# Abstract Interpretation: "Scene-Setting Talk"

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Next Generation Static Software Analysis Tools

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## Motivation

# Fundamental motivations

#### Trends in formal methods research

- dispersion and parcelization through a collection of local techniques for specific applications
- we should aim at unification and synthesis through universal principles
- that's the whole purpose of abstract interpretation
  - abstraction is a unifier of formal methods
  - with practical applications

This is what we concentrate on in this seminar

#### Formal methods for program verification

WCET Operational Security protocole Systems biology semantics Axiomatic verification analysis semantics Abstraction Model Database Dataflow refinement Confidentiality checking analysis query analysis Туре Partial Obfuscation Dependence inference Program evaluation analysis Separation synthesis Denotational Effect logic CEGAR Grammar semantics systems Termination analysis Program Theories Trace proof Statistical combination transformation semantics model-checking Shape Interpolants Abstract Code analysis Invariance Symbolic model Integrity contracts proof checking execution analysis Malware detection **Probabilistic** Quantum entanglement **Bisimulation** Code verification detection SMT solvers Type theory Steganography Tautology testers refactoring Parsing

#### Formal methods for program verification

WCET	Security protocole	Systems biology	Operational
Axiomatic semantics	verification	analysis	Abstraction
Confidentiality	Dataflow Mo analysis che	odel Database cking query	refinement
analysis Pa Program	rtial Obfuscation	Dependence	lype inference
synthesis	Effect Denotatio	onal analysis	Separation
Grammar sy analysis	vstems semantic Theor	ies CEGAR	logic n Termination
Statistical	Trace combina	ation transforma	ition proof
model-checking	Code	Interpolants Abst	ract Shape del analysis
proof e	xecution	analysis chec	king Malware
Probabilistic	Quantum entangle	ement Bisimulati	on detection
Parsing Typ	e theory Steganogr	SMI solvers aphy Tautology te	refactoring

#### Formal methods for program verification

#### Abstract interpretation

WCET Operational Security protocole Systems biology semantics Axiomatic verification analysis semantics Abstraction Model Database Dataflow refinement Confidentiality checking analysis query analysis Туре Partial Obfuscation Dependence inference Program evaluation analysis Separation synthesis Denotational Effect logic CEGAR Grammar semantics systems Termination analysis Theories Program Trace proof **Statistical** combination transformation semantics model-checking Shape Interpolants Abstract Code analysis Invariance model Symbolic Integrity contracts proof checking execution analysis Malware detection **Probabilistic** Quantum entanglement **Bisimulation** Code verification detection SMT solvers refactoring Type theory Steganography Parsing Tautology testers

# Practical motivations

## All computer scientists have experienced bugs









Ariane 5.01 failure (overflow)

(float rounding)

Patriot failure Mars orbiter loss (unit error)



- Checking the presence of bugs by debugging is great
- Proving their absence by static analysis is even better!
- Undecidability and complexity is the challenge for automation

## Induction

#### Program verification by induction

- Program verification is by induction
  - Program steps (Turing, Floyd, Naur)
  - Structural (program syntactic structure, Strachey, Hoare)
  - Fixpoints (Scott)
  - Data structures (Burstall)
  - Segmentation hierarchies (\*)

(\*) Cousot, P., Cousot, R.: An abstract interpretation framework for termination. In: POPL. 245–258. ACM (2012)

#### Program verification by induction

- The only non-trivial base case is iteration/recursion (to be handled by induction):
  - concrete domain  $\langle \mathfrak{D}, \subseteq, \bot, \cup \rangle$  (poset)
  - transformer  $F \in \mathcal{D} \mapsto \mathcal{D}$  (increasing)
  - specification  $S \in \mathfrak{D}$
  - proof  $\mathbf{lfp}^{\subseteq} F \subseteq S$
- Example:  $\Sigma$  states,  $\langle \mathscr{D}(\Sigma), \subseteq, \emptyset, \cup \rangle$  complete lattice of properties, F: Floyd's verification conditions, S is an invariant, proof: reachable states satisfy the invariant S.

#### Abstraction

- The concrete domain D is in general not machine representable. Abstracted into
  - abstract domain  $\langle \overline{\mathfrak{D}}, \sqsubseteq, \overline{\bot}, \overline{\Box} \rangle$  (poset)
  - concretization  $\gamma \in \overline{\mathfrak{D}} \mapsto \mathfrak{D}$  (increasing)

• E.g.: Hoare logic uses (first-order predicates,  $\Longrightarrow$ )

## Homomorphic abstractions of a man / crowd



#### Numerical abstractions in Astrée



 $\mathbf{x} \equiv a[b]$ 

## Why abstraction may be approximate?

• Example

 $\left\{ \begin{array}{l} x = y \land 0 \leqslant x \leqslant |0| \right\} \\ x := x - y; \\ \left\{ x = 0 \land 0 \leqslant y \leqslant |0| \right\} \end{array}$ 

Interval abstraction:

 $\{ x \in [0, 10] \land y \in [0, 10] \} \\ x := x - y; \\ \{ x \in [-10, 10] \land y \in [0, 10] \}$ 

(but for constants, the interval abstraction can't express equality)

## Why abstraction may be approximate?

- Hoare logic: loop invariants may not be expressible in the first-order logic
- Relative completeness only (under the expressiveness hypothesis that the loop invariants are expressible in the first-order logic)

#### Abstraction (cont'd)

- The concrete domain D is in general not machine representable. Abstracted into
  - abstract domain
  - concretization
  - abstract transformer
  - semi-commutation
  - abstract specification
  - inductive argument
  - proof
  - soundness

 $\langle \mathfrak{D}, \sqsubseteq, \overline{\bot}, \overline{\sqcup} \rangle$ (poset)  $\gamma \in \mathfrak{D} \mapsto \mathfrak{D}$ (increasing)  $\overline{F} \in \overline{\mathfrak{N}} \mapsto \overline{\mathfrak{N}}$  $F \circ \gamma \stackrel{\cdot}{\subseteq} \gamma \circ \overline{F}$  $\overline{S} \in \overline{\mathcal{D}}$  $\exists \ \overline{I} \in \overline{\mathfrak{D}} : \ \overline{F}(\overline{I}) \sqsubseteq \overline{I}$  $\overline{I} \sqsubset \overline{S}$  $\mathbf{lfp}^{\subseteq} F \subseteq \gamma(S)$ 

#### Duality



- Order duality: join ( $\cup$ ) or meet ( $\cap$ )
- Inversion duality: forward ( $\rightarrow$ ) or backward ( $\leftarrow = (\rightarrow)^{-1}$ )
- Fixpoint duality: least (1) or greatest (1)

Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252

#### In general, no best abstraction

 Best abstraction of a disk by a rectangular parallelogram



• No best abstraction of a disk by a polyhedron (Euclid)



#### use only concretization ()

<sup>(</sup>I) Patrick Cousot, Radhia Cousot: Abstract Interpretation Frameworks. J. Log. Comput. 2(4): 511-547 (1992)



#### Static analysis

 Static analysis must do some form of abstract induction (abstracting away the mathematical proof by recurrence)

#### Static analysis in Noetherian domains

- The abstract domain is Noetherian: finite (which is equivalent to predicate abstraction<sup>(\*)</sup>) or satisfies the ascending/descending chain condition
- The induction is predefined in the abstract domain (as defined by successive joins/meets which always converge in finitely many steps)
- Easy, inexpressive (the induction hypothesis is fully determined by the abstraction)

<sup>(\*)</sup> Cousot, P.: Verification by abstract interpretation. In: Verification: Theory and Practice. LNCS 2772, 243–268. Springer (2003)

### Static analysis in infinite domains

- Some form of abstract induction is needed
- Can be join/meet but iterates do not converge
- Must accelerate the convergence of the iterates by approximation

#### **Convergence** acceleration



#### Infinite iteration

#### **Convergence** acceleration



Infinite iteration

#### Accelerated iteration with widening (e.g. with a widening based on the derivative as in Newton-Raphson method<sup>(\*)</sup>)

<sup>(\*)</sup> Javier Esparza, Stefan Kiefer, Michael Luttenberger: Newtonian program analysis. J. ACM 57(6): 33 (2010)



#### Extrapolators and interpolators



#### Abstract induction versus convergence acceleration

- Abstract induction approximate the iterates
- Convergence acceleration enforces termination
- Two separate issues (\*)

<sup>(\*)</sup> Cousot, P.: Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes. Thèse d'État ès sciences mathématiques, Université Joseph Fourier, Grenoble, France (21 Mar. 1978)

