model checking, ...
- Incompleteness : static analysis by abstract interpretation

# The difficulty of scaling up

- The abstraction must be coarse enough to be effectively computable with reasonable resources
- The abstraction must be precise enough to avoid false alarms
- Abstractions to infinite domains with widenings are more expressive than abstractions to finite domains<sup>(4)</sup> (when considering the analysis of a programming language) [CC92]
- Abstractions are ultimately incomplete (even intrinsically for some semantics and specifications [CC00])

<sup>(4)</sup> e.g. predicate abstraction which always abstract to a finite domain.

# 3. Abstract-interpretation-based static analysis [1]

<u>Reference</u>

[1] P. Cousot. Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes. Thèse d'État ès sciences mathématiques. Université Joseph Fourier, Grenoble. 1978.

# 1) Design of a concrete model

- Operational semantics: programs  $\rightarrow$  infinite state transition system
- Collecting semantics: programs  $\rightarrow$  strongest properties (e.g. finite/infinite execution traces, reachable states, ...)
- Fixpoint characterization of the collecting semantics:

 $C\llbracket P
rbracket riangleq extsf{Ifp}^{\subseteq} F\llbracket P
rbracket$ 

- Semantic verification by fixpoint checking:

$$C\llbracket P
rbracket \subseteq S \iff \mathsf{lfp}^{\subseteq} F\llbracket P
rbracket \subseteq S$$

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# 2) Design of an abstraction

- $\begin{array}{l} \text{ Abstraction}^{(5)}: \\ \langle \text{concrete properties, } \subseteq \rangle \xrightarrow[]{\alpha[P]} \\ \hline \alpha[P] \end{array} \langle \text{abstract properties, } \sqsubseteq \rangle \end{array}$
- Abstract semantics: abstraction of the concrete semantics  $C\llbracket P \rrbracket \subseteq \gamma \llbracket P \rrbracket (C^{\sharp}\llbracket P \rrbracket)$
- Fixpoint abstract semantics (by abstraction of the fixpoint characterization of the collecting semantics)  $C^{\sharp}\llbracket P \rrbracket = \mathsf{lfp}^{\sqsubseteq} F^{\sharp}\llbracket P \rrbracket$ (where  $F\llbracket P \rrbracket \circ \gamma \llbracket P \rrbracket \subseteq \gamma \llbracket P \rrbracket \circ F^{\sharp} \llbracket P \rrbracket$ ).

 <sup>(5)</sup> Note that the abstraction/concretization is always specific to a program, an often misundertsood point, a.o.
 [DKW08, Sec. C, p. 1170]

# 3) Static analysis

- Solve the abstract fixpoint constraint  $F^{\sharp}\llbracket P \rrbracket(X) \sqsubseteq X$ (so  $\operatorname{lfp}^{\sqsubseteq} F^{\sharp}\llbracket P \rrbracket \sqsubseteq X$ )
- In some cases, the solution is directly computable (e.g. by Gaussian elimination)
- Chaotic iteration (finite, ascending chain condition for  $\sqsubseteq$ , ...):  $X = \bot$  and then  $X := F^{\sharp}\llbracket P \rrbracket(X)$  until  $X = F^{\sharp}\llbracket P \rrbracket(X)$
- Convergence acceleration for infinite abstractions:
  - widening:  $X := X \nabla \llbracket P \rrbracket F^{\sharp}(X)$  until  $F^{\sharp} \llbracket P \rrbracket(X) \sqsubseteq X$
  - narrowing:  $X := X \Delta \llbracket P \rrbracket F^{\sharp}(X)$  until  $X = F^{\sharp} \llbracket P \rrbracket(X)$
  - overapproximating limit X:  $C^{\sharp}\llbracket P \rrbracket \sqsubseteq X$

Example: invariant computation by fixpoint approximation [CC77]

Floyd-Naur-Hoare verification conditions:

$$egin{aligned} &(y \geqslant 0 \wedge x = y) \Longrightarrow I(x,y) & ext{initialisation} \ &(I(x,y) \wedge x > 0 \wedge x' = x-1) \Longrightarrow I(x',y) & ext{iteration} \end{aligned}$$

