

« Verification of Large Complex Software by Abstract Interpretation »

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Abstract

Since almost any large complex software has bugs which are not found by test methods, researchers have developed program correctness proof methods which have been successful in the small. This consists in defining a semantics formally describing the executions of a program and then in proving a theorem stating that these executions have a given property (for example that an expected result is provided in a finite time). Fundamental mathematical undecidability results show that these proofs cannot be done automatically by computers.

Confronted with this fundamental difficulty, abstract interpretation proceeds by correct approximation of the semantics. If the approximation is sound, no potential error can ever be overlooked, a basic requirement of formal verification methods. If the approximation is coarse enough, it is computable. If it is precise enough, it yields a correctness proof. The goal is therefore to find cheap approximations (so as to scale up in the large) which are precise enough (to avoid false alarms where a property does hold but this cannot be proved because of an approximation which is too imprecise).

We will introduce a few elements of abstract interpretation and explain how to formalize the abstraction of semantic properties so as to obtain computable approximations leading to effective algorithms for the static analysis of the possible behaviors of programs.

Finally, we will describe an example of application of the theory to the proof of absence of runtime errors on synchronous control/command and underly the difficulties (such as floating point computations). This approach was applied with success to the verification of the electric flight control of the commercial planes.



Content

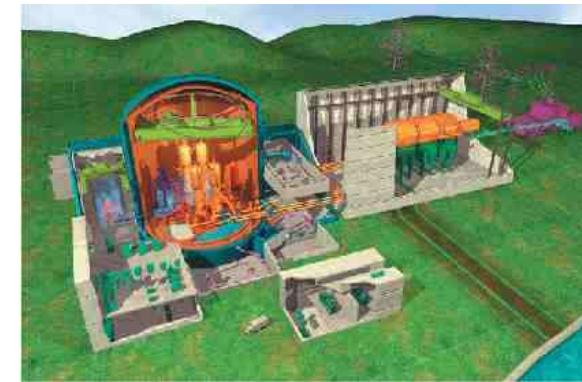
- The importance of software
- Why is software erroneous?
- What can be done about bugs?
- Abstract interpretation
 - (1) Very informal introduction to AI
 - (2) A few elements of AI
 - (3) A few applications of AI
 - (4) Application of AI to critical software
- Projects



The importance of software



Software is hidden everywhere



Software is massively present in all **mission-critical** and **safety-critical** industrial infrastructures



Accident analysis (metro)

- Paris métro line 12 accident¹: the driver was going too fast
- Roma metro line A accident²: the driver went on at a red signal



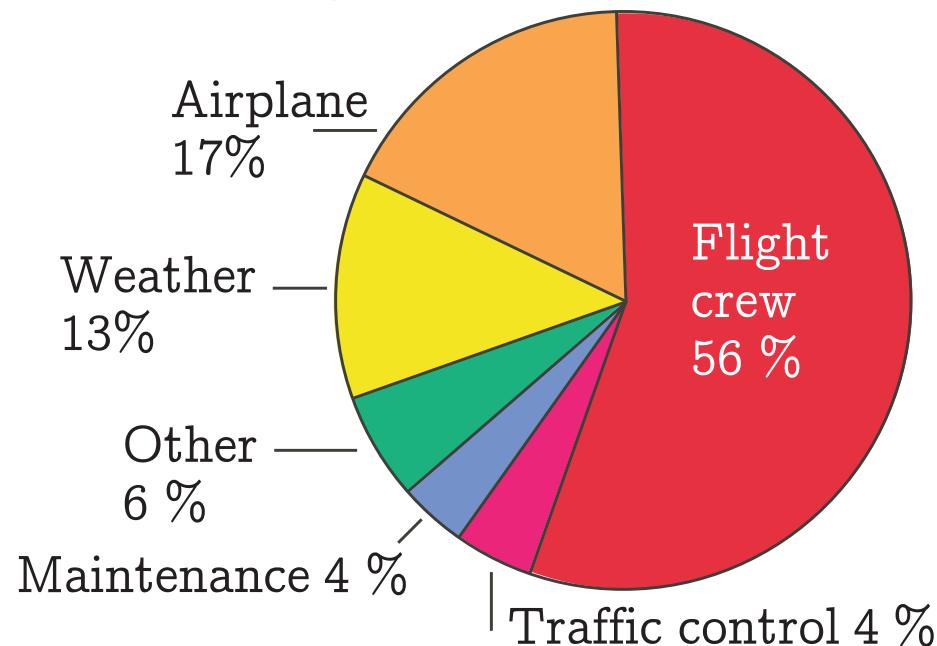
¹ On August 30th, 2000, at the Notre-Dame-de-Lorette métro station in Paris, a car flipped over on its side and slid to a stop just a few feet from a train stopped on the opposite platform (24 injured).

² On October 17th, 2006, a speeding subway train rammed into another train halted at the Vittorio Emanuele station in central Rome (1 dead, 60 injured). The driver might have misunderstood the control centre authorizing the train to proceed to the "next station" (Manzoni, closed to the public) while the driver would have understood it to mean the "next working station" (Vittorio Emanuele, after Manzoni), *La Repubblica*, Oct. 20th, 2006.



Accident analysis (avionics)

Primary cause of major commercial jet accidents world-wide as determined by the investigation authorities between 1995 and 2004³ [1]



Reference

- [1] D. Michaels & A. Pasztor. *Incidents Prompt New Scrutiny Of Airplane Software Giltches* citing a Boeing source. Wall Street Journal, Vol. CCXLVII, No 125, 30 mai 2006.

³ Includes only accidents with known causes.



Software replaces human operators

- Computer control is the cheapest and safest solution to avoid such accidents
- New high-speed métro line 14 (Météor): fully automated, no operators
- Modern commercial airplanes: massive automation of control/commands, piloting, communications, collision avoidance, etc



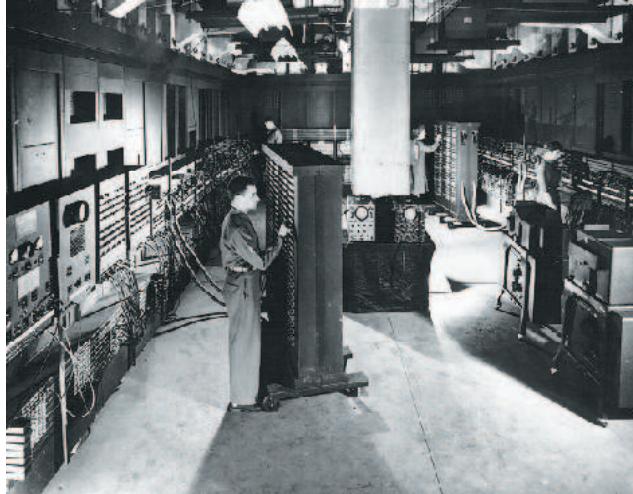
Why is software erroneous?



(1) Software is huge



As computer hardware capacity grows...



ENIAC
5,000 flops⁴



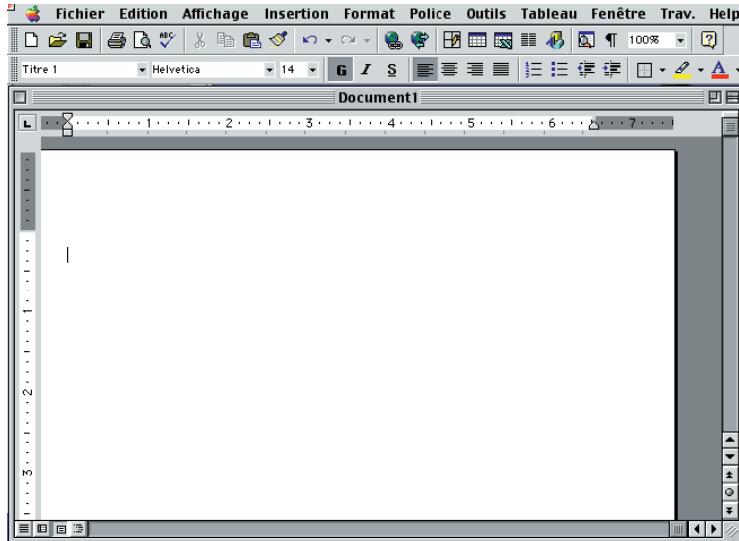
NEC Earth Simulator
 35×10^{12} flops⁵

⁴ Floating point operations per second

⁵ 10^{12} = Thousand Billion



Software size grows...



Text editor
1,700,000 lines of C⁶



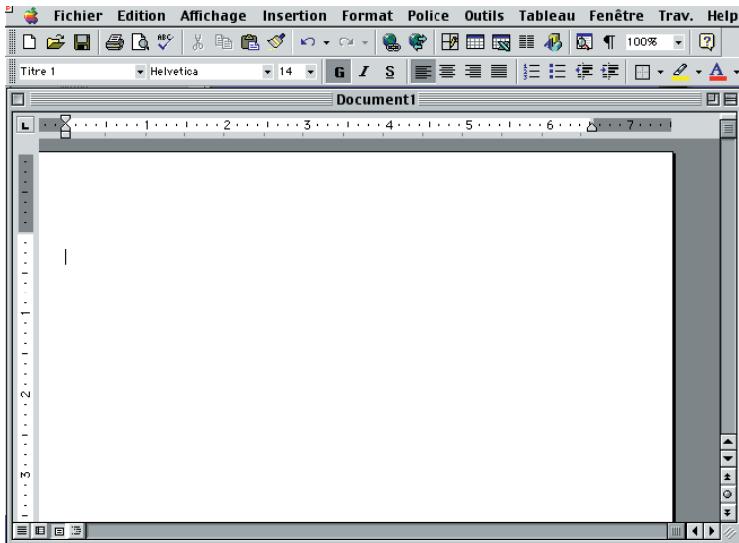
Operating system
35,000,000 lines of C⁷

⁶ 3 months for full-time reading of the code

⁷ 5 years for full-time reading of the code



... and so does the number of bugs



Text editor
1,700,000 lines of C⁶
1,700 bugs (estimation)



Operating system
35,000,000 lines of C⁷
30,000 known bugs

⁶ 3 months for full-time reading of the code

⁷ 5 years for full-time reading of the code



(2) Computers are finite



Computers are finite

- Scientists reason on continuous, infinite mathematical structures (e.g. \mathbb{R})
- Computers can only handle discrete, finite structures



Overflows

- Numbers are encoded onto a **limited number of bits** (*binary digits*)
- Some operations may **overflow** (e.g. integers: $32 \text{ bits} \times 32 \text{ bits} = 64 \text{ bits}$)
- Using different number sizes (32, 64, . . . bits) can also be the source of **overflows**



The Ariane 5.01 maiden flight

- June 4th, 1996 was the maiden flight of Ariane 5



The Ariane 5.01 maiden flight failure

- June 4th, 1996 was the maiden flight of Ariane 5
- The launcher was destroyed after 40 seconds of flight because of a [software overflow](#)⁸



⁸ A 16 bit piece of code of Ariane 4 had been reused within the new 32 bit code for Ariane 5. This caused an uncaught overflow, making the launcher uncontrollable.