# Finite versus infinite abstractions

## [In]finite abstractions

- Given a program P and a program property S which holds (i.e. Ifp  $F[[P]] \subseteq S$ ) there exists a most abstract abstraction in a finite domain  $\mathscr{A}[P]$  to prove it (\*)
- Example:

x=0; while x<1 do x++  $\longrightarrow \{\perp, [0,0], [0,1], [-\infty,\infty]\}$ 

x=0; while x<2 do x++  $\longrightarrow \{\perp, [0,0], [0,1], [0,2], [-\infty,\infty]\}$ 

• • •

. . .

x=0; while x<n do x++  $\longrightarrow \{\perp, [0,0], [0,1], [0,2], [0,3], ..., [0,n], [-\infty, \infty]\}$ 

<sup>(\*)</sup> Patrick Cousot: Partial Completeness of Abstract Fixpoint Checking. SARA 2000: 1-25

## [In]finite abstractions

- No such domain exists for infinitely many programs
  - $\bigcup_{P \in \mathbb{L}} \mathscr{A}[P]$  is infinite

**Example:** {⊥, [0,0], [0,1], [0,2], [0,3], ..., [0,*n*], [0,*n*+1], ....,[-∞,∞]}

 λP ∈ L.𝒴 [P] is not computable (for undecidable properties)

⇒ finite abstractions will fail infinitely often while infinite abstractions will succeed!

# Terminating widenings are not monotone

Ŧ, ⊔, ⊓) © P Cous ut is and for nge of known tion, since we ever the iterates a on allows 101 operator 1 choose Xa) ) tŀ else  $l_1$  fi, u<sub>1</sub> fi] st ts

A widening operator will be used to extrapolate each iter post-fixed point is reached, in which case an upper approximation fixed point has been found.

**Theorem 10-30.** Let  $\not\subseteq$  be an isotone operator on  $\overline{L}(\subseteq, \perp)$ and  $\nabla$  be a widening operator. The limit u of the sequence (separate from<sup>2</sup>teinnation conditions) put arrows  $x^{n+1} = x^n \nabla(n+1) \circ \overline{f}(x^n)$  if  $\neg (\overline{f}(x^n) \subseteq x^n)$ CSL – LICS, Vienna, Austria, Juky 15, 2014  $- ext{if } \overline{f}(x^n) \sqsubseteq x^n$  $x^{n+1} = x^n$ co-in- $X \not\sqsubseteq \not = F(X)$  can be computed in a finite number of steps. Moreover Ifp(extrapolation is used for we ening and its duapsince we want to outside the Example f 10,34. man Zisatisfibe the ascending chaticon rowing candchoose avide of the content of the case of with the first of the case of with the case of t proper tidening operator may be / perestary when the convergence of must be speeded up as in Example 10-28. Although the above defin In Li different extrapolation to be applied at eath weld the will on in E.gor will most often be independent of *j*. For Example 10-28, one of the second seco  $\forall x \in \overline{L}_2, \perp \nabla_2(j) x = x \nabla_2(j) \perp = x$  $[l_1, u_1] \nabla_2(j) [l_2, u_2]$ = [if  $0 \le l_2 < l_1$  then 0 elsif  $l_2 < l_1$  then -b - b

if  $u_1 < u_2 < 0$  then 0 elsif  $u_1 < u_2$  then b els

#### Example of widenings

#### • Primitive widening [1,2]



$$[a_1, b_1] \overline{\nabla} [a_2, b_2] =$$

$$[\underline{if} a_2 < a_1 \underline{then} -\infty \underline{else} a_1 \underline{fi}, \\ \underline{if} b_2 > b_1 \underline{then} +\infty \underline{else} b_1 \underline{fi}]$$

• Widening with thresholds [3]

$$\forall x \in \overline{L}_2, \perp \nabla_2(j) \ x = x \nabla_2(j) \perp = x$$

$$[l_1, u_1] \nabla_2(j) [l_2, u_2]$$

$$= [if \ 0 \le l_2 < l_1 \ then \ 0 \ elsif \ l_2 < l_1 \ then \ -b \ -1 \ else \ l_1 \ fi,$$

$$if \ u_1 < u_2 \le 0 \ then \ 0 \ elsif \ u_1 < u_2 \ then \ b \ else \ u_1 \ fi]$$

Patrick Cousot, Radhia Cousot: Vérification statique de la cohérence dynamique des programmes, Rapport du contrat IRIA-SESORI No 75-032, 23 septembre 1975.
 Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252

<sup>[3]</sup> Patrick Cousot, Reamine Cousot, Reamine of program analysis, Ch. 10 of Program flow analysis: theory and practice, N. Jones & S. Muchnich (eds), Prentice Hall, 1981.

#### Example of widenings (cont'd)

• Parameterized widenings:

$$\nabla(\delta, T(\delta), \overline{F}, \langle \overline{X}^{\beta}, \beta \leqslant \delta \rangle, \langle \overline{F}(\overline{X}^{\beta}), \beta \leqslant \delta \rangle)$$

• Example: bounded model-checking

An abrupt such example is *n*-bounded abstract model checking [3] using an iteration  $\overline{X}^{k+1} \triangleq \overline{X}^k \nabla_{(k)} \overline{F}(\overline{X}^k)$  with parameterized widening  $\overline{X} \nabla_{(k)} \overline{Y} \triangleq [k \leq n ? \overline{Y} : \overline{\top}]$  where  $\overline{\top}$  is the abstract supremum:  $\forall X \in \mathfrak{D} : P \subseteq \gamma(\overline{\top})$  (everything is unknown beyond *n* iterations).
lefinition allows MAN OURSTOR THE EXHERING IN A THE PROPERTY PROVIDED THE SECONDINE COMPANY OF THE SECONDINE COMPANY. rowing cand choose a side o, We want to in which poase is with 101 ening operator proper tridening operator may be / perseary when the converge one can choose must be speeded up as in Example 10-28. Although the above in a different extrapolation to be applied at east weby the E. Sor will most often be independent of *j*. For Example 10-28 Xa) ) th  $\forall x \in \overline{L}_2, \perp \nabla_2(j) x = x \nabla_2(j) \perp = x$ b-1 else  $l_1$  fi,  $[l_1, u_1] \nabla_2(j) [l_2, u_2]$ b else  $u_1$  fi] = [if  $0 \leq l_2 \leq l_1$  then 0 elsif  $l_2 < l_1$  then wise: its,,,,,<sup>st</sup>  $\forall \overline{X}, \overline{Y} \in \mathcal{D} : (\overline{Y} \sqsubseteq X) \implies_{fu} (X \downarrow X) \xrightarrow{\mathcal{X}} 0 \xrightarrow{\mathcal{X}} 0$  elsif  $u_1 < u_2$  the  $\overline{V_2(j)} i_n^{\prime} (V.b)$ A Aywitering Rating 1 vi idening bi sp<u>plving Recemping</u> o use a widening ined in seannbe paraméter),  $i_n > \nabla_1(j) \langle i'_1, \ldots, i'_n \rangle = \langle i_1 \nabla_2(j) i'_1, \ldots, i'_n \rangle$ ening operation With regard to systems of equations it is not necessary op head nodes. Any abstraction Considering the program Sgraph as at any oriented done with widen first backward equations), they need only be used for the components corresponding to Muchnich (eds), Prentice Hall, 1981 et is not unique, ducible, interval only A set S of loop head nodes is a minimal set of vertices such A set S of [1] Patrick Cousot, Semantic foundations of program analysis, Ch. 10 of Program flow analysis: theory and practice, N. Jones SL - LICS, Vienna, August, JUK 15, 2014. [1] Patrick Cousot, Semantic fou COLLES Vienna. Autria, Juky 15, 2014 cycle in the graphicant all sice may be made (but when the graphis n graph in higan ar readers Transporters de la contraction de la contractidate de la contraction de la contraction de la c [1] Patrick Cousot, Semantic for mple 10-28, vine syste Francisco Construction, Substantic for the graph contains as a substant of the gra of The loop head index sites 10n-idefined graph inatigation program in the official field of the product of the pro-

#### Terminating [dual-]widenings are not monotone

**Theorem 5 (Non-monotonicity of terminating [dual] widening).** Let  $\langle \mathfrak{D}, \sqsubseteq \rangle$  be a poset and  $\nabla \in \mathfrak{D} \times \mathfrak{D} \mapsto \mathfrak{D}$  be a widening satisfying ( $\nabla$ .a), ( $\nabla$ .b), and ( $\nabla$ .c). Then  $\nabla$  cannot be increasing in its first parameter. The dual holds for  $\widetilde{\nabla}$ .