Equivalent fixpoint equation:

 $I(x,y) = x \geqslant 0 \land (x = y \lor I(x+1,y))$  (i.e.  $I = F(I)^{(6)}$ )

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<sup>(6)</sup> We look for the most precise invariant I, implying all others, that is  $Ifp^{\Rightarrow} F$ .

$$ext{Iterates } I = \lim_{n o \infty} F^n( ext{false}) egin{array}{c} y \ I \ I^0(x,y) = ext{false} \end{array}$$

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$$\begin{array}{l} \text{Accelerated Iterates } I = \lim_{n \to \infty} F^n(\text{false}) \\ I^0(x,y) = \text{false} \\ I^1(x,y) = x \geqslant 0 \land (x = y \lor I^0(x+1,y)) \\ = 0 \leqslant x = y \\ I^2(x,y) = x \geqslant 0 \land (x = y \lor I^1(x+1,y)) \\ = 0 \leqslant x \leqslant y \leqslant x+1 \\ I^3(x,y) = x \geqslant 0 \land (x = y \lor I^2(x+1,y)) \\ = 0 \leqslant x \leqslant y \leqslant x+2 \\ I^4(x,y) = I^2(x,y) \lor I^3(x,y) \leftarrow \text{widening} \\ = 0 \leqslant x \leqslant y \end{array}$$



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Accelerated Iterates  $I = \lim F^n(false)$  $n \rightarrow \infty$  $I^0(x,y) =$ false  $I^1(x,y) \,=\, x \geqslant 0 \wedge (x=y ee I^0(x+1,y))$  $= 0 \leqslant x = y$  $I^2(x,y) \ = \ x \geqslant 0 \land (x=y \lor I^1(x+1,y))$  $x = 0 \leqslant x \leqslant y \leqslant x + 1$  $I^3(x,y) \ = \ x \geqslant 0 \land (x = y \lor I^2(x+1,y))$  $= 0 \leqslant x \leqslant y \leqslant x + 2$  $I^4(x,y) = I^2(x,y) \nabla I^3(x,y) \leftarrow \text{widening}$  $= 0 \leqslant x \leqslant y$  $I^5(x,y) \,=\, x \geqslant 0 \wedge (x=y ee I^4(x+1,y))$  $= I^4(x, y)$  fixed point!



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Accelerated Iterates  $I = \lim F^n(\text{false})$  $n \rightarrow \infty$  $I^0(x,y) =$ false  $I^1(x,y) \,=\, x \geqslant 0 \wedge (x=y ee I^0(x+1,y))$  $= 0 \leq x = y$  $I^2(x,y) \ = \ x \geqslant 0 \land (x=y \lor I^1(x+1,y))$  $x = 0 \leqslant x \leqslant y \leqslant x + 1$  $I^3(x,y) \,=\, x \geqslant 0 \wedge (x=y ee I^2(x+1,y))$  $= 0 \leqslant x \leqslant y \leqslant x + 2$  $I^4(x,y) = I^2(x,y) \nabla I^3(x,y) \leftarrow \text{widening}$  $= 0 \leq x \leq y$  $I^5(x,y) \,=\, x \geqslant 0 \wedge (x=y \lor I^4(x+1,y))$  $= I^4(x, y)$  fixed point!

The invariants are computer representable with octagons!



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4) Sound abstract verification

- Assuming the specification S to be expressible in the abstract, i.e.  $\alpha \llbracket P \rrbracket \circ \gamma \llbracket P \rrbracket (S) = S$ , the specification S is checked in the abstract (yes, no, I don't know):

$$X \sqsubseteq \alpha \llbracket P \rrbracket(S) \Longrightarrow \gamma \llbracket P \rrbracket(X) \subseteq S$$

- By soundness, holds in the concrete (no false negative):

$$oldsymbol{C} \llbracket P 
rbracket = \mathsf{lfp}^{\subseteq} F \llbracket P 
rbracket \subseteq \gamma(\mathsf{lfp}^{\sqsubseteq} F^{\sharp} \llbracket P 
rbracket(X)) \subseteq \gamma \llbracket P 
rbracket(X) \subseteq oldsymbol{S}$$

 By incompleteness, there may be false alarms (false positive : I don't know) i.e.