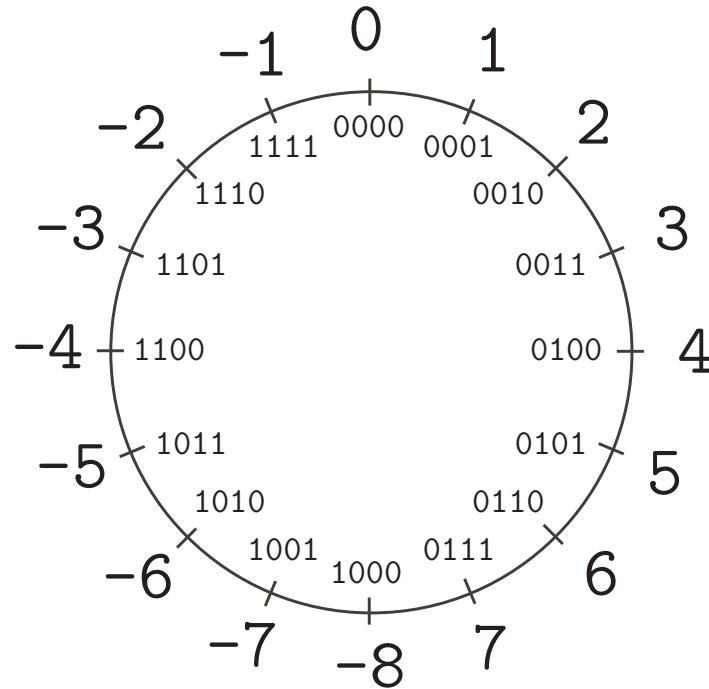


(3) Computers go round



Modular arithmetic...

- Todays, computers avoid integer overflows thanks to **modular arithmetic**
- Example: integer 2's complement encoding on 8 bits



... can be contrary to common sense

```
# 1073741823 + 1;;
- : int = -1073741824

# -1073741824 - 1;;
- : int = 1073741823

# -1073741824 ÷ -1;;
- : int = -1073741824
```

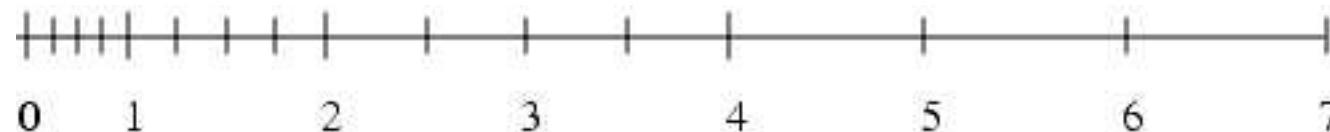


(4) Computers do round



Floats: mapping many to few

- Reals are mapped to floats (floating-point arithmetic)
 $\pm d_0.d_1d_2 \dots d_{p-1}\beta^e$ ⁹
- For example on 6 bits (with $p = 3$, $\beta = 2$, $e_{\min} = -1$, $e_{\max} = 2$), there are 32 normalized floating-point numbers. The 16 positive numbers are



⁹ where
- $d_0 \neq 0$,
- p is the number of significative digits,
- β is the basis (2), and
- e is the exponent ($e_{\min} \leq e \leq e_{\max}$)



Rounding

- Computations returning reals that are not floats, must be **rounded**
- Most **mathematical identities** on \mathbb{R} are no longer valid with floats
- Rounding **errors may** either compensate or **accumulate** in long computations
- Computations converging in the reals may **diverge** with floats (and ultimately overflow)



Example of rounding error (1)

```
/* float-error.c */
int main () {
    float x, y, z, r;
    x = 1.000000019e+38;
    y = x + 1.0e21;
    z = x - 1.0e21;
    r = y - z;
    printf("%f\n", r);
}
% gcc float-error.c
% ./a.out
0.000000
```

```
/* double-error.c */
int main () {
    double x; float y, z, r;
    /* x = ldexp(1.,50)+ldexp(1.,26); */
    x = 1125899973951488.0;
    y = x + 1;
    z = x - 1;
    r = y - z;
    printf("%f\n", r);
}
% gcc double-error.c
% ./a.out
134217728.000000
```

$$(x + a) - (x - a) \neq 2a$$



Example of rounding error (2)

```
/* float-error.c */
int main () {
    float x, y, z, r;
    x = 1.000000019e+38;
    y = x + 1.0e21;
    z = x - 1.0e21;
    r = y - z;
    printf("%f\n", r);
}
% gcc float-error.c
% ./a.out
0.000000
```

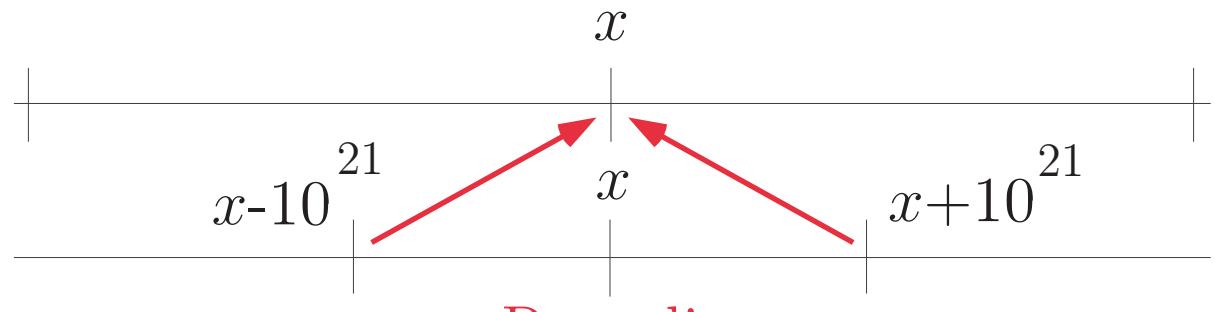
```
/* double-error.c */
int main () {
    double x; float y, z, r;
    /* x = ldexp(1.,50)+ldexp(1.,26); */
    x = 1125899973951487.0;
    y = x + 1;
    z = x - 1;
    r = y - z;
    printf("%f\n", r);
}
% gcc double-error.c
% ./a.out
0.000000
```

$$(x + a) - (x - a) \neq 2a$$



Explanation of the huge rounding error

(1) Floats

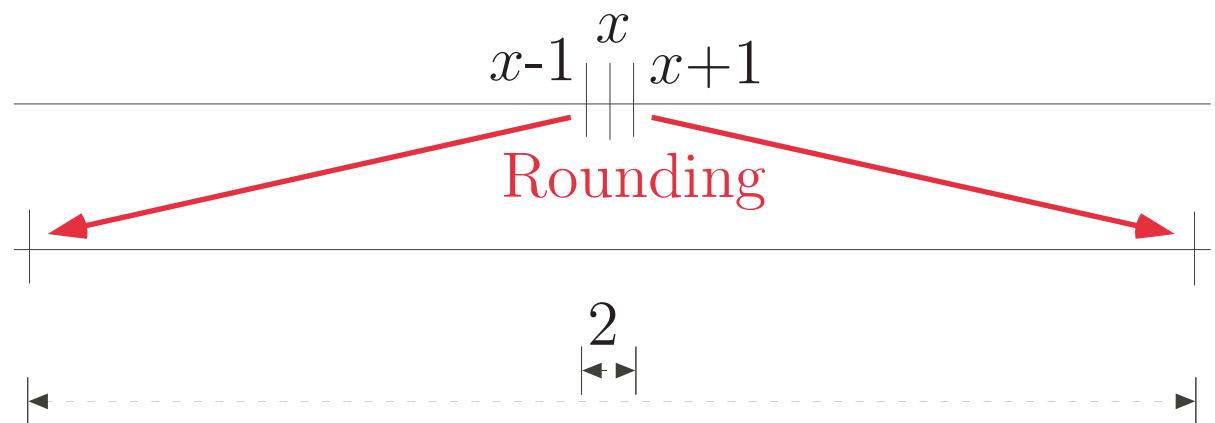


(2) Doubles



Reals

Floats



Example of accumulation of small rounding errors

```
% ocaml
          Objective Caml version 3.08.1
# let x = ref 0.0;;
val x : float ref = {contents = 0.}
# for i = 1 to 1000000000 do
    x := !x +. 1.0/.10.0
done; x;;
- : float ref = {contents = 99999998.7454178184}
since  $(0.1)_{10} = (0.0001100110011001100\dots)_2$ 
```



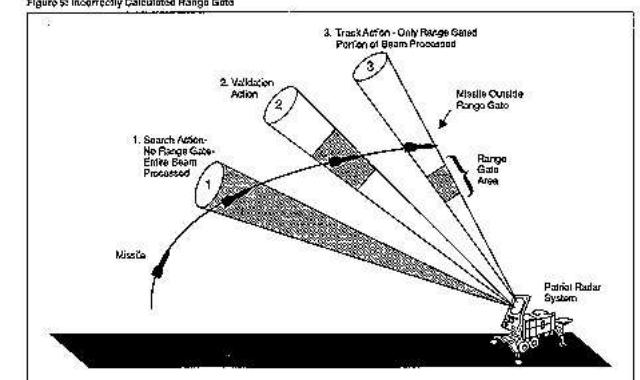
The Patriot missile failure

- “On February 25th, 1991, a Patriot missile . . . failed to track and intercept an incoming Scud ¹⁰.”
- The **software failure** was due to a cumulated rounding error ¹¹

¹⁰ This Scud subsequently hit an Army barracks, killing 28 Americans.

¹¹

- “Time is kept continuously by the system’s internal clock in **tenths of seconds**”
- “The system had been in operation for over **100 consecutive hours**”
- “Because the system had been on so long, the **resulting inaccuracy** in the time calculation **caused the range gate to shift** so much that the system could not track the incoming Scud”



What can be done about bugs?



Warranty

Excerpt from an [GPL open software licence](#):

*NO WARRANTY. . . BECAUSE THE PROGRAM IS LICENSED FREE OF CHARGE, THERE IS NO WARRANTY FOR THE PROGRAM, TO THE EXTENT PERMITTED BY APPLICABLE LAW. EXCEPT WHEN OTHERWISE STATED IN WRITING THE COPYRIGHT HOLDERS AND/OR OTHER PARTIES PROVIDE THE PROGRAM "AS IS" WITHOUT WARRANTY OF ANY KIND, EITHER EXPRESSED OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTABILITY AND **FITNESS FOR A PARTICULAR PURPOSE**. THE ENTIRE RISK AS TO THE QUALITY AND PERFORMANCE OF THE PROGRAM IS WITH YOU. SHOULD THE PROGRAM PROVE DEFECTIVE, YOU ASSUME THE COST OF ALL NECESSARY SERVICING, REPAIR OR CORRECTION.*

You get nothing for free!



Absence of Warranty

Excerpt from Microsoft software licence:

DISCLAIMER OF WARRANTIES. ... MICROSOFT AND ITS SUPPLIERS PROVIDE THE SOFTWARE, AND SUPPORT SERVICES (IF ANY) AS IS AND **WITH ALL FAULTS**, AND MICROSOFT AND ITS SUPPLIERS HEREBY DISCLAIM ALL OTHER WARRANTIES AND CONDITIONS, WHETHER EXPRESS, IMPLIED OR STATUTORY, INCLUDING, BUT NOT LIMITED TO, ANY (IF ANY) IMPLIED WARRANTIES, DUTIES OR CONDITIONS OF MERCHANTABILITY, OF **FITNESS FOR A PARTICULAR PURPOSE**, OF RELIABILITY OR AVAILABILITY, OF ACCURACY OR COMPLETENESS OF RESPONSES, OF RESULTS, OF WORKMANLIKE EFFORT, OF LACK OF **VIRUSES**, AND OF LACK OF NEGLIGENCE, ALL WITH REGARD TO THE SOFTWARE, AND THE PROVISION OF OR FAILURE TO PROVIDE SUPPORT OR OTHER SERVICES, INFORMATION, SOFTWARE, AND RELATED CONTENT THROUGH THE SOFTWARE OR OTHERWISE ARISING OUT OF THE USE OF THE SOFTWARE. ...

You get nothing for your money either!