*Proof.* By reflexivity,  $\overline{Y} \sqsubseteq \overline{Y}$  so  $(\overline{\nabla}.b)$  implies  $\overline{Y} \bigtriangledown \overline{Y} = \overline{Y}$ . By reductio ad absurdum, if  $\overline{\nabla}$  is increasing in its first parameter then  $\overline{X} \sqsubseteq \overline{Y}$  implies  $\overline{X} \bigtriangledown \overline{Y} \sqsubseteq \overline{Y} \bigtriangledown \overline{Y} = \overline{Y} \sqsubseteq \overline{X} \bigtriangledown \overline{Y}$  by  $(\overline{\nabla}.a)$  which implies that  $\overline{X} \bigtriangledown \overline{Y} = \overline{Y}$  by antisymmetry. By  $(\overline{\nabla}.c)$ ,  $\forall k \ge n$ ,  $\overline{X}^{n+k} = \overline{X}^k \bigtriangledown \overline{Y}^k = \overline{X}^n$ . By hypothesis  $\overline{X}^k \sqsubseteq \overline{Y}^k$  so  $\overline{X}^k \bigtriangledown \overline{Y}^k = \overline{Y}^k$  which implies  $\forall k \ge n : \overline{Y}^k = \overline{X}^n$ , in contradiction with the fact that  $\langle \overline{Y}^k, k \in \mathbb{N} \rangle$  is an arbitrary sequence of elements of  $\overline{\mathfrak{D}}$ , hence in general not ultimately stationary.

*Counter-example 5 (Top widening).* The top widening  $X \nabla_{\top} Y \triangleq \top$  is terminating and increasing in its first parameter but does not satisfy condition ( $\nabla$ .b) since if a solution is found by iteration with widening, the top widening will degrade it to  $\top$ .  $\Box$ 

#### Consequences

- The transformers of structural static analyzers (which contain widenings) are not increasing
- Example

while (TRUE) {if 
$$(x == \emptyset)$$
 { $x = 1$ } else { $x = 2$ }}  
 $\overline{F}_{while}(I) = \mathbf{lfp}^{=} \lambda X \cdot I \sqcup \overline{F}_{if}(X)$   
 $\overline{F}_{if}(X) = (0 \in X ? [1,1] * \emptyset) \sqcup$   
 $(\exists x \in X : x \neq 0 ? [2,2] * \emptyset)$   
 $\overline{F}_{while}([0,0]) = [0,+\infty]$   
 $\overline{F}_{while}([0,2]) = [0,2]$ 

• Consequence: abstract fixpoints may not exist !

#### Solution

- The concrete (so-called collecting) semantics is for increasing concrete transformers in posets
- The abstract transformers may not be increasing (no fixpoints)
- Rely on abstract iterations (not abstract fixpoints)
- Rely on appoximations (not existing lubs/glbs)
- As a separate issue, enforce convergence (approximation of infinite iterations)

### **Concrete** iteration

#### Structure of the domain of properties

- The domain of concrete properties (𝔅), ⊆⟩ is assumed to be a poset
- No need for CPOs, complete lattices, etc since we are only interested in the chains of iterates of a concrete transformer  $F \in \mathfrak{D} \mapsto \mathfrak{D}$
- Even iterates of (an abstract)  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  may have no lubs/glbs
- Abstract/concrete is relative so we read to multiplicathenia weak hypotheses on the concrete of F FsushchthatatXX = Assume that the iterates are Sinned F such that the iterates are of F such that X of of all for the such that the such tha

#### Chains

Lemma 1 (Increasing sequences in posets are ultimately stationary). Any  $\leq$ -increasing transfinite sequence  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  of elements of a poset  $\langle \mathcal{P}, \leq \rangle$  is ultimately stationary (i.e.  $\exists \epsilon \in \mathbb{O} : \forall \delta \geq \epsilon : X^{\delta} = X^{\epsilon}$ . The smallest such  $\epsilon$  is the rank of the sequence.).  $\Box$ 

#### Iterates

**Definition 2 (Least/upper bounded iterates).** Let  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  be an transformer on a poset  $\langle \mathfrak{D}, \subseteq \rangle$  and  $D \in \mathfrak{D}$ . By least/upper bounded iterates of F from D we mean a transfinite sequence  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  of elements of  $\mathfrak{D}$  such that  $X^{0} \triangleq D, X^{\delta+1} \triangleq F(X^{\delta})$ , and for limit ordinals  $\lambda, \forall \delta < \lambda : X^{\delta} \subseteq X^{\lambda}$  for upper bounded iterates and  $X^{\lambda}$  is the least element with that property for least bounded iterates ( $\forall \delta < \lambda : X^{\delta} \subseteq X^{\lambda} \land \forall Y : \forall \delta < \lambda :$  $X^{\delta} \subseteq Y \implies X^{\lambda} \subseteq Y$ ).

#### Limits

**Lemma 3 (Increasing fixpoint iterates)**. Let  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  be the iterates of an transformer  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  on a poset  $\langle \mathfrak{D}, \subseteq \rangle$  from  $D \in \mathfrak{D}$ .

- (a) If *F* is extensive (i.e.  $\forall X \in \mathfrak{D} : X \subseteq F(X)$ ) and the iterates are upper bounded then they are increasing and *F* has a fixpoint  $\subseteq$ -greater than of equal to *D*.
- (b) If F is increasing, D a prefix-point of F (i.e.  $D \subseteq F(D)$ ), and the iterates are upper bounded (resp. least upper bounded) then they are increasing and F has a fixpoint  $\subseteq$ -greater than of equal to D (resp. least fixpoint  $lfp_D^{\subseteq} F$ ).
- (c) In case (b) of least upper bounded iterates,  $\forall Y \in \mathfrak{D} : (D \subseteq Y \land F(Y) \subseteq Y) \implies (\mathbf{lfp}_D^{\subseteq} F \subseteq Y).$

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## Increasing iteration with non-monotonic transformer/widening

#### Increasing iteration with widening



#### Hypotheses on widenings

Hypotheses 7 (Sound widening for concretization  $\gamma$ ).

- (a) for  $\nabla \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$ ,  $\forall \overline{P}, \overline{Q} \in \overline{\mathfrak{D}} : \gamma(\overline{P}) \subseteq \gamma(\overline{P} \nabla \overline{Q}) \wedge \gamma(\overline{Q}) \sqsubseteq \gamma(\overline{P} \nabla \overline{Q})$ (a')  $\forall \overline{P}, \overline{Q} \in \overline{\mathfrak{D}} : \overline{P} \sqsubseteq (\overline{P} \nabla \overline{Q}) \wedge \overline{Q} \sqsubseteq (\overline{P} \nabla \overline{Q})$
- (b) for  $\nabla \in \wp(\overline{\mathfrak{D}}) \mapsto \overline{\mathfrak{D}}$ ,  $\forall \mathfrak{X} \in \wp(\overline{\mathfrak{D}}) : \forall \overline{P} \in \mathfrak{X} : \gamma(\overline{P}) \subseteq \gamma(\nabla \mathfrak{X})$

#### Example of widenings (cont'd)

• Bounded widening:  $\forall \overline{P}, \overline{Q} : \overline{P} \nabla_{\overline{S}} \overline{Q} \sqsubseteq \overline{S}$ 

Ex: bounded widening (in [min\_int, max\_int]):

 [a, b] ∇ [c, d] ≜
 [if c<a then min int else a, if d>a then max int else b]

#### Example of widenings (cont'd)

• Bounded widening (in  $[\ell, h]$ ):



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## Decreasing iteration with non-monotonic transformer/narrowing

#### Decreasing iteration with narrowing



#### Hypotheses on narrowings

Hypotheses 12 (Sound narrowing for concretization  $\gamma$ ).