# $X \not\sqsubseteq \alpha \llbracket P \rrbracket(S)$

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## 5) Refinement

- In case of false alarm, the abstraction  $\alpha$  must be refined into a more precise  $\alpha'$
- The static analyzer must be designed to allow for easy refinements (e.g. manual refinement, sometimes human intelligence may help)

## 6) Modular abstraction

The abstract semantics is decomposed into:

- A structural fixpoint iterator (by composition on the program syntax)
- A collection of parametric abstract domains with:
  - parameters to adjust the expressivity of the abstraction
  - parametric convergence acceleration (parameters to adjust the frequence and precision of widenings/narrowings)
  - analysis directives (to locally adjust the choice of abstractions)
- A reduction performing the conjunction of the abstractions

 $\Rightarrow$  Easily extensible for refinement by addition of abstract domains!

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### Example: typical combination of abstractions in ASTRÉE

/\* Launching the forward abstract interpreter \*/
/\* Domains: Guard domain, and Boolean packs (based on Absolute
value equality relations, and Symbolic constant propagation
(max\_depth=20), and Linearization, and Integer intervals, and
congruences, and bitfields, and finite integer sets, and Float
intervals), and Octagons, and High\_passband\_domain(10), and
Second\_order\_filter\_domain (with real roots)(10), and
Second\_order\_filter\_domain (with complex roots)(10), and
Arithmetico-geometric series, and new clock, and Dependencies
(static), and Equality relations, and Modulo relations, and
Symbolic constant propagation (max\_depth=20), and Linearization,
and Integer intervals, and congruences, and bitfields, and
finite integer sets, and Float intervals. \*/

### Example of abstract domain in ASTRÉE

Overapproximation with an arithmetico-geometric series:



Arithmetico-geometric series<sup>(7)</sup> [Fer05a]

- Abstract domain:  $(\mathbb{R}^+)^5$
- Concretization:

$$egin{aligned} &\gamma \in (\mathbb{R}^+)^5 \longmapsto \wp(\mathbb{N} \mapsto \mathbb{R}) \ &\gamma(M,a,b,a',b') = \ &\{f \mid orall k \in \mathbb{N} : |f(k)| \leq \left(oldsymbol{\lambda} x \cdot ax + b \circ (oldsymbol{\lambda} x \cdot a'x + b')^k
ight)(M)\} \end{aligned}$$

i.e. any function bounded by the arithmetic-geometric progression.

[2] J. Feret. The arithmetic-geometric progression abstract domain. In VMCAI'05, Paris, LNCS 3385, pp. 42–58, Springer, 2005.

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<sup>(7)</sup> here in  $\mathbb{R}$  but must be implemented in the floats by appropriate roundings!

### Arithmetic-geometric progressions (Example 1)

```
% cat count.c
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
volatile BOOLEAN I; int R; BOOLEAN T;
void main() {
  R = 0;
  while (TRUE) {
    __ASTREE_log_vars((R));
                                         \leftarrow potential overflow!
    if (I) { R = R + 1; }
    else { R = 0; }
    T = (R \ge 100);
    __ASTREE_wait_for_clock(());
  }}
% cat count.config
__ASTREE_volatile_input((I [0,1]));
__ASTREE_max_clock((3600000));
% astree -exec-fn main -config-sem count.config count.c|grep '|R|'
|R| <= 0. + clock *1. <= 3600001.
```

### Example of abstract domain functor in ASTRÉE: decision trees

- Code Sample:

```
/* boolean.c */
typedef enum {F=0,T=1} BOOL;
BOOL B;
void main () {
  unsigned int X, Y;
  while (1) {
    B = (X == 0);
    . . .
    if (!B) {
      Y = 1 / X;
    }
    . . .
```



The boolean relation abstract domain is parameterized by the height of the decision tree (an analyzer option) and the abstract domain at the leafs

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Programs analysed by ASTRÉE

- Application Domain: large safety critical embedded real-time synchronous software for non-linear control of very complex control/command systems.
- C programs:
  - <u>with</u>
    - $\cdot$  basic numeric datatypes, structures and arrays
    - · pointers (including on functions),
    - floating point computations
    - $\cdot$  tests, loops and function calls
    - · limited branching (forward goto, break, continue)

- with (cont'd)
  - union [Min06a]
  - pointer arithmetics & casts [Min06a]
- -<u>without</u>
  - dynamic memory allocation
  - recursive function calls
  - unstructured/backward branching
  - conflicting side effects
  - C libraries, system calls (parallelism)

Such limitations are quite common for embedded safety-critical software.