Example of Runtime Error

HSBC ATM, 19 Boulevard Sébastopol, Paris, 11/21/2006, 8:30AM:



Traditional software validation methods

- The law cannot enforce more than “best practice”
- Manual software validation methods (code reviews, simulations, tests, etc.) do not scale up
- The capacity of programmers/computer scientists remains essentially the same
- The size of software teams cannot grow significantly without severe efficiency losses



Mathematics and computers can help

- Software behavior can be mathematically formalized
→ **semantics**
- Computers can perform semantics-based program analyses to realize verification → **static analysis**
 - but computers are finite so there are intrinsic limitations → **undecidability, complexity**
 - which can only be handled by semantics approximations → **abstract interpretation**



Abstract interpretation

There are two **fundamental concepts** in computer science (and in science in general) :

- **Abstraction**: to reason on complex systems
- **Approximation**: to make effective undecidable computations

These concepts are formalized by **Abstract interpretation**.

References

- [POPL '77] P. Cousot and R. Cousot. Abstract interpretation: a unified lattice model for static analysis of programs by construction or approximation of fixpoints. In *4th ACM POPL*.
- [Thesis '78] P. Cousot. Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique de programmes. Thèse ès sci. math. Grenoble, march 1978.
- [POPL '79] P. Cousot & R. Cousot. Systematic design of program analysis frameworks. In *6th ACM POPL*.