- for  $\Delta \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$ ,
- (a)  $\forall \overline{P}, \overline{Q} \in \overline{\mathfrak{D}} : (\gamma(\overline{Q}) \subseteq \gamma(\overline{P})) \implies (\gamma(\overline{Q}) \subseteq \gamma(\overline{P} \bigtriangleup \overline{Q}) \subseteq \gamma(\overline{P}))$
- (a')  $\forall \overline{P}, \overline{Q} \in \overline{\mathfrak{D}} : (\gamma(\overline{Q}) \subseteq \gamma(\overline{P})) \implies (\overline{Q} \sqsubseteq (\overline{P} \bigtriangleup \overline{Q}) \sqsubseteq \overline{P})$ 
  - for  $\Delta \in \wp(\overline{\mathfrak{D}}) \mapsto \overline{\mathfrak{D}}$ ,
- (b)  $\forall P \in \mathfrak{D} : \forall \mathfrak{X} \in \wp(\overline{\mathfrak{D}}) : (\forall \overline{Q} \in \mathfrak{X} : P \subseteq \gamma(\overline{Q})) \implies (P \subseteq \gamma(\Delta \mathfrak{X}) \subseteq \gamma(\overline{Q})) \square$

#### Example of narrowing

• [2]

# $\begin{bmatrix} a_1, b_1 \end{bmatrix} \overline{\Delta} \begin{bmatrix} a_2, b_2 \end{bmatrix} =$ $\begin{bmatrix} \underline{if} & a_1 &= -\infty & \underline{then} & a_2 & \underline{else} & MIN & (a_1, a_2), \\ \\ \underline{if} & b_1 &= +\infty & \underline{then} & b_2 & \underline{else} & MAX & (b_1, b_2) \end{bmatrix}$

[2] Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252

#### Soundness of narrowing iterations

**Theorem 15 (Over-approximation of decreasing iterates with narrowing).** By the dual of Def. 2, let  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  be the greatest lower bound iterates of the increasing transformer  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  on a concrete poset  $\langle \mathfrak{D}, \subseteq \rangle$  from  $D \in \mathfrak{D}$  such that  $F(D) \subseteq D$ . By the dual of Lem. 3 (b),  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  is therefore decreasing and ultimately stationary at  $X^{\epsilon} = \mathbf{gfp}_{D}^{\varsigma} F$ .

Let the abstract domain  $\langle \overline{\mathfrak{D}}, \sqsubseteq \rangle$  be a poset, the concretization  $\gamma \in \overline{\mathfrak{D}} \mapsto \mathfrak{D}$  be increasing, the abstract transformer be  $\overline{F} \in \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}, \Delta \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  be a narrowing satisfying Hyp. 13 (a) (or Hyp. 13 (a')) and  $\Delta \in \wp(\overline{\mathfrak{D}}) \mapsto \overline{\mathfrak{D}}$  satisfies Hyp. 13 (b) for  $\mathfrak{X} = \{\overline{X}^{\delta} \mid \delta < \lambda \land \lambda \in \mathbb{O} \text{ is a limit ordinal}\}$ , where the abstract iterates are the transfinite sequence  $\langle \overline{X}^{\delta} \in \overline{\mathfrak{D}}, \delta \in \mathbb{O} \rangle$  such that  $D \subseteq \gamma(\overline{X}^{0}), \overline{X}^{\delta+1} \triangleq \overline{X}^{\delta} \Delta \overline{F}(\overline{X}^{\delta}), \overline{X}^{\lambda} \triangleq \bigwedge_{\beta < \lambda} \overline{X}^{\beta}$  for limit ordinals  $\lambda$ , do satisfy the semi-commutation condition  $\forall \delta \in \mathbb{O} : F \circ \gamma(\overline{X}^{\delta}) \subseteq$ 

 $\gamma \circ \overline{F}(\overline{X}^{\delta}).$ 

If the abstract transformer  $\overline{F} \in \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  is reductive on the abstract iterates  $\langle \overline{X}^{\delta}, \delta \in \mathbb{O} \rangle$  (i.e.  $\forall \delta \in \mathbb{O} : \overline{F}(\overline{X}^{\delta}) \sqsubseteq \overline{X}^{\delta}$ ) then their concretization  $\langle \gamma(\overline{X}^{\delta}), \delta \in \mathbb{O} \rangle$  is decreasing and ultimately stationary with limit  $\gamma(\overline{X}^{\varepsilon})$  such that  $\forall \delta \in \mathbb{O} : \mathbf{gfp}_{D}^{\subseteq} F = X^{\varepsilon} \subseteq \gamma(\overline{X}^{\varepsilon}) \subseteq \gamma(\overline{X}^{\delta})$ .

#### Decreasing narrowing iterations

**Lemma 16.** The more traditional hypothesis that  $(P \sqsubseteq Q) \implies (P \sqsubseteq P \triangle Q \sqsubseteq Q)$ ,  $\forall i \in \Delta : (P \sqsubseteq Q_i) \implies (P \sqsubseteq \bigtriangleup_{j \in \Delta} Q_j \sqsubseteq Q_i), \overline{F}(\overline{X}^0) \sqsubseteq \overline{X}^0$ , and  $\overline{F}$  is increasing imply that  $\overline{F}$  is reductive on the iterates.

## Increasing iteration with non-monotonic transformer/dual-widening

#### Increasing iteration with dual-narrowing



#### Hypotheses on dual-narrowing

• A narrowing:

 $P \sqsubseteq Q \implies P \sqsubseteq P \Delta Q \sqsubseteq Q$ 

- By order-duality, for a dual-narrowing:  $P \sqsupseteq Q \implies P \sqsupseteq P \widetilde{\Delta} Q \sqsupseteq Q$   $Q \sqsupseteq P \implies Q \sqsupseteq Q \widetilde{\Delta} P \sqsupseteq P$   $\Leftrightarrow$  renaming  $Q \sqsupseteq P \implies P \sqsubseteq Q \widetilde{\Delta} P \sqsupseteq P$  $\Leftrightarrow$  order-duality
- A dual-narrowing is a narrowing with inverted parameters (and inversely)!

#### Example I of dual-narrowing

*Example 17 (Interval dual-narrowing).* If  $[a,b] \subseteq [c,d]$  then  $c \leq a \leq b \leq d$  so we can define  $[a,b] \widetilde{\Delta} [c,d] \triangleq [[c = -\infty ? a : \lfloor (a+c)/2 \rfloor], [d = \infty ? b : \lceil (b+d)/2 \rceil]]$  where  $\lfloor x \rfloor$  is the largest integer not greater than real x and  $\lceil x \rceil$  is the smallest integer not less than real x since  $c \leq \lfloor (a+c)/2 \rfloor \leq a \leq b \leq \lceil (b+d)/2 \rceil \leq d$  and therefore  $[a,b] \subseteq ([a,b] \widetilde{\Delta} [c,d]) \subseteq [c,d]$ .



#### Example II of dual-narrowing

- Craig interpolation:
  - first-order formulae  $\varphi$  and  $\psi$  such that  $\neg(\varphi \land \psi)$
  - $\exists$  first-order formula  $\rho$ ,  $\psi \implies \rho, \neg(\rho \land \psi)$ , and  $\operatorname{Vars}[\![\rho]\!] \subseteq (\operatorname{Vars}[\![\varphi]\!] \cap \operatorname{Vars}[\![\psi]\!])$ .
- Letting  $\psi' \triangleq \neg \psi$ , if  $\varphi \implies \psi'$  then  $\exists$  interpolant  $\rho$ :  $\varphi \implies \rho \implies \psi'$ .
- So in the poset of first-order formulæ ordered by implication  $\implies$ , we can define:

$$\varphi \widetilde{\Delta} \psi \triangleq \rho$$

#### Iteration with dual-narrowing

Theorem 23 (Over-approximation of bounded increasing iterates with dual-narrowing). Let  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  be the least upper bound iterates of the increasing transformer  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  on a concrete poset  $\langle \mathfrak{D}, \subseteq \rangle$  from  $D \in \mathfrak{D}$  such that  $D \subseteq F(D)$ . By Lem. 3 (b),  $\langle X^{\delta}, \delta \in \mathbb{O} \rangle$  is therefore increasing and ultimately stationary at  $X^{\epsilon} = \mathbf{lfp}_{D}^{\subseteq} F$ .