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# 5. Specification

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### Implicit Specification: Absence of Runtime Errors

- No violation of the norm of C (e.g. array index out of bounds, division by zero)
- No implementation-specific undefined behaviors (e.g. maximum short integer is 32767, NaN)
- No violation of the programming guidelines (e.g. static variables cannot be assumed to be initialized to 0)
- No violation of the programmer assertions (must all be statically verified).

# 6. Concrete semantics

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The Semantics of C is Hard (Ex. 1: Floats) "Put x in [m, M] modulo (M - m)":

y = x - (int) ((x-m)/(M-m))\*(M-m);

– The programmer thinks  $y \in [m, M]$ 

- But with M = 4095, m = -M, IEEE double precision, and x is the greatest float strictly less than M, then x' =  $m - \epsilon$  ( $\epsilon$  small).

### Analysis by Astrée

>

The Semantics of C is Hard (Ex. 2: Runtime Errors)

What is the effect of out-of-bounds array indexing?

```
% cat unpredictable.c
#include <stdio.h>
int main () { int n, T[1];
    n = 2147483647;
    printf("n = %i, T[n] = %i\n", n, T[n]);
}
```

Yields different results on different machines:

n =	2147483647,	T[n]	=	2147483647	Macintosh PPC
n =	2147483647,	T[n]	=	-1208492044	Macintosh Intel
n =	2147483647,	T[n]	=	-135294988	PC Intel 32 bits
Bus	error				PC Intel 64 bits

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### Analysis by ASTRÉE

```
% cat -n unpreditable-a.c
    1 const int false = 0;
    2 int main () { int n, T[1], x;
    3 n = 1;
    4 x = T[n];
    5 __ASTREE_assert((false));
    6 }
% astree -exec-fn main unpreditable-a.c |& grep "WARN"
unpreditable-a.c:4.4-8::[call#main@2:]: WARN: invalid dereference: dereferencing
4 byte(s) at offset(s) [4;4] may overflow the variable T of byte-size 4
%
```

No alarm on assert(false) because execution is assumed to stop after a definite runtime error with unpredictable results <sup>(8)</sup>.

<sup>(8)</sup> Equivalent semantics if no alarm.

Different Classes of Run-time Errors

- 1. Errors terminating the execution <sup>(9)</sup>. ASTRÉE warns and continues by taking into account only the executions that did not trigger the error.
- 2. Errors not terminating the execution with predictable outcome<sup>(10)</sup>. ASTRÉE warns and continues with worst-case assumptions.
- 3. Errors not terminating the execution with <u>unpredictable</u> outcome<sup>(11)</sup>. ASTRÉE warns and continues by taking into account only the executions that did not trigger the error.
- $\Rightarrow$  ASTRÉE is sound with respect to C standard, unsound with respect to C implementation, <u>unless</u> no false alarm of type 3.
- (9) floating-point exceptions e.g. (invalid operations, overflows, etc.) when traps are activated
- (10) e.g. overflows over signed integers resulting in some signed integer.
- (11) e.g. memory corruptionss.

# 7. Control and memory abstraction in the iterator

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### Characterization of the iterator

- structural (by induction on the program syntax)
- flow sensitive (the execution order of statements is taken into account)
- path sensitive (distinguishes between feasible paths through a program)
- context sensitive (function calls are analyzed differently for each call site)
- interprocedural (function bodies are analyzed in the context of each respective call site)

Paths versus reachable states analysis

- The merge over all paths analysis is more precise than fixpoint reachable states analysis for non-distributive abstract domains (but more costly)
- The merge over all paths can be obtained in fixpoint form by disjunctive completion of the abstract domain [CC79]
- The disjunctive completion is costly (a terminating analysis such as constant propagation can become non terminating)

<u>Reference</u>

<sup>[</sup>CC79] P. Cousot and R. Cousot. Systematic design of program analysis frameworks. In 6<sup>th</sup> POPL, pages 269–282, San Antonio, TX, 1979. ACM Press.