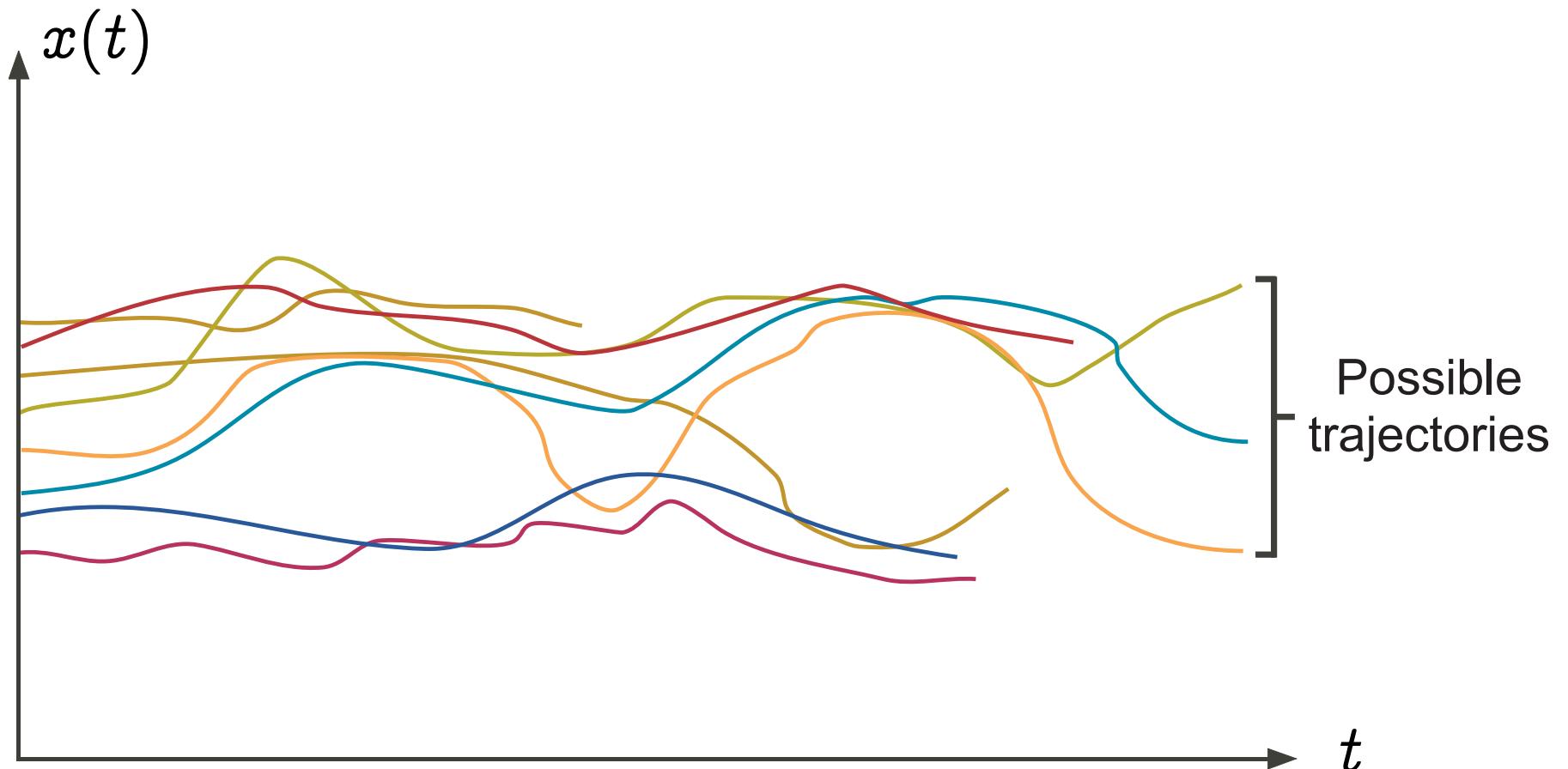


Abstract interpretation

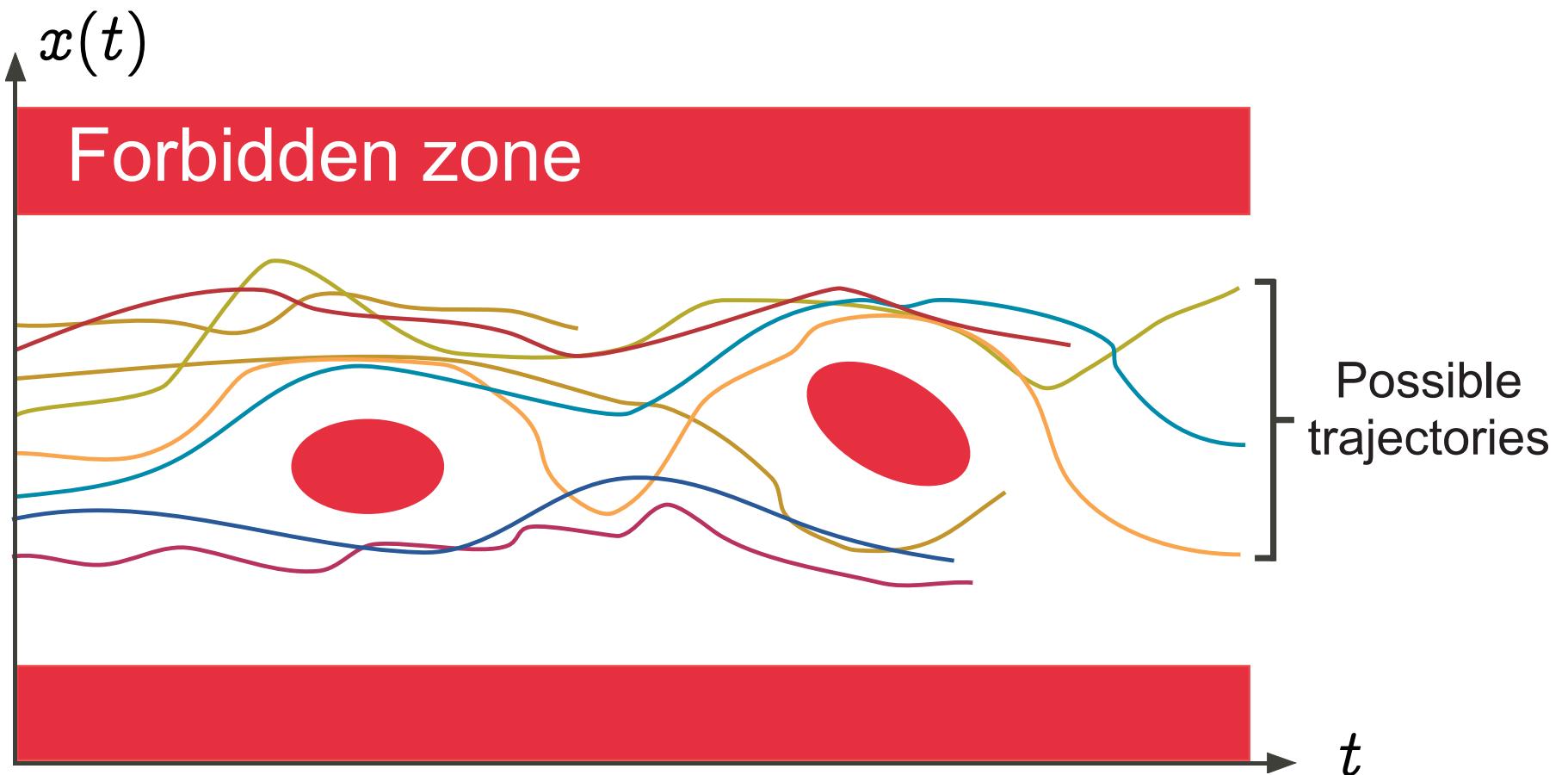
(1) Very informal introduction



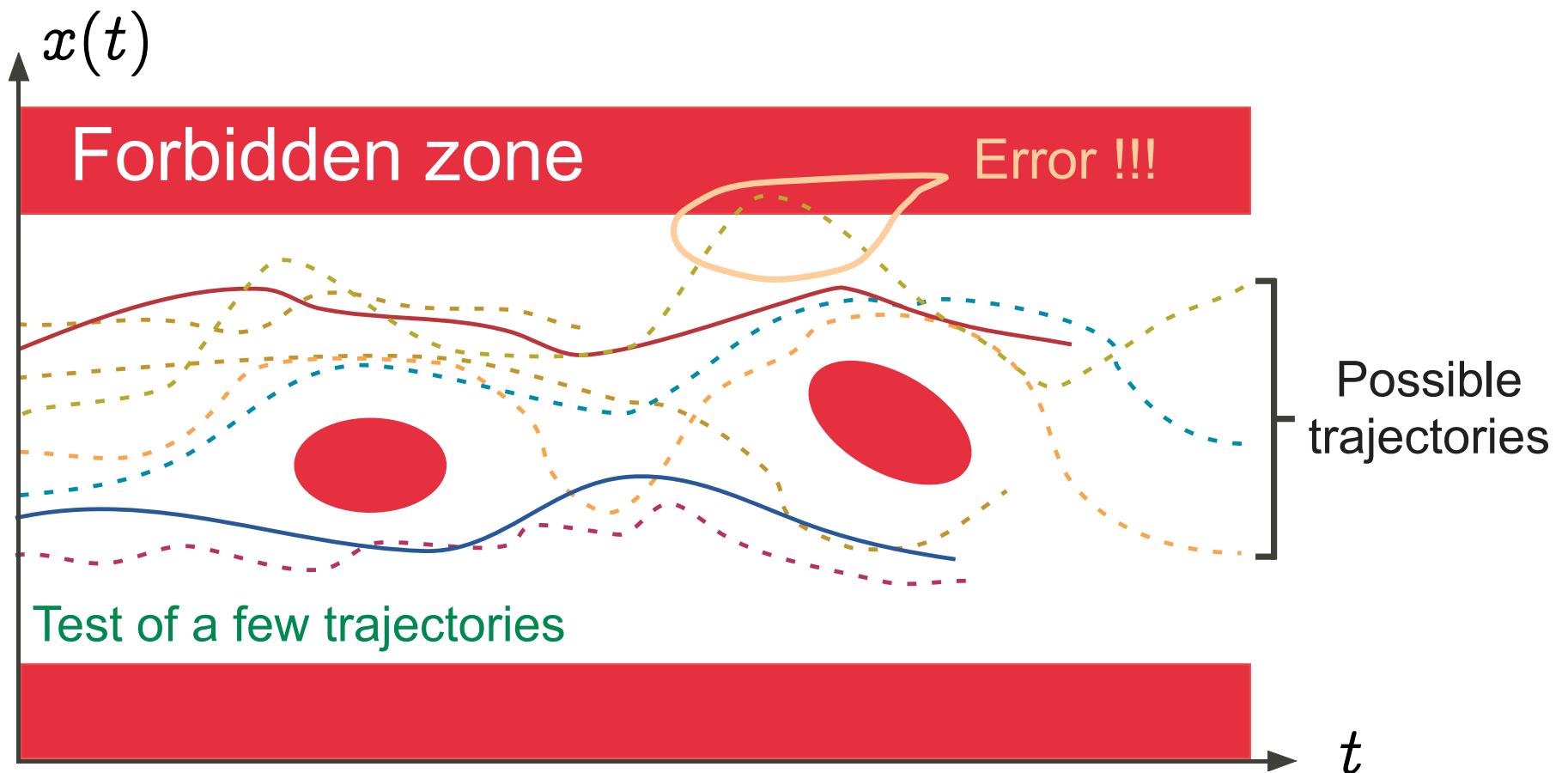
Operational semantics



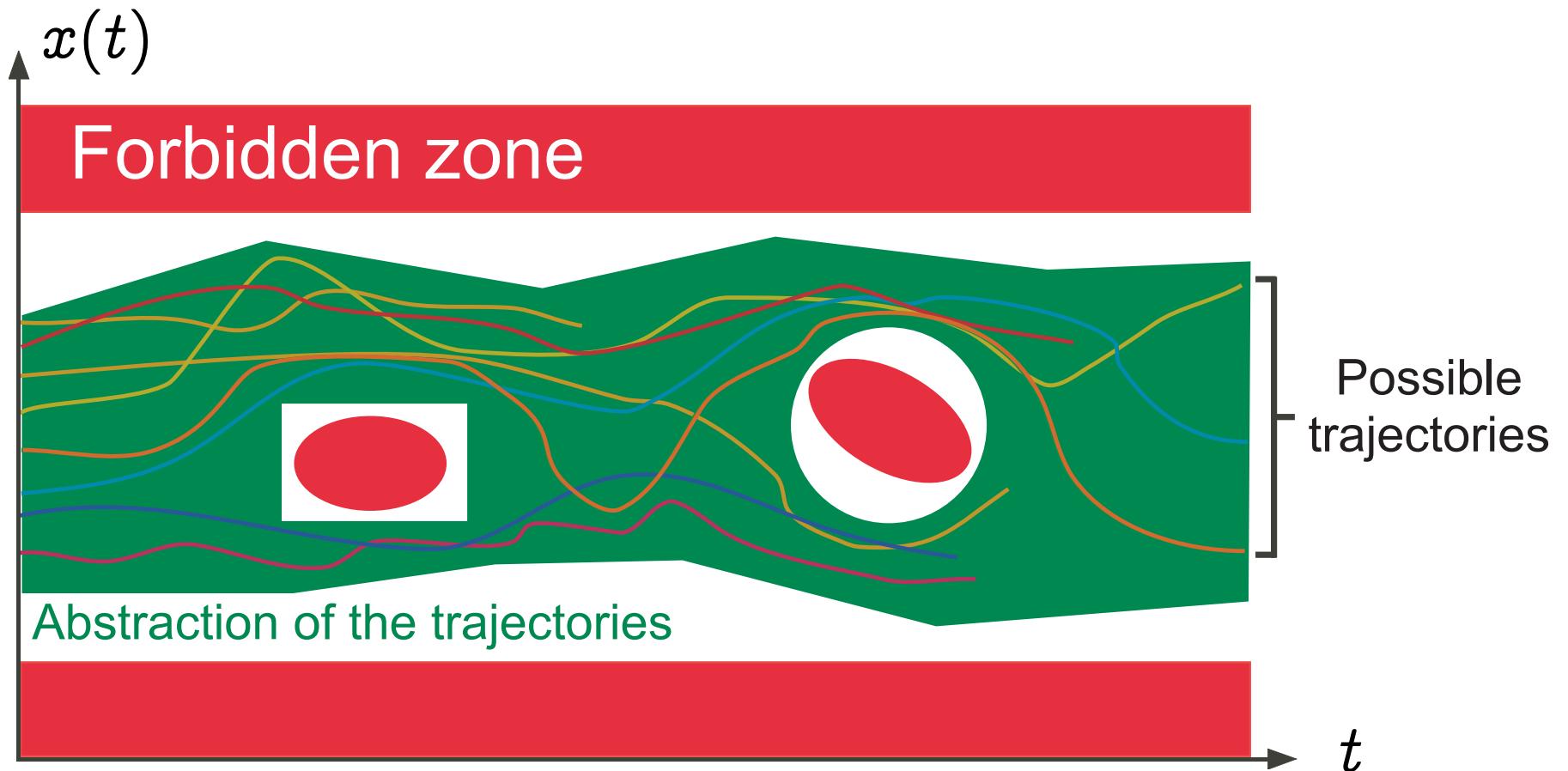
Safety property



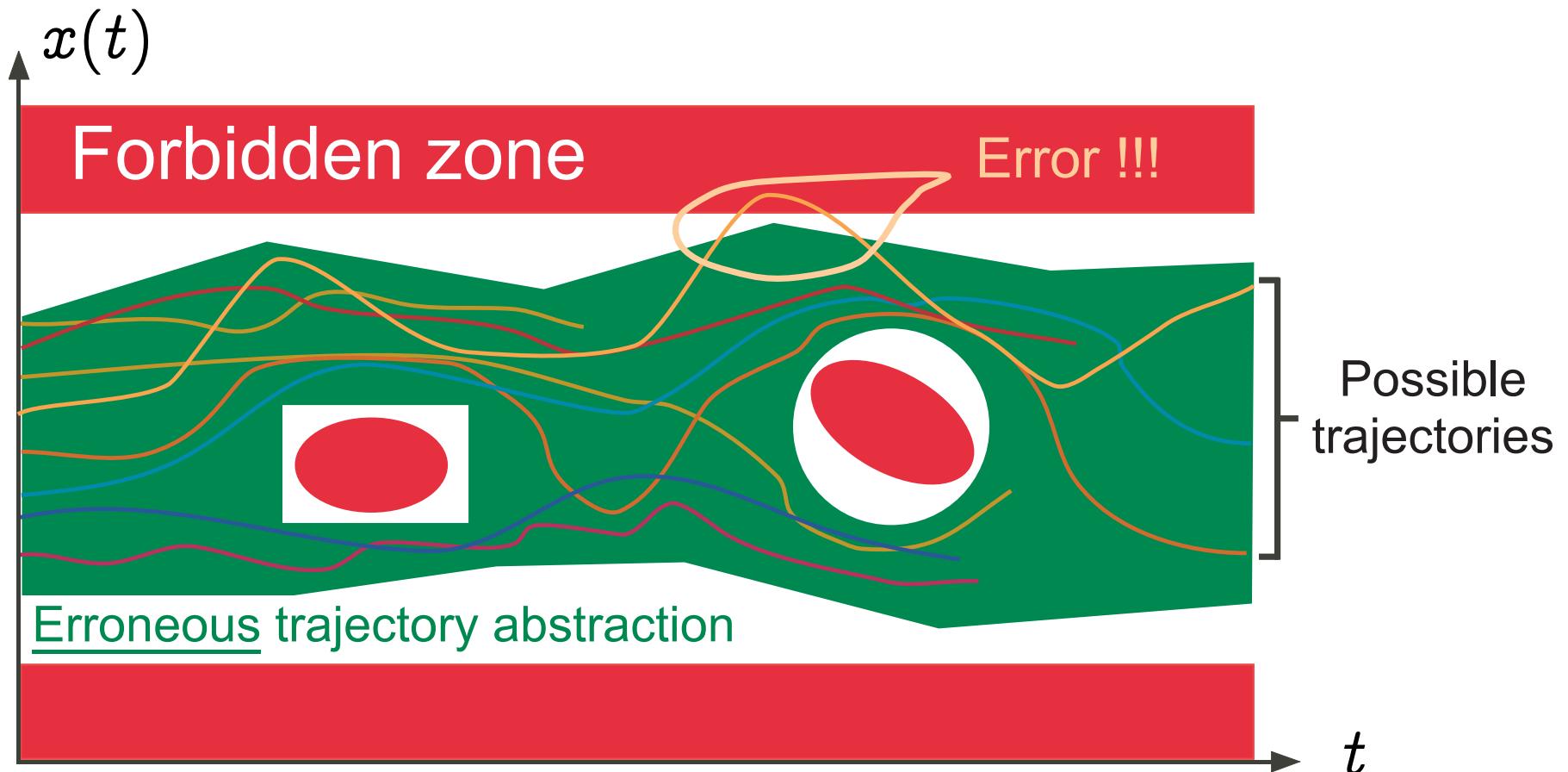
Test/debugging is unsafe



Abstract interpretation is safe



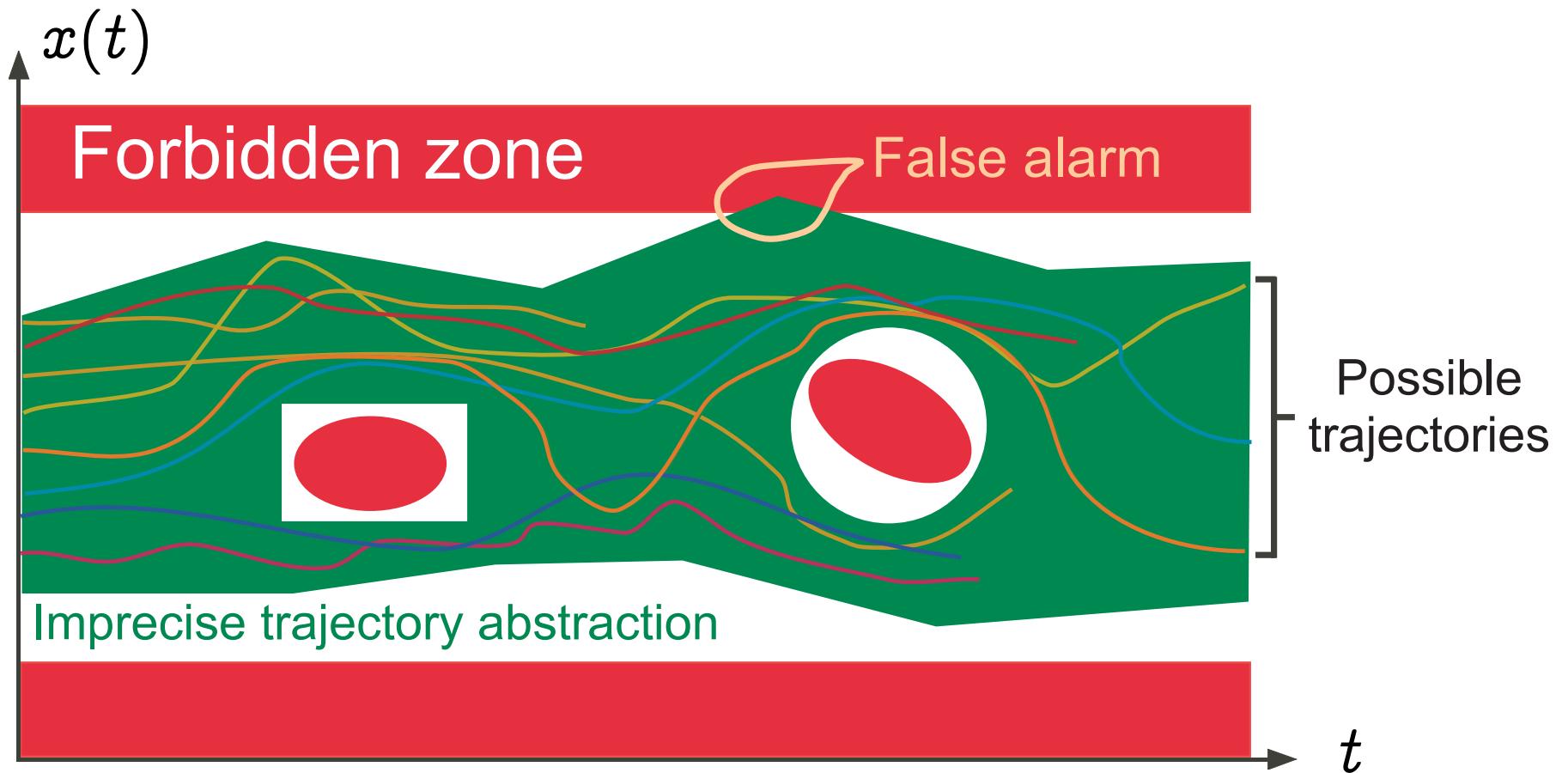
Soundness requirement: erroneous abstraction¹²