Let the abstract domain  $\langle \overline{\mathcal{D}}, \sqsubseteq \rangle$  be a poset, the concretization  $\gamma \in \overline{\mathcal{D}} \mapsto \mathcal{D}$  be increasing, the bound specification  $\overline{S} \in \overline{\mathfrak{D}}$ , the abstract transformer be  $\overline{F} \in \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$ ,  $\Delta \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  be a dual-narrowing  $\Delta$  satisfying the order dual of Hyp. 13 (a), and  $\widetilde{\Delta} \in \mathscr{P}(\overline{\mathfrak{D}}) \mapsto \overline{\mathfrak{D}}$  satisfying the order dual of Hyp. 13 (b) for  $\mathfrak{X} \triangleq \{\overline{X}^{\lambda} \mid \delta < \lambda \land \lambda \in$  $\mathbb{O}$  is a limit ordinal}, where the abstract iterates are the transfinite sequence  $\langle \overline{X}^{\delta} \in \overline{\mathfrak{D}}, \rangle$  $\delta \in \mathbb{O}$  such that  $D \subseteq \gamma(\overline{X}^0)$  and  $\overline{X}^0 \subseteq \overline{S}, \overline{X}^{\delta+1} \triangleq (\overline{F}(\overline{X}^\delta) \subseteq \overline{S} \cong \overline{F}(\overline{X}^\delta) \widetilde{\Delta} \overline{S} \cong \overline{S}),$  $\overline{X}^{\lambda} \triangleq \widetilde{\Delta}_{\beta < \lambda} \overline{X}^{\beta}$  for limit ordinals  $\lambda$ , which are assumed to satisfy the semi-commutation condition  $\forall \delta \in \mathbb{O} : F \circ \gamma(\overline{X}^{\delta}) \subseteq \gamma \circ \overline{F}(\overline{X}^{\delta})$ . Then (a) The concretization  $\langle \gamma(\overline{X}^{\delta}), \delta \in \mathbb{O} \rangle$  of the abstract iterates  $\langle \overline{X}^{\delta}, \delta \in \mathbb{O} \rangle$  is such that  $\forall \delta \in \mathbb{O} : (X^{\delta} \subseteq \gamma(\overline{S})) \implies (X^{\delta} \subseteq \gamma(\overline{X}^{\delta}) \subseteq \gamma(\overline{S}));$ (b)  $\forall \delta \in \mathbb{O} : \overline{F}(\overline{X}^{\delta}) \sqsubseteq \overline{X}^{\delta} \implies lf p_{D}^{\subseteq} F = X^{\delta} \subseteq \gamma(\overline{X}^{\delta}) \subseteq \gamma(\overline{S}).$ 

#### Ternary dual-narrowing

• The iteration with binary dual-narrowing does not exploit all available information  $(\overline{X}^{\delta})$ :

$$\overline{X}^{\delta+1} \triangleq (\overline{F}(\overline{X}^{\delta}) \sqsubseteq \overline{S} \circ \overline{F}(\overline{X}^{\delta}) \widetilde{\Delta} \overline{S} \circ \overline{S})$$

- We can also use a ternary dual-narrowing:  $\overline{X}^{\delta+1} \triangleq (\overline{F}(\overline{X}^{\delta}) \subseteq \overline{S} ? \widetilde{\Delta}(\overline{X}^{\delta}, \overline{F}(\overline{X}^{\delta}), \overline{S}) * \overline{S})$
- Soundness requirement:

$$\overline{P} \subseteq \overline{Q} \subseteq \overline{S}$$
 implies  $\overline{Q} \subseteq \widetilde{\Delta}(\overline{P}, \overline{Q}, \overline{S}) \subseteq \overline{S}$ .

#### Example of binary/ternary dual-narrowing

• Binary dual-narrowing:



#### Ternary dual-narrowing versus bounded widening

• A bounded widening provides a ternary dualnarrowing:

 $\widetilde{\Delta}(\overline{P},\overline{Q},\overline{S}) \triangleq \overline{P} \nabla_{\overline{S}} \overline{Q} \text{ is a dual narrowing since if } \overline{P} \sqsubseteq \overline{Q} \sqsubseteq \overline{S} \text{ then by Hyp. 7 (a'),} \\ \overline{Q} \sqsubseteq \overline{P} \nabla_{\overline{S}} \overline{Q} \text{ and } \overline{P} \nabla_{\overline{S}} \overline{Q} \sqsubseteq \overline{S} \text{ since the widening is bounded so that } \overline{Q} \sqsubseteq \widetilde{\Delta}(\overline{P},\overline{Q},\overline{S}) \sqsubseteq \overline{S}.$ 

- Reciprocally, a ternary dual-narrowing provides a bounded widening (for increasing chains only):
  - if  $\overline{P} \sqsubseteq \overline{Q}$  then  $\overline{P} \nabla_{\overline{S}} \overline{Q} \triangleq \widetilde{\Delta}(\overline{P}, \overline{Q}, \overline{S})$  is a bounded widening.

#### Increasing/decreasing operators

- $\nabla$  and dual-narrowing  $\widetilde{\Delta}$  are increasing (since they operate on increasing chains  $\langle \overline{X}^i, i \in \mathbb{N} \rangle$ )
- dual widening  $\widetilde{\nabla}$  and narrowing  $\Delta$  are decreasing (since they operate on decreasing chains  $\langle \overline{X}^i, i \in \mathbb{N} \rangle$ ).
- We consider iterations of the form  $\overline{X}^{0}, \dots, \overline{X}^{i+1} \triangleq \overline{X}^{i} \boxtimes \overline{Y}^{i}, \dots$

where  $X \in \{\nabla, \widetilde{\nabla}, \Delta, \widetilde{\Delta}\}$  $\langle \overline{X}^i, i \in \mathbb{N} \rangle$  is a chain  $\langle \overline{Y}^i, i \in \mathbb{N} \rangle$  is arbitrary.

## Terminating extrapolators/ interpolators

#### **Termination**

**Definition 29 (Terminating extrapolation/interpolation operator)**. An increasing (resp. decreasing) extrapolation/interpolation operator  $X \in \{\nabla, \widetilde{\nabla}, \Delta, \widetilde{\Delta}\}$  such that  $X \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  is terminating whenever for any increasing (resp. decreasing) chain  $\langle \overline{X}^i \in \overline{\mathfrak{D}}, i \in \mathbb{N} \rangle$  and arbitrary sequence  $\langle \overline{Y}^i \in \overline{\mathfrak{D}}, i \in \mathbb{N} \rangle$ , the sequence  $\overline{X}^0, \dots, \overline{X}^{i+1} \triangleq \overline{X}^i X \overline{Y}^i$ ,  $\dots$  is ultimately stationary at some rank  $n \in \mathbb{N}$ .

**Definition 30 (Terminating bounded interpolation operator).** An increasing (resp. decreasing) interpolation operator  $\underline{X} \in \{\Delta, \widetilde{\Delta}\}$  such that  $\underline{X} \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  is terminating whenever for any increasing (resp. decreasing) chain  $\langle \overline{Y}^i \in \overline{\mathfrak{D}}, i \in \mathbb{N} \rangle$  and bound  $\overline{S} \in \overline{\mathfrak{D}}$ , the sequence  $\overline{X}^0 = \overline{Y}^0, \dots, \overline{X}^{i+1} = \underline{X}(\overline{X}^i, \overline{Y}^i, \overline{S})^6, \dots$  is ultimately stationary at some rank  $n \in \mathbb{N}$ .

<sup>6</sup>  $\overline{X}^{i+1} = \overline{Y}^i \boxtimes \overline{S}$  for binary interpolators  $\boxtimes \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$ .

#### Examples

- The widenings and narrowings given as examples are all terminating
- Dual-narrowing:
  - $[a,b] \widetilde{\Delta} [c,d] \triangleq [[(c = -\infty ? a : \lfloor (a+c)/2 \rfloor), ([d = \infty ? b : \lceil (b+d)/2 \rceil)])$

is not bounded terminating when  $c = -\infty$  or  $d = \infty$ 

- The bounded dual narrowing  $[a,b] \widetilde{\Delta} [c,d] \triangleq [\lfloor (a+c)/2 \rfloor, \lceil (b+d)/2 \rceil] \subseteq [\ell,h].$ 
  - is always terminating but convergence is slow

## Static analysis

Algorithm 32 (Fixpoint over approximation by successive extrapolations and interpolations). Input  $\overline{F}$  and  $\overline{D}$  on  $\langle \mathcal{D}, \vdash \rangle$ .

 $\overline{F}(\overline{X}) = \overline{X}$ 

(A) Using a terminating widening  $\nabla \in \mathfrak{D} \times \mathfrak{D} \mapsto \mathfrak{D}$ , compute iteratively the iterations  $\overline{X}^0 \triangleq \overline{D}, \dots, \overline{X}^{k+1} \triangleq \overline{X}^k \nabla \overline{F(X^k)}$  until reaching a post-fixpoint  $\overline{F(\overline{X}^n)} \sqsubseteq \overline{X}^n$  at some rank *n*.