- Trace partitionning <sup>(13)</sup>



- Trace partitionning abstract interpretation combines the effects of case analysis and symbolic execution [MR05, RM07]
- (12) all reachable states corresponding to a given program point are over-approximated by a local invariant on memory states reachable at that program points
- (13) portions of traces starting at a given program point for given memory values and finishing at a given program point are analyzed by an overapproximating abstract execution

### Example of trace partitioning

Principle:

- Semantic equivalence:

 More precise in the abstract: concrete execution paths are merged later.

Application:

cannot result in a division by zero

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### Memory abstraction [Min06a]

The union type, pointer arithmetics and pointer transtyping is handled by allowing aliasing at the byte level [3]:



- An abstract variable (box) for each offset and each scalar type
- Intersection semantics for overlapping boxes
- Abstract domains handle separate mutable or cumulative abstract variables only!

 <sup>[3]</sup> A. Miné. Field-Sensitive Value Analysis of Embedded C Programs with Union Types and Pointer Arithmetics. In LCTES '2006, pp. 54-63, June 2006, ACM Press.

# 8. General purpose numerical abstractions

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### Semantics



(Infinite) set of traces (finite ou infinite)

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### Abstraction to a set of states (invariant)



Set of points  $\{(x_i, y_i) : i \in \Delta\}$ , Floyd/Hoare/Naur invariance proof method [Cou02]

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### Abstraction by signs



Signs  $x \ge 0, y \ge 0$  [CC79]

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### Abstraction by intervals



## Intervals $a \le x \le b$ , $c \le y \le d$ [CC77] Sound implementation with floats!

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#### Abstraction by octagons



Octagons  $x - y \le a$ ,  $x + y \le b$  [Min06b] Sound implementation with floats!

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#### Abstraction by polyedra



Sound implementation with floats!

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Floating-point linearization [Min04a, Min04b]

- Approximate arbitrary expressions in the form  $[a_0, b_0] + \sum_k ([a_k, b_k] imes V_k)$
- Example:
  - Z = X (0.25 \* X) is linearized as
  - $Z = ([0.749\cdots, 0.750\cdots] \times x) + (2.35\cdots10^{-38} \times [-1, 1])$
- Allows simplification even in the interval domain if  $X \in [-1,1]$ , we get  $|Z| \le 0.750 \cdots$  instead of  $|Z| \le 1.25 \cdots$
- Allows using a relational abstract domain (octagons)
- Example of good compromize between cost and precision

# 9. Reduction

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#### Reduction [CC79, CCF<sup>+</sup>08]

# Example: reduction of intervals [CC76] by simple congruences [Gra89]

```
% cat -n congruence.c

1 /* congruence.c */

2 int main()

3 { int X;

4 X = 0;

5 while (X <= 128)

6 { X = X + 4; };

7 __ASTREE_log_vars((X));

8 }

% astree congruence.c -no-relational -exec-fn main |& egrep "(WARN)|(X in)"

direct = <integers (intv+cong+bitfield+set): X in {132} >

Intervals : X \in [129, 132] + \text{ congruences} : X = 0 \mod 4 \implies X \in \{132\}.
```

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# 10. Refinement

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#### Inexpressivity

- The weakest invariant to prove the specification may be inexpressible with the current reduced abstractions
  - $\Rightarrow$  false alarms are unavoidable and cannot be solved

#### Inexpressivity

- The weakest invariant to prove the specification may be inexpressible with the current reduced abstractions
   ⇒ false alarms are unavoidable and cannot be solved
- No solution, but abstraction refinement!

# Abstraction/refinement by tuning the cost/precision ratio in ASTRÉE

- Approximate reduced product of a choice of coarsenable/refinable abstractions
- Tune their precision/cost ratio by
  - Globally by parametrization
  - Locally by (automatic) analysis directives
  - so that the overall abstraction is  $\underline{not}$  uniform.