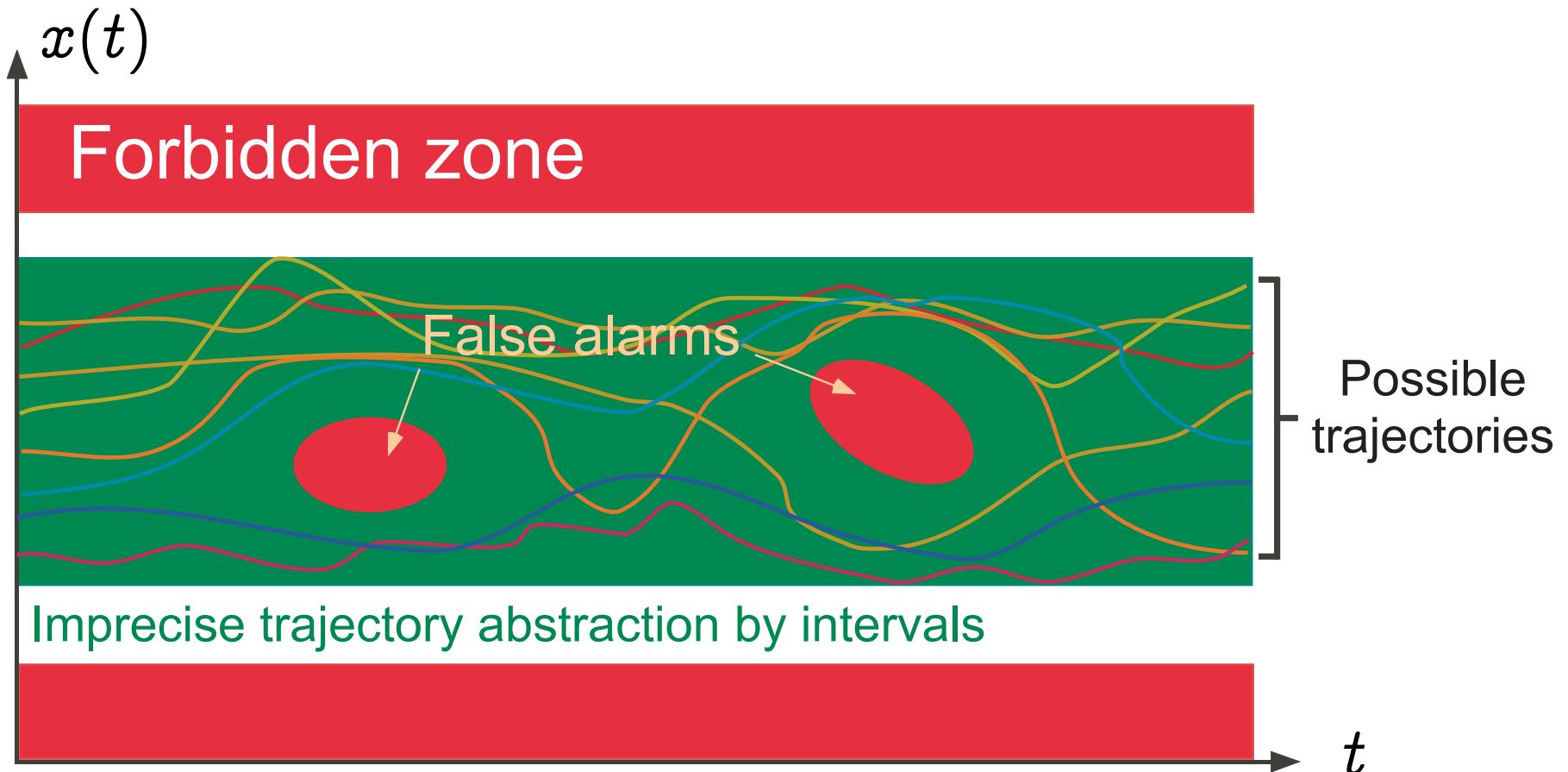
¹² This situation is always excluded in static analysis by abstract interpretation.



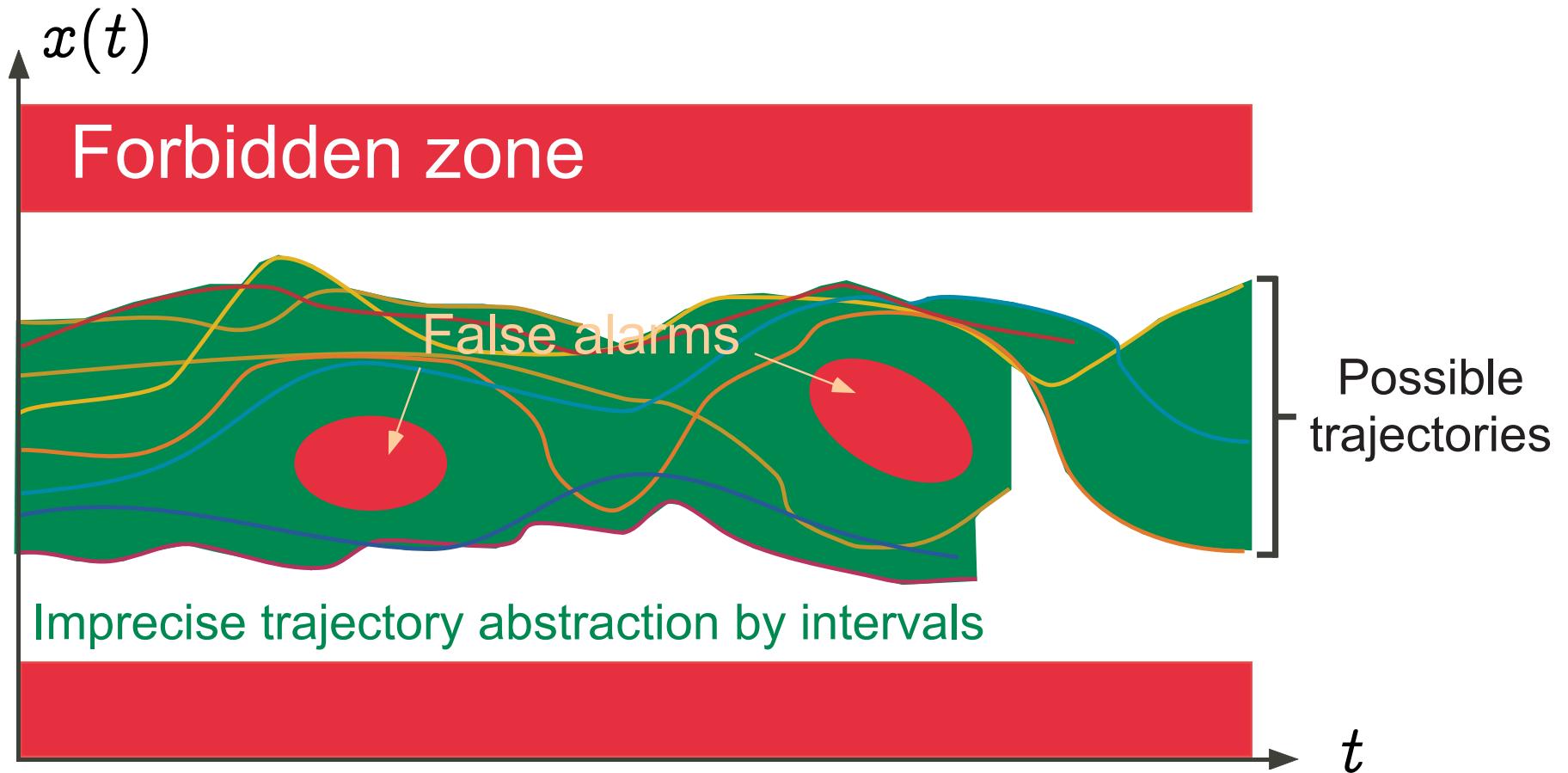
Imprecision \Rightarrow false alarms



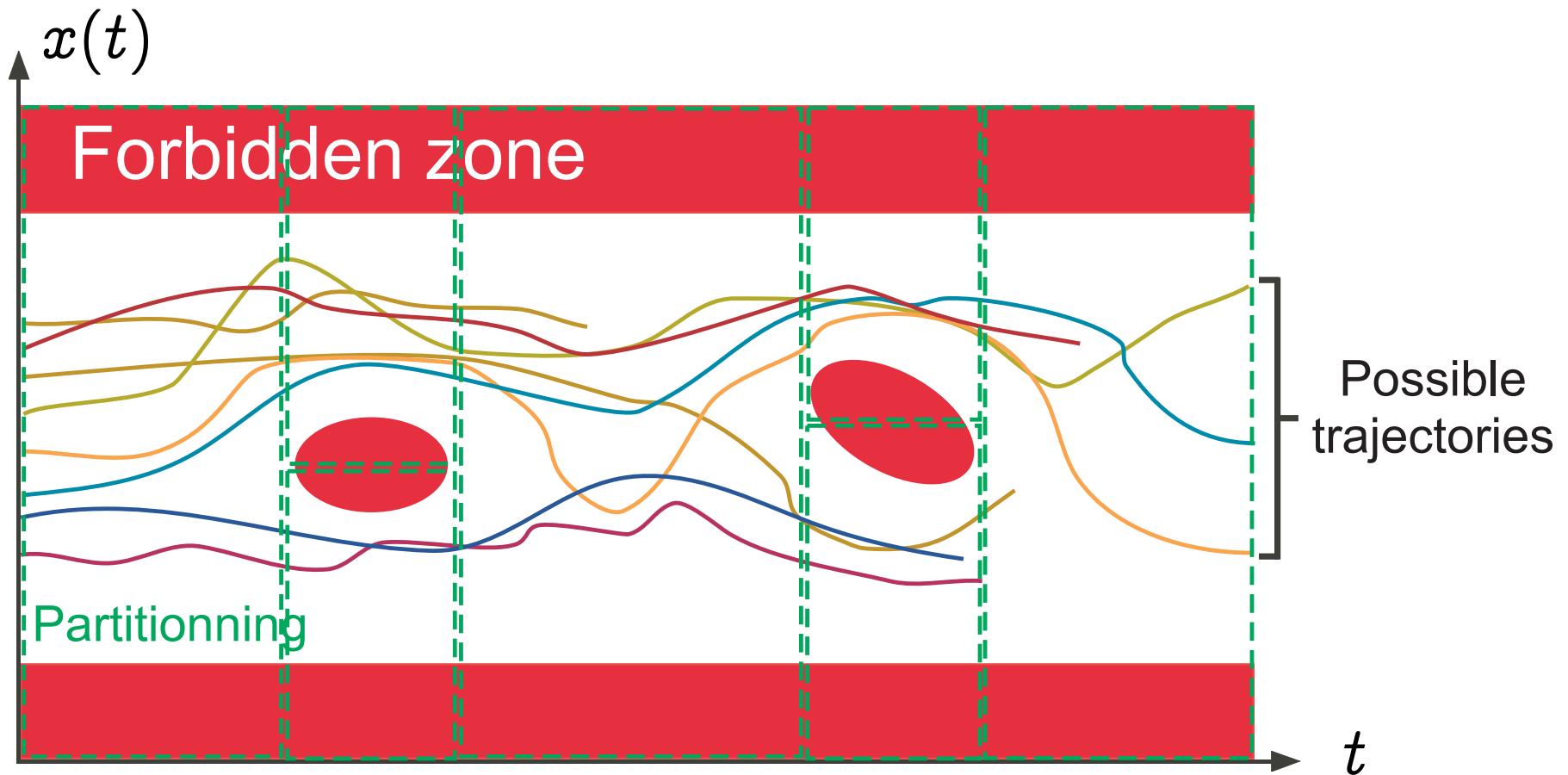
Global interval abstraction → false alarms