(B) If F(X̄<sup>n</sup>) ≠ X̄<sup>n</sup> then, using a terminating narrowing Δ ∈ 𝔅 × 𝔅 → 𝔅, compute iteratively the iterations Ȳ<sup>0</sup> ≜ X̄<sup>n</sup>, ..., Ȳ<sup>k+1</sup> ≜ Ȳ<sup>k</sup> Δ F(Ȳ<sup>k</sup>) until reaching Ȳ<sup>m+1</sup> = Ȳ<sup>m</sup> at some rank m. Otherwise F(X̄<sup>n</sup>) = X̄<sup>n</sup> so skip this step (B) with Ȳ<sup>m</sup> = X̄<sup>n</sup>.
(C) Using a terminating dual-narrowing Δ̃ ∈ 𝔅 × 𝔅 → 𝔅, compute iteratively the iterations Z̄<sup>0</sup> ≜ D̄, ..., Z̄<sup>k+1</sup> ≜ F(Z̄<sup>k</sup>) 𝔅 Ȳ<sup>m</sup> until reaching Z̄<sup>p+1</sup> = Z̄<sup>p</sup> at some rank p.

Optionnally, if  $\overline{Z}^p \sqsubset \overline{Y}^m$ , repeat the interpolation steps (B) and (C) from  $\overline{X'}^n = \overline{Z}^p \bigtriangleup' \overline{Y}^m$  (where  $\bigtriangleup'$  is a terminating narrowing satisfying **Hyp. 13** (a')) until convergence to  $\overline{Z}^p \bigtriangleup' \overline{Y}^m = \overline{Y}^{m_7}$ . Return  $\overline{Z}^p$ .
#### Soundness

**Theorem 33 (Soundness and termination of Alg. 32).** Let  $\langle \mathfrak{D}, \subseteq, \cup \rangle$  be a poset,  $F \in \mathfrak{D} \mapsto \mathfrak{D}$  be increasing,  $D \in \mathfrak{D}$  be such that  $D \subseteq F(D)$ , and the concrete iterates  $X^0 \triangleq D$ ,  $X^{\delta+1} \triangleq F(X^{\delta})$  for successor ordinals, and  $X^{\lambda} \triangleq \bigcup_{\beta < \lambda} X^{\beta}$  for limit ordinals  $\lambda$ , be well defined in the poset  $\langle \mathfrak{D}, \subseteq, \cup \rangle$  (i.e. the lubs  $\bigcup$  do exist).

Let the abstract domain  $\langle \overline{\mathfrak{D}}, \sqsubseteq \rangle$  be a poset, the concretization  $\gamma \in \overline{\mathfrak{D}} \mapsto \mathfrak{D}$  be increasing, the abstract transformer be  $\overline{F} \in \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  satisfying the pointwise semi-commutation condition  $F \circ \gamma \subseteq \gamma \circ \overline{F}$ .

Let  $\overline{D} \in \overline{\mathfrak{D}}$  be such that  $D \subseteq \gamma(\overline{D})$  and  $\forall \overline{X} \in \overline{\mathfrak{D}} : (\overline{D} \sqsubseteq \overline{X} \land \overline{F}(\overline{X}) \sqsubseteq \overline{X}) \Longrightarrow$  $(\overline{D} \sqsubseteq \overline{F}(\overline{X})), \nabla \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  be a terminating widening satisfying Hyp. 8 (a'),  $\Delta \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  be a terminating narrowing satisfying Hyp. 14 (a) such that  $\forall \overline{X} \in \overline{\mathfrak{D}} :$  $(\overline{F}(\overline{X}) \sqsubseteq \overline{X}) \Longrightarrow (\overline{F}(\overline{X} \Delta \overline{F}(\overline{X})) \sqsubseteq \overline{X} \Delta \overline{F}(\overline{X})), and \widetilde{\Delta} \in \overline{\mathfrak{D}} \times \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  be a terminating dual-narrowing satisfying the order dual of Hyp. 14 (a').

Then static analysis Alg. 32 always terminates with a sound fixpoint over-approximation  $\overline{Z}^p$  such that  $\mathbf{lfp}_D^{\subseteq} F \subseteq \gamma(\overline{Z}^p) \subseteq \gamma(\overline{Y}^m) \subseteq \gamma(\overline{X}^n)$ . We have  $\overline{Z}^p \sqsubseteq \overline{Y}^m \sqsubseteq \overline{X}^n$  so the fixpoint over-approximation  $\overline{Z}^p$  is improved by the successive interpolations (B) and (C). Given an abstract specification  $\overline{S} \in \overline{\mathfrak{D}}$ , if  $\overline{Z}^p \sqsubseteq \overline{S}$  then  $\mathbf{lfp}_D^{\subseteq} F \subseteq \gamma(\overline{S})$  else it is unknown whether the specification holds.

# Verification, checking, analysis

#### Static verification, analysis & checking

The static inductive proof  $\exists \overline{I} \in \overline{\mathfrak{D}} : \overline{F}(\overline{I}) \sqsubseteq \overline{I} \land \overline{I} \sqsubseteq \overline{S}$  can be done in various forms.

- (a) In static verification by deductive verification methods, the induction hypothesis  $\overline{I}$  is provided by the end-user so that the problem is to generate and check the verification condition  $\overline{F}(\overline{I}) \sqsubseteq \overline{I} \land \overline{I} \sqsubseteq \overline{S}$ .
- (b) In static checking, the induction hypothesis  $\overline{I}$  must be automatically inferred from the transformer  $\overline{F}$  and the specification  $\overline{S}$  (and also checked to satisfy the verification condition  $\overline{F}(\overline{I}) \sqsubseteq \overline{I} \land \overline{I} \sqsubseteq \overline{S}$ ).
- (c) In static analysis, the induction hypothesis  $\overline{I}$  must be automatically inferred from the transformer  $\overline{F}$  (independently of a particular specification  $\overline{S}$ ) and checked to satisfy the verification  $\overline{F}(\overline{I}) \subseteq \overline{I}$ . Then when a specification  $\overline{S}$  is given, it remains to check that  $\overline{I} \subseteq \overline{S}$ .

#### $Checking \Longrightarrow analysis$

- Static analysis (c) is static checking (b) where the specification  $S = \overline{\top}$  is the always true *i.e.*  $\forall \overline{I} : \overline{I} \sqsubseteq \overline{\top}$ .

#### Analysis $\Rightarrow$ checking

- Static checking (b) is static analysis (c) in the abstract domain  $\overline{\mathfrak{D}}' \triangleq \{P \in \overline{\mathfrak{D}} \mid P \sqsubseteq \overline{S}\}$ . The idea is therefore to assume that the specification  $\overline{S}$  does hold and to calculate by Alg. 32 a more precise inductive fixpoint over-approximation  $\overline{Z}^P$  in  $\overline{\mathfrak{D}}'$ . Upon termination it remains to check that the fixpoint over-approximation  $\overline{Z}^P$  is inductive and stronger than the specification  $\overline{S}$  in  $\overline{\mathfrak{D}}$ .

**Theorem 35 (Static checking).** Assume the hypotheses of Th. 33 and let  $\overline{S} \in \mathfrak{D}$  be a (non-inductive) abstract specification (such that  $D \subseteq \gamma(\overline{S})$  and  $\overline{F}(\overline{S}) \not\subseteq \overline{S}^9$ ). Let  $\overline{Z'}^P$  be the result of Alg. 32 applied to the restriction  $\overline{F'}(\overline{X}) \triangleq ([\overline{F}(\overline{X}) \sqsubseteq \overline{S} ? \overline{F}(\overline{X}) : \overline{S}])$  of  $\overline{F}$  to  $\overline{\mathfrak{D}'} \triangleq \{P \in \overline{\mathfrak{D}} \mid P \sqsubseteq \overline{S}\}$  and  $\overline{D} \in \overline{\mathfrak{D}'}$ , using the bounded widening  $\overline{X} \nabla' \overline{Y} \triangleq ([\overline{X} \nabla \overline{Y} \sqsubseteq \overline{S}])$  of  $\overline{F}$  to  $\overline{S} ? \overline{X} \nabla \overline{Y} : \overline{S}]$  which is the restriction of the widening  $\nabla$  to  $\overline{\mathfrak{D}'}$ , and same narrowing satisfying Hyp. 14 (a') and same dual-narrowing satisfying the dual of Hyp. 14 (a'). If  $\overline{F}(\overline{Z'}^P) \sqsubseteq \overline{Z'}^P$  then  $\mathbf{lfp}_D^{\varsigma} F \subseteq \gamma(\overline{S})$  that is .