## 11. Parametrization

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#### Parameterized abstractions

 Parameterize the cost / precision ratio of abstractions in the static analyzer

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#### Parameterized abstractions

- Parameterize the cost / precision ratio of abstractions in the static analyzer
- Examples:
  - array smashing: --smash-threshold n (400 by default)  $\rightarrow$  smash elements of arrays of size > n, otherwise individualize array elements (each handled as a simple variable).
  - packing in octogons: (to determine which groups of variables are related by octagons and where)
    - --fewer-oct: no packs at the function level,
    - $\cdot$  --max-array-size-in-octagons n: unsmashed array elements of size > n don't go to octagons packs

#### Parameterized widenings

 Parameterize the rate and level of precision of widenings in the static analyzer

#### Parameterized widenings

- Parameterize the rate and level of precision of widenings in the static analyzer
- Examples:
  - delayed widenings: --forced-union-iterations-at-beginning n (2 by default)
  - enforced widenings: --forced-widening-iterations-after n (250 by default)
  - thresholds for widening (e.g. for integers):

```
let widening_sequence =
  [ of_int 0; of_int 1; of_int 2; of_int 3; of_int 4; of_int 5;
    of_int 32767; of_int 32768; of_int 65535; of_int 65536;
    of_string "2147483647"; of_string "2147483648";
    of_string "4294967295" ]
```

## 12. Analysis directives

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#### Analysis directives

- Require a local refinement of an abstract domain

#### Analysis directives

- Require a local refinement of an abstract domain
- Example:

```
% cat repeat1.c
typedef enum {FALSE=0,TRUE=1} BOOL;
int main () {
  int x = 100; BOOL b = TRUE;
  while (b) {
   x = x - 1;
    b = (x > 0);
  }
}
% astree -exec-fn main repeat1.c |& egrep "WARN"
repeat1.c:5.8-13::[call#main@2:loop@4>=4:]: WARN: signed int arithmetic
range [-2147483649, 2147483646] not included in [-2147483648, 2147483647]
%
```

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#### Example of directive (Cont'd)

```
% cat repeat2.c
typedef enum {FALSE=0,TRUE=1} BOOL;
int main () {
    int x = 100; BOOL b = TRUE;
    __ASTREE_boolean_pack((b,x));
    while (b) {
        x = x - 1;
        b = (x > 0);
    }
}
% astree -exec-fn main repeat2.c |& egrep "WARN"
%
```

The insertion of this directive could have been automated in ASTRÉE (if the considered family of programs had had "repeat" loops).

Automatic analysis directives

- The directives can be inserted automatically by static analysis

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#### Automatic analysis directives

- The directives can be inserted automatically by static analysis

- Example:

```
% cat p.c
                                               \% astree -exec-fn main p.c -dump-partition
int clip(int x, int max, int min) {
                                               . .
 if (max >= min) {
                                               int (clip)(int x, int max, int min)
  if (x \le max) {
                                               {
   max = x;
                                                 if ((max >= min))
  }
                                                 { __ASTREE_partition_control((0))
  if (x < min) {
                                                   if ((x \le max))
   max = min;
                                                   {
  }
                                                     max = x;
 }
                                                   }
 return max;
                                                   if ((x < min))
void main() {
                                                     \max = \min;
 int m = 0; int M = 512; int x, y;
                                                   }
 y = clip(x, M, m);
                                                   __ASTREE_partition_merge_last(());
  __ASTREE_assert(((m<=y) && (y<=M)));
                                                 }
}
                                                 return max;
% astree -exec-fn main p.c |& grep WARN
                                               }
%
                                               . . .
                                               %
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                                                                                    P. Cousot
```

### 13. Domain-specific abstractions

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#### Adding new abstract domains

- In case of inexpressivity: add a new abstract domain:

- representation of the (parameterized) abstract properties
- abstract property transformers for language primitives
- (parameterized) widening
- reduction with other abstractions

#### Adding new abstract domains

- In case of inexpressivity: add a new abstract domain:

- representation of the (parameterized) abstract properties
- abstract property transformers for language primitives
- (parameterized) widening
- reduction with other abstractions
- Examples : ellipsoids for filters [Fer05b], exponentials for accumulation of small rounding errors [Fer05a], quaternions, ...