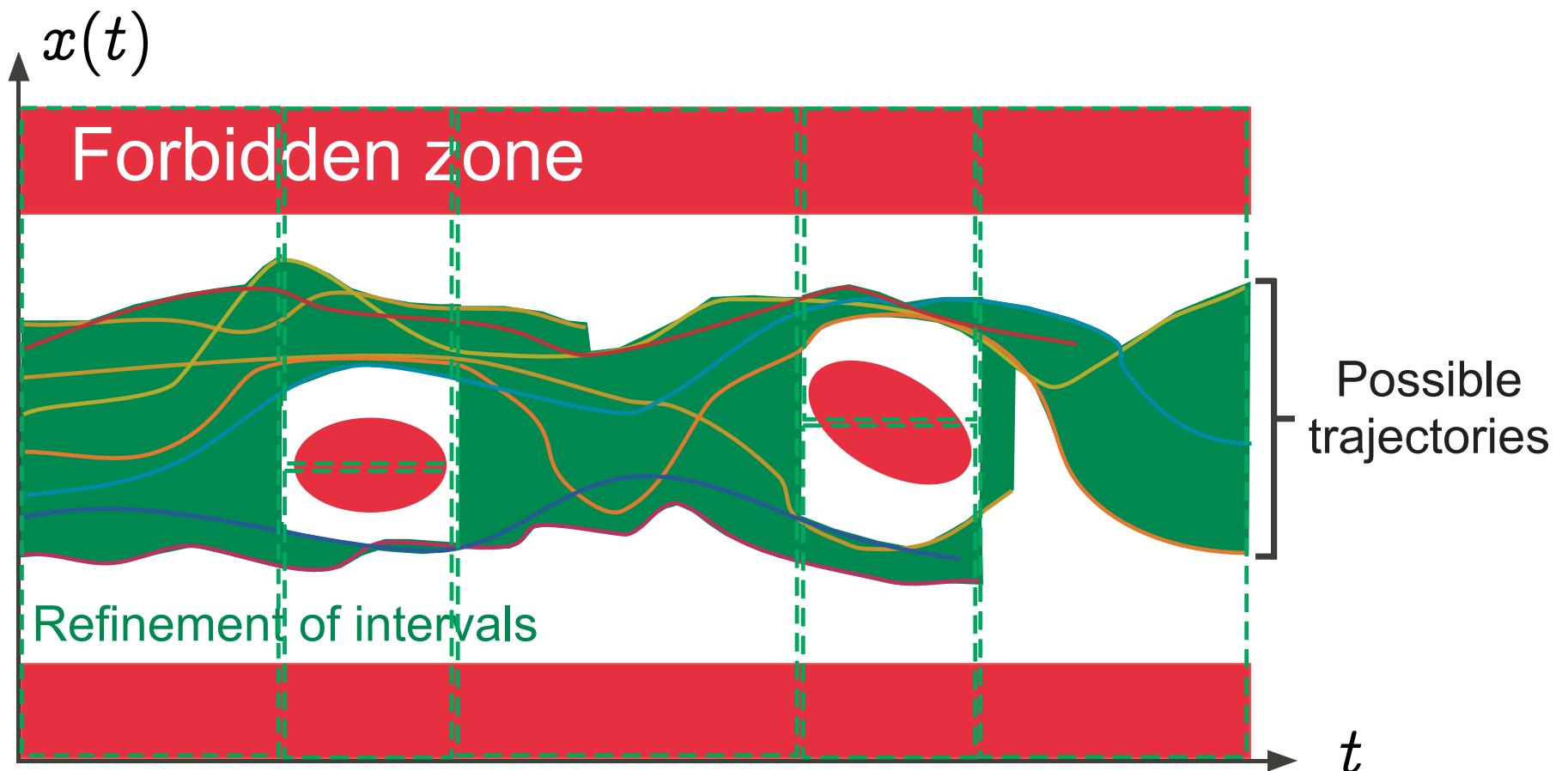
Local interval abstraction → false alarms



Refinement by partitionning



Intervals with partitionning



Abstract interpretation

(2) A few elements of AI



(2.1) Program semantics

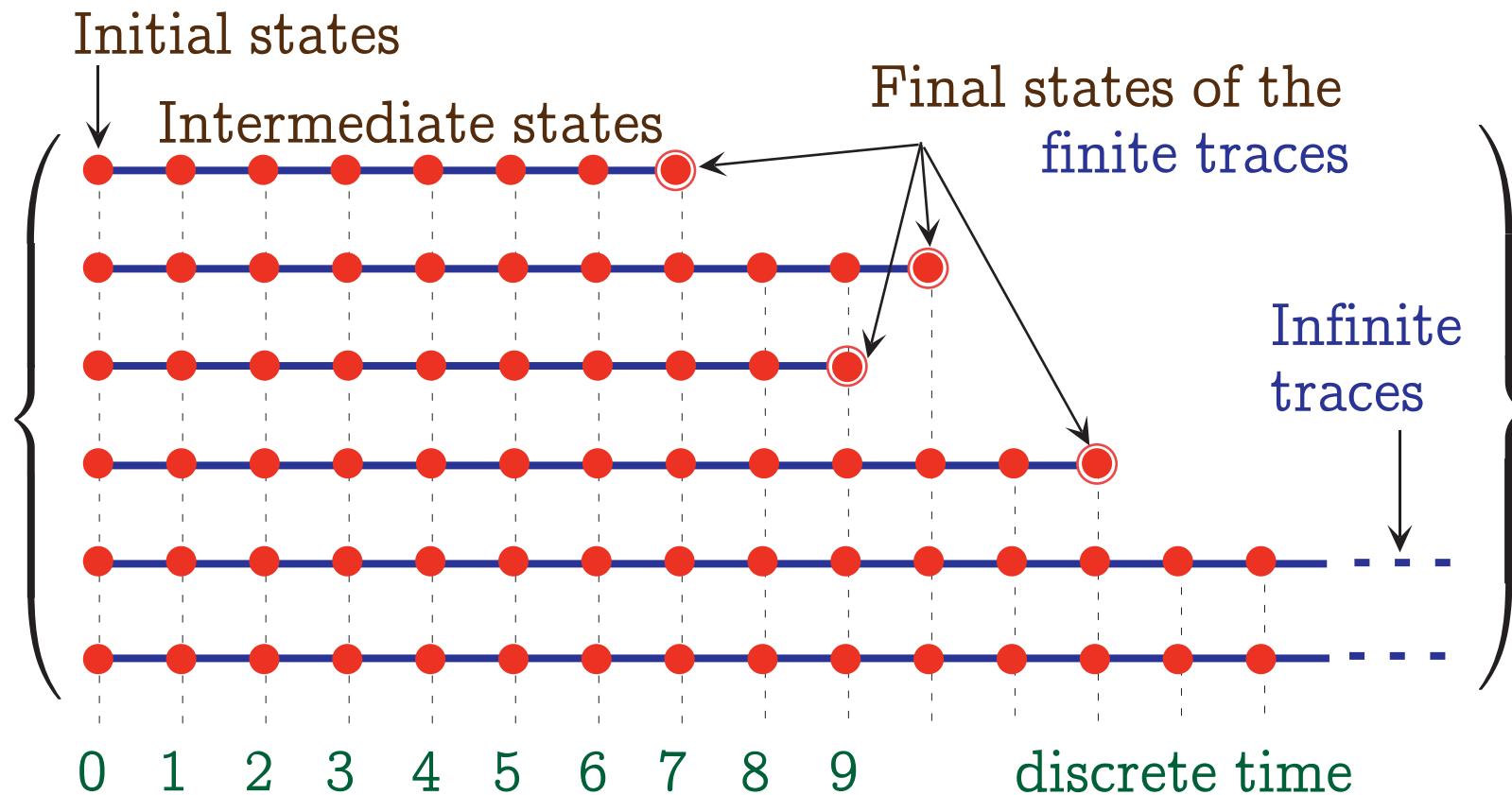


Description of a computation step

- Transition system $\langle \Sigma, \tau \rangle$, states $\Sigma = \{\bullet, \dots, \circ \dots\}$, transitions $\tau = \{\bullet \xrightarrow{} \bullet, \dots, \bullet \xrightarrow{} \circ \dots\}$
 - Example
 - States : $\langle p, v \rangle$, p is a program point, v assigns values to variables
 - Transitions $\langle p, v \rangle \rightarrow \langle p', v' \rangle$ for assignment:
$$\begin{array}{ll} p: & v'(x) = v(x) + 1 \text{ si } v(x) < \text{maxint} \\ X = X + 1; & v'(y) = v(y) \quad \text{si } y \neq x \\ p', & \end{array}$$
- Blocking state (\circ) if $v(x) \geq \text{maxint}$.



Description of a complete computation by a trace



States $\Sigma = \{\bullet, \dots, \circ \dots\}$, transitions $\tau = \{\bullet \xrightarrow{} \bullet, \dots, \bullet \xrightarrow{} \circ \dots\}$



Least Fixpoint Trace Semantics

$$\begin{aligned}\text{Traces} = & \{ \bullet | \bullet \text{ is a final state} \} \\ \cup & \{ \bullet \rightarrow \bullet \rightarrow \dots \rightarrow \bullet | \bullet \rightarrow \bullet \text{ is a transition step \&} \\ & \quad \bullet \rightarrow \dots \rightarrow \bullet \in \text{Traces}^+ \} \\ \cup & \{ \bullet \rightarrow \bullet \rightarrow \dots \rightarrow \dots | \bullet \rightarrow \bullet \text{ is a transition step \&} \\ & \quad \bullet \rightarrow \dots \rightarrow \dots \in \text{Traces}^\infty \}\end{aligned}$$

- In general, the equation has multiple solutions;
- Choose the least one for the **computational ordering**:
“more finite traces & less infinite traces”.