# On specifications

### On Specifications in the small

 In the small/tiny, specifications may be ``not far from the inductive argument"

#### • Observed by

Morris Jr., J.H., Wegbreit, B.: Subgoal induction. Commun. ACM 20(4), 209–222 (1977) Dijkstra, E.W.: Heuristics for a calculational proof. Inf. Process. Lett. 53(3), 141–143 (1995) Dijkstra, E.W., Scholten, C.S.: Predicate calculus and program semantics. Texts and monographs in computer science, Springer (1990)

#### • Exploited by

Dillig, I., Dillig, T., Li, B., McMillan, K.L.: Inductive invariant generation via abductive inference. In: OOPSLA. 443–456. ACM (2013) McMillan, K.L.: Applications of Craig interpolation to model checking. In: CSL. LNCS 3210, 22–23. Springer (2004) McMillan, K.L.: Applications of Craig interpolants in model checking. In: TACAS. LNCS 3440, 1–12. Springer (2005)

#### On Specifications in the large

# No specifications or specifications are ``far from" the inductive argument

An example in ASTRÉE is the problem of finding maximal l and minimal h bounds such that S[0], S[1]  $\in$  [l, h] is invariant in the following filter program

```
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN; BOOLEAN INIT; float P, X;
void filter () { static float E[2], S[2];
if (INIT) {S[0] = X; P = X; E[0] = X;}
else { P = (((((0.5*X)-(E[0]*0.7))+(E[1]*0.4))+(S[0]*1.5))-(S[1]*0.7));}
E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
/* S[0], S[1] in [l, h] */ }
void main () { X = 0.2*X+5; INIT = TRUE;
while (1) { X = 0.9*X+35; /* simulated filer input */
filter (); INIT = FALSE; } }
```

# On "Widening and interpolation" [44]

<sup>[44]</sup> McMillan, K.L.: Widening and interpolation. In: SAS. LNCS 6887, p. 1. Springer (2011), slides sas2011.cs.technion.ac.il/slides/mcmillan.pptx

#### Conclusion

- Widening/narrowing and interpolation are methods of generalizing from bounded to unbounded proofs
- Formally, widening/narrowing satisfies stronger conditions



stabilization is not obtained when proving properties, however

#### Conclusion, cont.

Heuristically, the difference is weak v. strong bias



#### weak bias

rich proof system completeness large search space Occam's razor generic representations

- Can we combine strong and weak heuristics? ٠
  - Fall back on weak heuristics when strong fails
  - Use weak heuristics to handle combinatorial complexity
  - Build known widenings into theory solvers in SMT?

[44]<sup>8</sup> discusses widening (extrapolation) versus interpolation (narrowing/dual-nar-rowing).

- It is argued that extrapolation uses a weak/inexpressive abstract domain with efficient representations and small search space while interpolation uses a strong/expressive abstract domain with generic representations and large search space. In fact both approaches rely on an abstract domain and this choice is independent of the chosen iteration method. For example [24] shows that combinations of theories in SMT solvers are reduced products of abstract domains (just lacking extrapolation and interpolation operators). Some theories in SMT solvers rely on specific internal representations for efficiency (like affine inequalities).
- The fact that one reason on (relational) invariants or sets of computation histories is part of the choice of the abstract domain. For example trace-based abstraction [17,6] and trace partitioning [47] can lift any abstraction to reason by case analysis on computation histories.
- The transformers F (and  $\overline{F}$ ) can be weakest pre- or strongest post-conditions (and their abstraction). The fact that the equivalence formalized in the concrete by the Galois connection  $\langle \mathfrak{D}, \subseteq \rangle \xleftarrow{\widetilde{\operatorname{pre}}[\tau]}{\operatorname{post}[\tau]} \langle \mathfrak{D}, \subseteq \rangle$  is preserved in the abstract depends on the abstract domain not on the convergence acceleration method (widening, narrowing, and duals).

<sup>&</sup>lt;sup>8</sup> See also the slides sas2011.cs.technion.ac.il/slides/mcmillan.pptx.

- Incompleteness comes from the choice of the abstract domain and the extrapolation/interpolation operators. The abstract domain is fundamentally incomplete by undecidability (*e.g.* first-order predicates are incomplete for Hoare logic [13]). Extrapolation itself is not necessarily non-terminating and incomplete. A counterexample is abstract acceleration where the abstract fixpoint can be computed exactly from the first iterates [38].
- Ockham's razor (*lex parsimoniae*) can be made part of the definition of the abstract transformer and the extrapolation/interpolation operators. As pointed out in [20], it is always possible to introduce simplification heuristics *e.g.* by using  $\lambda X \cdot X \nabla \overline{F}(X)$  or it's *n*-unrolling version  $\lambda X \cdot (\dots ((X \nabla \overline{F}(X)) \nabla \overline{F}^2(X)) \dots \nabla \overline{F}^n(X))$  where the local widening  $\nabla$  performs heuristic simplifications or to approximate the transformer based on interpolation *e.g.* by using  $\lambda X \cdot \overline{F}(X) \Delta \overline{S}$  as proposed in [43]. Notice that the main contribution to get a simplified transformer  $\overline{F} \in \overline{\mathfrak{D}} \mapsto \overline{\mathfrak{D}}$  is through the careful design of the abstract domain  $\overline{\mathfrak{D}}$  (and that can always be done through a widening [18]).

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## On refinement

#### Refinement: good news

- Problem: how to prove a valid abstract property  $|\text{fp } F[[P]] \subseteq \gamma(\overline{S}) \text{ when } F \circ \gamma \sqsubseteq \gamma \circ \overline{F} \text{ but } |\text{fp } \overline{F}[[P]] \not \sqsubseteq \overline{S} ?$
- It is always possible to refine  $\langle \overline{\mathscr{D}}, \sqsubseteq \rangle$  into a most abstract more precise abstraction  $\langle \overline{\mathscr{D}}', \sqsubseteq' \rangle$  such that  $\langle \overline{\mathscr{D}}', \sqsubseteq' \rangle \xleftarrow{\gamma'} \langle \mathscr{D}, \subseteq \rangle$  and  $F' \circ \gamma \sqsubseteq \gamma \circ F'$  such that  $|\text{fp } F'[[P]] \sqsubseteq' \alpha' \circ \gamma (\overline{S})$

(thus proving Ifp  $F[[P]] \subseteq \gamma'(\overline{S})$  which implies Ifp  $F[[P]] \subseteq \gamma(\overline{S})$ )

Roberto Giacobazzi, Francesco Ranzato, Francesca Scozzari: Making abstract interpretations complete. J. ACM 47(2): 361-416 (2000) Dagstuhl Seminar 14352, Next generation Static Software Analysis Tools, Aug. 24–29, 2014 87

#### Refinement: bad news

- But, refinements of an abstraction can be intrinsically incomplete
- The only complete refinement of that abstraction for the collecting semantics is :
  - the identity (i.e. no abstraction at all)
- In that case, the only complete refinement of the abstraction is to the collecting semantics and any other refinement is always imprecise

• Consider executions traces  $\langle i, \sigma \rangle$  with infinite past and future:



Patrick Cousot, Radhia Cousot: Temporal Abstract Interpretation. POPL 2000: 12-25

Consider the temporal specification language μ<sup>2</sup>
 (containing LTL, CTL, CTL\*, and Kozen's μ-calculus as fragments):

 $S \in \wp(\mathbb{S})$ state predicate  $\varphi ::= \boldsymbol{\sigma}_S$  $t \in \wp(\mathbb{S} \times \mathbb{S})$ transition predicate  $\pi_t$  $\oplus \varphi_1$ next  $\varphi_1$ reversal disjunction  $\varphi_1 \vee \varphi_2$ negation  $\neg \varphi_1$  $X \qquad X \in \mathbb{X}$ variable  $\boldsymbol{\mu} X \boldsymbol{\cdot} \varphi_1$ least fixpoint  $\boldsymbol{\nu} X \boldsymbol{\cdot} \varphi_1$ greatest fixpoint  $\forall \varphi_1 : \varphi_2$ universal state closure

Patrick Cousot, Radhia Cousot: Temporal Abstract Interpretation. POPL 2000: 12-25

• Consider universal model-checking abstraction:

 $\mathrm{MC}_{M}^{\forall}(\phi) = \alpha_{M}^{\forall}(\llbracket\phi\rrbracket) \in \wp(Traces) \to \wp(States)$ 

$$= \{ s \in States \mid \forall \langle i, \sigma \rangle \in Traces_M . (\sigma_i = s) \Rightarrow \\ \langle i, \sigma \rangle \in \llbracket \phi \rrbracket \}$$

#### where M is defined by a transition system

(and dually the existential model-checking abstraction)

• The abstraction from a set of traces to a trace of sets is sound but *incomplete*, even for finite systems <sup>(\*)</sup>



• Any refinement of this abstraction is *incomplete* (but to the infinite past/future trace semantics itself) (\*\*)

<sup>(\*)</sup> Patrick Cousot, Radhia Cousot: Temporal Abstract Interpretation. POPL 2000: 12-25

<sup>(\*\*)</sup> Roberto Giacobazzi, Francesco Ranzato: Incompleteness of states w.r.t. traces in model checking. Inf. Comput. 204(3): 376-407 (2006)

#### Intrinsic approximate refinement



#### In general refinement does not terminate

• Example:filter invariant abstraction:

2nd order filter:



Counter-example guided refinement will indefinitely add missing points according to the execution trace: Unstable polyhedral abstraction:





Julien Bertrane, Patrick Cousot, Radhia Cousot, Jérôme Feret, Laurent Mauborgne, Antoine Miné, & Xavier Rival. Static Analysis and Verification of Aerospace Software by Abstract Interpretation. In *AIAA Infotech@@Aerospace 2010*, Atlanta, Georgia. American Institute of Aeronautics and Astronautics, 20–22 April 2010. © AIAA.