#### Abstraction by ellipsoid for filters



Ellipsoids  $(x-a)^2 + (y-b)^2 \le c$  [Fer05b]

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#### Example of analysis by ASTRÉE

```
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
BOOLEAN INIT; float P, X;
void filter () {
  static float E[2], S[2];
  if (INIT) { S[0] = X; P = X; E[0] = X; }
  else { P = (((((0.5 * X) - (E[0] * 0.7)) + (E[1] * 0.4)))
             + (S[0] * 1.5)) - (S[1] * 0.7)); \}
  E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
  /* S[0], S[1] in [-1327.02698354, 1327.02698354] */
}
void main () { X = 0.2 * X + 5; INIT = TRUE;
  while (1) {
   X = 0.9 * X + 35; /* simulated filter input */
   filter (); INIT = FALSE; }
}
```

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# Abstraction by exponentials for accumulation of small rounding errors



#### Exponentials $a^x \leq y$ [Fer05a]

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#### Arithmetic-Geometric Progressions: Example 2

```
% cat retro.c
typedef enum {FALSE=0, TRUE=1} BOOL;
BOOL FIRST;
volatile BOOL SWITCH;
volatile float E;
float P, X, A, B;
void dev( )
{ X=E;
  if (FIRST) { P = X; }
  else
    \{ P = (P - ((((2.0 * P) - A) - B)) \}
            * 4.491048e-03)); };
  B = A;
  if (SWITCH) \{A = P;\}
  else {A = X;}
}
```

```
void main()
{ FIRST = TRUE;
   while (TRUE) {
        dev();
        FIRST = FALSE;
        __ASTREE_wait_for_clock(());
    }
   % cat retro.config
   _ASTREE_volatile_input((E [-15.0, 15.0]));
   _ASTREE_volatile_input((SWITCH [0,1]));
   _ASTREE_max_clock((3600000));

|P| <= (15. + 5.87747175411e-39
/ 1.19209290217e-07) * (1 +
1.19209290217e-07) * (1 +
</pre>
```

```
/ 1.19209290217e-07 <= 23.0393526881
```

# 14. Why not automatic abstraction completion?

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#### Abstraction completion

- Completion is the process of refining an abstraction of a semantics until a specification can be proved [CC79, GRS00]
- In theory, always possible by an infinite fixpoint computation in the concrete! [Cou00, GRS00]
- In complicated cases, the most abstract complete refined abstraction is *identity* (in which case the refinement ultimately amounts to computing the collecting semantics)
- Examples of *refinement semi-algorithms*:
  - counter-example-guided abstraction refinement [CGJ<sup>+</sup>00]
  - fixpoint abstraction refinement [CGR07]

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The limits of fixpoint abstraction completion

- Abstraction completion algorithms have misunderstood severe limits:
  - the refinement may be useless (corrected in [CGR07])
  - may not terminate (by ultimately computing in the infinite collecting semantics)
  - cannot to pass to the limit
  - cannot invent
    - efficient data representations of refined abstract properties (rely on state enumeration)
    - effective abstract transformer algorithms (rely on set of states transformers)

#### $2^d$ Order Digital Filter:



#### Ellipsoid Abstract Domain for Filters

- Computes 
$$X_n = \left\{ egin{array}{c} lpha X_{n-1} + eta X_{n-2} + Y_n \ I_n \end{array} 
ight.$$

- The concrete computation is bounded, which must be proved in the abstract.
- There is no stable interval or octagon.
- The simplest stable surface is an ellipsoid.



# 15. Industrial applications of abstract interpretation

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Industrial results obtained with ASTRÉE

Automatic proofs of absence of runtime errors in Electric Flight Control Software:



- Software 1 : 132.000 lignes de C, 40mn sur un PC 2.8 GHz, 300 mégaoctets (nov. 2003)
- Software 2 : 1.000.000 de lignes de C, 34h, 8 gigaoctets (nov. 2005)

No false alarm

### World premières !

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## THE END

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## THE END

# Thank you for your attention

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