Iterative Fixpoint Calculation of the Trace Semantics

Iterates

Finite traces

$$F^0$$

$$\emptyset$$

$$F^1$$

$$\{ \xrightarrow[0]{\textcolor{red}{\circ}} \}$$

$$F^2$$

$$\{ \xrightarrow[0]{\textcolor{red}{\circ}}, \xrightarrow[0]{\tau} \xrightarrow[1]{\textcolor{red}{\circ}} \}$$

$$F^3$$

$$\{ \xrightarrow[0]{\textcolor{red}{\circ}}, \xrightarrow[0]{\tau} \xrightarrow[1]{\textcolor{red}{\circ}}, \xrightarrow[0]{\tau} \xrightarrow[1]{\textcolor{red}{\circ}} \xrightarrow[2]{\tau} \textcolor{red}{\circ} \}$$

$$\dots$$

$$F^n$$

$$\{ \xrightarrow[0]{\textcolor{red}{\circ}}, \dots, \xrightarrow[0]{\tau} \xrightarrow[1]{\textcolor{red}{\circ}} \dots \xrightarrow[n-2]{\tau} \xrightarrow[n-1]{\textcolor{red}{\circ}} \}$$

$$\dots$$

$$F^\omega$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{\textcolor{red}{\circ}} \dots \xrightarrow[n-1]{\tau} \xrightarrow[n]{\textcolor{red}{\circ}} \mid n \geqslant 0 \}$$

Infinite traces

$$\{ \xrightarrow{?} \xrightarrow{?} \xrightarrow{?} \xrightarrow{?} \xrightarrow{?} \dots \}$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{?} \xrightarrow{?} \xrightarrow{?} \xrightarrow{?} \dots \}$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{\tau} \xrightarrow[2]{?} \xrightarrow{?} \xrightarrow{?} \dots \}$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{\tau} \xrightarrow[2]{\tau} \xrightarrow[3]{?} \xrightarrow{?} \dots \}$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{\tau} \xrightarrow[n-1]{\tau} \xrightarrow[n]{?} \xrightarrow{?} \dots \}$$

$$\{ \xrightarrow[0]{\tau} \xrightarrow[1]{\tau} \xrightarrow[n]{\tau} \xrightarrow[n+1]{?} \xrightarrow{n+2}{?} \dots \}$$



Trace Semantics

Trace semantics of a transition system $\langle \Sigma, \tau \rangle$:

- $\Sigma^+ \stackrel{\text{def}}{=} \bigcup_{n>0} [0, n[\rightarrowtail \Sigma$ finite traces
- $\Sigma^\omega \stackrel{\text{def}}{=} [0, \omega[\rightarrowtail \Sigma$ infinite traces
- $\mathcal{S}[\langle \Sigma, \tau \rangle] = \text{lfp}^\sqsubseteq F \in \mathcal{D} = \wp(\Sigma^+ \cup \Sigma^\omega)$ trace semantics
- $F(X) = \{s \in \Sigma^+ \mid s \in \Sigma \wedge \forall s' \in \Sigma : \langle s, s' \rangle \notin \tau\}$
 $\cup \{ss'\sigma \mid \langle s, s' \rangle \in \tau \wedge s'\sigma \in X\}$ trace transformer
- $X \sqsubseteq Y \stackrel{\text{def}}{=} (X \cap \Sigma^+) \subseteq (Y \cap \Sigma^+) \wedge (X \cap \Sigma^\omega) \supseteq (Y \cap \Sigma^\omega)$ computational ordering



(2.2) Program Properties



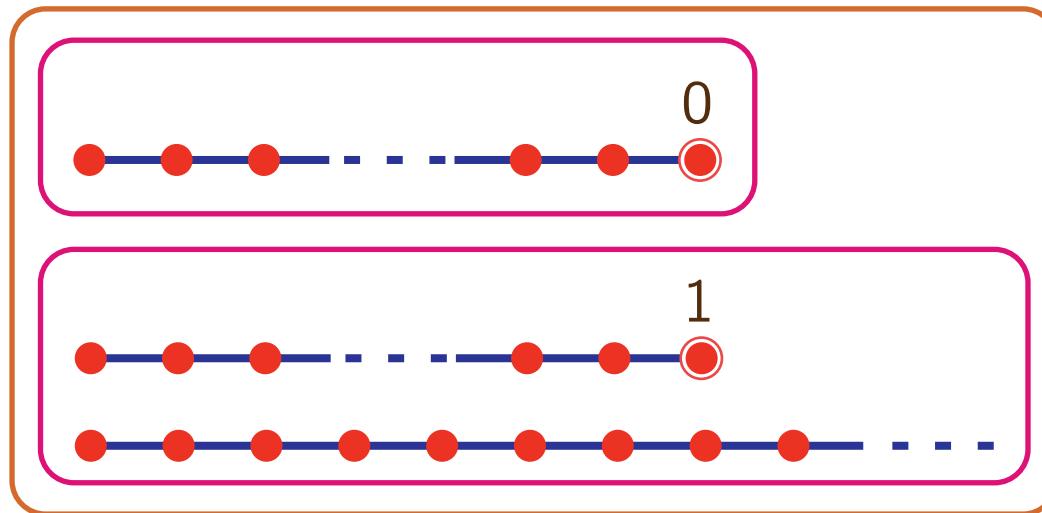
Program Properties & Static Analysis

- A program property $\mathcal{P} \in \wp(\mathcal{D})$ is a set of possible semantics for that program (hence a subset of the semantic domain \mathcal{D})
- A property $\mathcal{P} \in \wp(\mathcal{D})$ is stronger (or more precise) than a property $\mathcal{Q} \in \wp(\mathcal{D})$ iff $P \subseteq Q$ (i.e. P implies Q , $P \Rightarrow Q$)
- The strongest program property¹³ is $\{S[P]\} \in \wp(\mathcal{D})$
- A static analysis effectively approximates the strongest property of programs

¹³ also called the *collecting semantics*



Example of program property



- Correct implementations: print 0, print 1, [print 1|loop], ...
- Incorrect implementations: [print 0|print 1]



(2.3) Abstraction of Program Properties



Abstraction

- Replace actual concrete properties $\mathcal{P} \in \wp(\mathcal{D})$ by an approximate abstract properties $\alpha(\mathcal{P})$
- Example :

- $\mathcal{D} = \wp(\Sigma^+ \cup \Sigma^\omega)$

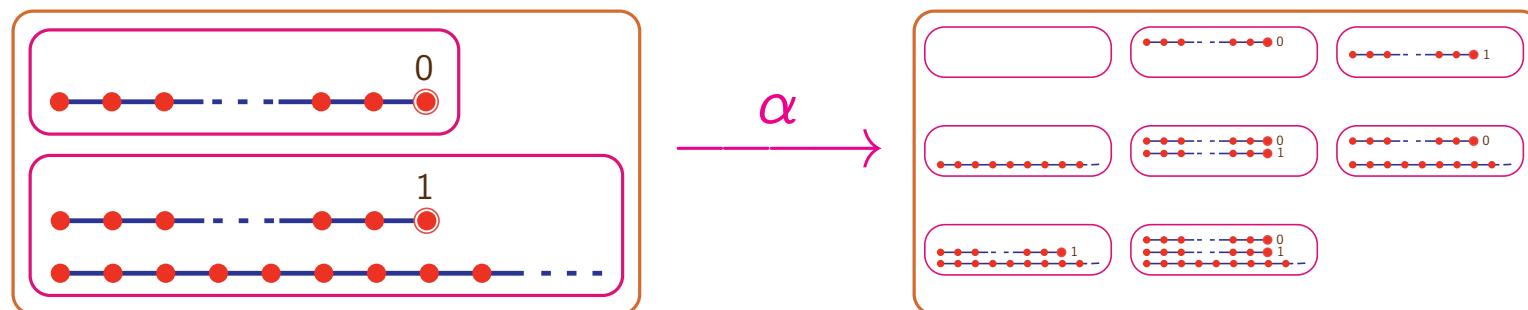
semantic domain

- $\mathcal{P} \in \wp(\mathcal{D})$

concrete properties

- $\alpha(\mathcal{P}) \stackrel{\text{def}}{=} \wp(\bigcup P)$

abstract properties



Commonly Required Properties of the Abstraction

- [In this talk,] we consider overapproximations:

$$\mathcal{P} \subseteq \alpha(\mathcal{P})$$

- If the abstract properties $\alpha(\mathcal{P})$ is true then the concrete properties \mathcal{P} is also true
 - If the abstract properties $\alpha(\mathcal{P})$ is false then the concrete properties \mathcal{P} may be true¹⁴ or false!
- All information is lost at once:
$$\alpha(\alpha(\mathcal{P})) = \alpha(\mathcal{P})$$
- The abstraction of more precise properties is more precise:
$$\text{si } \mathcal{P} \subseteq \mathcal{Q} \text{ then } \alpha(\mathcal{P}) \subseteq \alpha(\mathcal{Q})$$

¹⁴ In this case, this is a “false alarm”.



Galois Connection

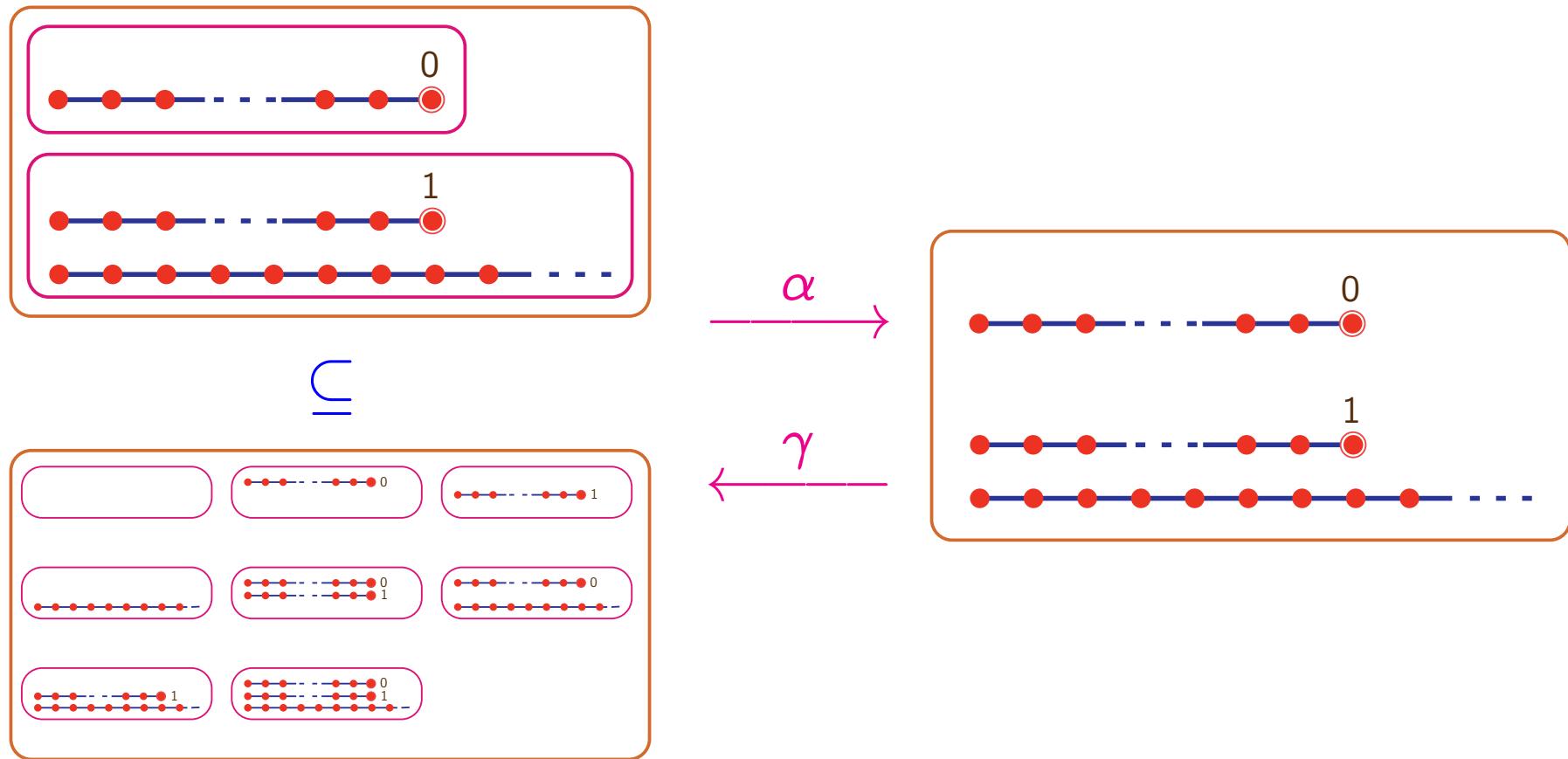
- We have got a Galois Connection :