#### In general refinement does not terminate

 Narrowing is needed to stop infinite iterated automatic refinements:

e.g. SLAM stops refinement after 20mn (now abandoned by MS)

- Intelligence is needed for refinement:
  - e.g. human-driven refinement of Astrée

Julien Bertrane, Patrick Cousot, Radhia Cousot, Jérôme Feret, Laurent Mauborgne, Antoine Miné, & Xavier Rival. Static Analysis and Verification of Aerospace Software by Abstract Interpretation. In *AIAA Infotech@@Aerospace 2010*, Atlanta, Georgia. American Institute of Aeronautics and Astronautics, 20-22 April 2010. © AIAA.

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## Industrialization

Daniel Kästner, Christian Ferdinand, Stephan Wilhelm, Stefana Nevona, Olha Honcharova, Patrick Cousot, Radhia Cousot, Jérôme Feret, Laurent Mauborgne, Antoine Miné, Xavier Rival, and Élodie-Jane Sims. Astrée: Nachweis der Abwesenheit von Laufzeitfehlern. In *Workshop "Entwicklung zuverlässiger Software-Systeme"*, Regensburg, Germany, June 18<sup>th</sup>, 2009.

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Dagstuhl Seminar 14352, Next generation Static Software Analysis Tools, Aug. 24–29, 2014

## Astrée

• Commercially available: www.absint.com/astree/

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 <u>Effectively</u> used in production to qualify truly large and complex software in transportation, communications, medicine, etc

Bruno Blanchet, Patrick Cousot, Radhia Cousot, Jérôme Feret, Laurent Mauborgne, Antoine Miné, David Monniaux, Xavier Rival: A static analyzer for large safety-critical software. *PLDI 2003*: 196-207

#### Example of domain-specific abstraction: ellipses

```
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
BOOLEAN INIT; float P, X;
void filter () {
  static float E[2], S[2];
  if (INIT) { S[0] = X; P = X; E[0] = X; }
  else { P = (((((0.5 * X) - (E[0] * 0.7)) + (E[1] * 0.4)))
             + (S[0] * 1.5)) - (S[1] * 0.7)); \}
  E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
  /* S[0], S[1] in [-1327.02698354, 1327.02698354] */
void main () { X = 0.2 * X + 5; INIT = TRUE;
  while (1) {
    X = 0.9 * X + 35; /* simulated filter input
    filter (); INIT = FALSE; }
}
```

## Code Contract Static Checker (cccheck)

#### Available within MS Visual Studio

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#### Manuel Fähndrich, Francesco Logozzo: Static Contract Checking with Abstract Interpretation. FoVeOOS 2010: 10-30

#### Comments on screenshot (courtesy Francesco Logozzo)

- A screenshot from Clousot/cccheck on the classic binary search.
- The screenshot shows from left to right and top to bottom
  - I. C# code + CodeContracts with a buggy BinarySearch
  - 2. cccheck integration in VS (right pane with all the options integrated in the VS project system)
  - 3. cccheck messages in the VS error list
- The features of cccheck that it shows are:
  - I. basic abstract interpretation:
    - a. the loop invariant to prove the array access correct and that the arithmetic operation may overflow is inferred fully automatically
    - b. different from deductive methods as e.g. ESC/Java or Boogie where the loop invariant must be provided by the end-user
  - 2. inference of necessary preconditions:
    - a. Clousot finds that array may be null (message 3)
    - b. Clousot suggests and propagates a necessary precondition invariant (message I)
  - 3. array analysis (+ disjunctive reasoning):
    - a. to prove the postcondition should infer property of the content of the array
    - b. please note that the postcondition is true even if there is no precondition requiring the array to be sorted.
  - 4. verified code repairs:
    - a. from the inferred loop invariant does not follow that index computation does not overflow
    - b. suggest a code fix for it (message 2)

#### Software

- Ait: static analysis of the worst-case execution time of control/command software (<u>www.absint.com/ait/</u>)
- Astrée: proof of absence of runtime errors in embedded synchronous real time control/command software (<u>www.absint.com/astree/</u>), AstréeA for asynchronous programs (<u>www.astreea.ens.fr/</u>)
- C Global Surveyor, NASA, static analyzer for flight software of NASA missions (www.cmu.edu/silicon-valley/faculty-staff/venet-arnaud.html)
- IKOS (Inference Kernel for Open Static Analyzers), (<u>www.cmu.edu/</u> <u>silicon-valley/software-systems-management/software-verification.html</u>)
- Checkmate: static analyzer of multi-threaded Java programs (www.pietro.ferrara.name/checkmate/)
- CodeContracts Static Checker, Microsoft (<u>msdn.microsoft.com/en-us/</u> <u>devlabs/dd491992.aspx</u>)
- Fluctuat: static analysis of the precision of numerical computations (<u>www-list.cea.fr/labos/gb/LSL/fluctuat/index.html</u>)

#### Software

- Infer: Static analyzer for C/C<sup>++</sup> (monoidics.com/)
- Julia: static analyzer for Java and Android programs (www.juliasoft.com/juliasoft-android-java-verification.aspx? Id=201177234649)
- Predator: static analyzer of C dynamic data structures using separation logic (www.fit.vutbr.cz/research/groups/verifit/tools/predator/)
- Terminator: termination proof (<u>www.cs.ucl.ac.uk/staff/p.ohearn/</u> <u>Invader/Invader/Invader\_Home.html</u>)

• etc.

- Apron numerical domains library (<u>apron.cri.ensmp.fr/library/</u>)
- Parma Polyhedral Library (<a href="https://bugseng.com/products/ppl/">bugseng.com/products/ppl/</a>)
- etc.

#### Hardware

#### • (Generalized) symbolic trajectory evaluation (Intel)



Jin Yang and Carl-Johan H. Seger, *Generalized Symbolic Trajectory Evaluation – Abstraction in Action*, Formal Methods in Computer-Aided Design, Lecture Notes in Computer Science, 2002, Volume 2517/2002, 70–87.

Jin Yang; Seger, C.-J.H.; Introduction to generalized symbolic trajectory evaluation, IEEE Transactions on Very Large Scale Integration (VLSI) Systems 11(3), June 2003, 345–353.

## Biology

Kappa – A language for modeling protein interaction networks by a set of rules and analyse that set directly deploying techniques from abstract interpretation (www.kappalanguage.org/ and fontana.med.harvard.edu/www/ Documents/Lab/research.signaling.htm)

## References

#### References

• Many references to be found in

Patrick Cousot and Radhia Cousot Abstract interpretation: Past, Present, and Future

In Joint Meeting of the Twenty-Third EACSL Annual Conference on Computer Science Logic (CSL) and the Twenty-Ninth Annual ACM/ IEEE Symposium on Logic In Computer Science (LICS), July 14— 18, 2014, Vienna, Austria.

## Conclusion

#### Abstract interpretation

- Intellectual tool (not to be confused with its specific application to iterative static analysis with  $\nabla$  &  $\triangle$ )
- No cathedral would have been built without plumbline and square, certainly not enough for skyscrapers:

Powerful tools are needed for progress and applicability of formal methods
## Abstract interpretation

- Varieties of researchers in formal methods:
  - (i) explicitly use abstract interpretation, and are happy to extend its scope and broaden its applicability
  - (ii) implicitly use abstract interpretation, and hide it
  - (iii) pretend to use abstract interpretation, but misuse it
  - (iv) don't know that they use abstract interpretation, but would benefit from it
- Never too late to upgrade

## The End, Thank You