- With an isomorphic mathematical/computer representation:

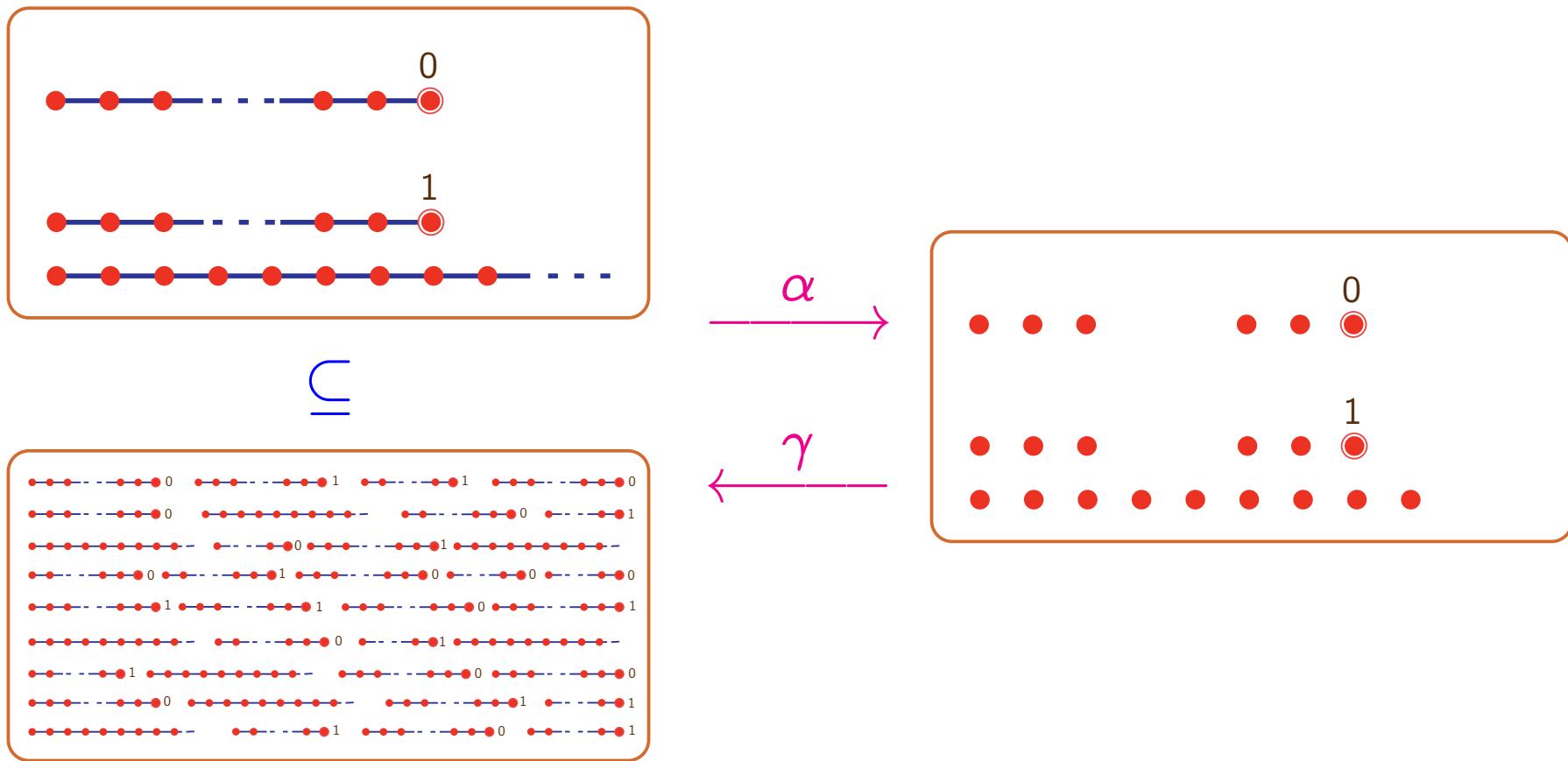
$$\begin{array}{ccc}
 \langle \wp(\mathcal{D}), \subseteq \rangle & \xrightleftharpoons[\alpha]{\gamma} & \langle \mathcal{D}^\sharp, \sqsubseteq \rangle \\
 \uparrow & & \uparrow \\
 \text{Concrete properties} & & \text{Abstract domain} \\
 \forall \mathcal{P} \in \wp(\mathcal{D}) : \forall \mathcal{Q} \in \mathcal{D}^\sharp : \alpha(\mathcal{P}) \sqsubseteq \mathcal{Q} & \iff & \mathcal{P} \subseteq \gamma(\mathcal{Q})
 \end{array}$$



Example 1 de Galois Connection



Example 2 de Galois Connection



Function Abstraction

- Let $\langle \wp(\mathcal{D}), \subseteq \rangle \xleftarrow[\alpha]{\gamma} \langle \mathcal{D}^\sharp, \sqsubseteq \rangle$
- How to abstract an operator $F \in \wp(\mathcal{D}) \rightarrow^{\leqslant} \wp(\mathcal{D})$?
- The most precise sound overapproximation is

$$\begin{aligned} F^\sharp &\in \mathcal{D}^\sharp \rightarrow^{\leqslant} \mathcal{D}^\sharp \\ F^\sharp &= \alpha \circ F \circ \gamma \end{aligned}$$

- This is a Galois Connection

$$\langle \wp(\mathcal{D}) \rightarrow^{\leqslant} \wp(\mathcal{D}), \subseteq \rangle \xrightleftharpoons[\lambda F \cdot \alpha \circ F \circ \gamma]{\lambda F^\sharp \cdot \gamma \circ F^\sharp \circ \alpha} \langle \mathcal{D}^\sharp \rightarrow^{\leqslant} \mathcal{D}^\sharp, \sqsubseteq \rangle$$



Fixpoint Abstraction

- Let $\langle \wp(\mathcal{D}), \subseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \mathcal{D}^\sharp, \sqsubseteq \rangle$
- How to abstract a *fixpoint property* $\text{lfp}^{\subseteq} F$ where $F \in \wp(\mathcal{D}) \xrightarrow{\leqslant} \wp(\mathcal{D})$?
- Approximate **sound abstraction**:
$$\text{lfp}^{\subseteq} F \subseteq \gamma(\text{lfp}^{\sqsubseteq} \alpha \circ F \circ \gamma)$$
- **Complete abstraction**: if $\alpha \circ F = F^\sharp \circ \alpha$ then
$$F^\sharp = \alpha \circ F \circ \gamma, \text{ and}$$
$$\alpha(\text{lfp}^{\subseteq} F) = \text{lfp}^{\sqsubseteq} F^\sharp$$



Example: Accessible States

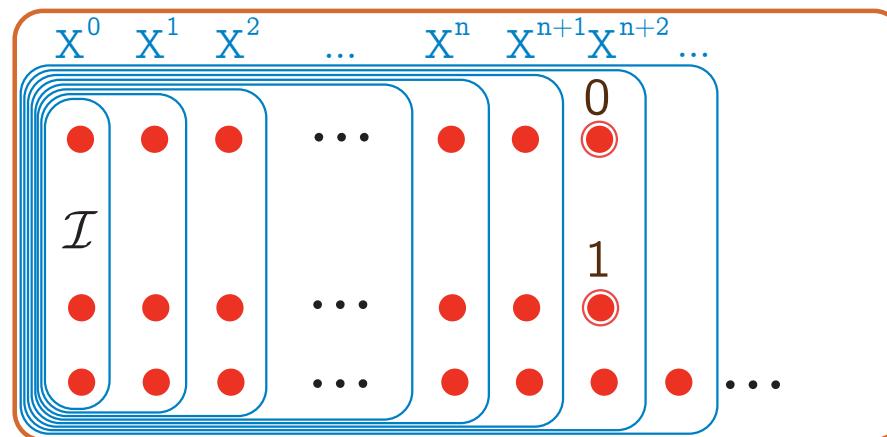
- Transition system: $\langle \Sigma, \tau \rangle$
 - Initial states: $\mathcal{I} \subseteq \Sigma$

– Abstraction:



– Accessible states: $\text{lfp}^{\subseteq} F^\sharp$,

$$F^\sharp(X) = \mathcal{I} \cup \{s' \mid \exists s \in X : \langle s, s' \rangle \in \tau\}$$



Convergence acceleration of the iterative fixpoint computation

- The fixpoint $\text{Ifp}^{\sqsubseteq} F^\sharp$, $F^\sharp \in \mathcal{D}^\sharp \xrightarrow{\leqslant} \mathcal{D}^\sharp$ is computed iteratively¹⁵:

$$X^0 = \perp \quad X^{n+1} = F^\sharp(X^n) \quad X^\omega = \bigsqcup_{n \geq 0} X^n$$

- For systems of equations $\mathcal{D}^\sharp = \prod_{i=1}^n \mathcal{D}_n^\sharp$, we use **asynchronous iterations**
- **Convergence acceleration** techniques have been developed to overapproximate the limit.

¹⁵ $(\mathcal{D}^\sharp, \sqsubseteq)$ is a poset, F^\sharp is monotonic, \perp is the infimum, the least upper bound \sqcup must exist for the iterates (in general transfinite).



Static analysis by abstract interpretation

1. Define the **programming language semantics** $S \in \mathcal{L} \mapsto \mathcal{D}$ and the **concrete properties** $\wp(\mathcal{D})$;
2. Let $Q \in \wp(\mathcal{D})$ be the **property to be proved** about the program $P : S[P] \in Q$
3. Choose the **abstraction** $\langle \wp(\mathcal{D}), \subseteq \rangle \xleftarrow[\alpha]{\gamma} \langle \mathcal{D}^\sharp, \sqsubseteq \rangle$
4. The abstract interpretation theory formally define an **abstract semantics** $S^\sharp[P] \sqsupseteq \alpha(\{S[P]\})$
5. The **static analysis algorithm** is the computation/over-approximation of the abstract semantics (whence correct by construction)



6. The result of the computation is either
 - $S[\![P]\!] \in \gamma(S^\sharp[\![P]\!]) \subseteq Q$ (**correctness proof**), or
 - $\gamma(S^\sharp[\![P]\!]) \not\subseteq Q$ (property not satisfied (**error**) or approximation too coarse (**false alarm**))
7. The abstraction must be chosen in terms of the property Q to be proved, to be
 - coarse enough to be automatically computable,
 - precise enough to obtain a correctness proof: $\gamma(S^\sharp[\![P]\!]) \subseteq Q$;



Abstract interpretation

(3) A few applications



Applications of Abstract Interpretation

Any reasoning on complex computer systems must involve a correct approximation of their behaviors, as formalized by **Abstract Interpretation** [5, 20, 21, 34]

- Syntax of programming languages [30]
- Semantics of programming languages [13, 27]
- Proofs of programs [11, 12]
- Typing and type inference [18]
- Model-checking [23, 28, 31]
- Bisimulations [42]



- Static analysis of programming languages [3, 7, 15, 16, 22, 26]
 - imperative [2, 4, 6, 9, 19]
 - parallel [10, 8]
 - logic/constraint [14]
 - fonctionnal [17]
- Transformation of programs [29]
- Steganography [33]
- Obfuscation [36]
- Malware detection [37]
- . . .



Abstract interpretation

(4) Application to critical software



(4.1) The ASTRÉE static analyzer

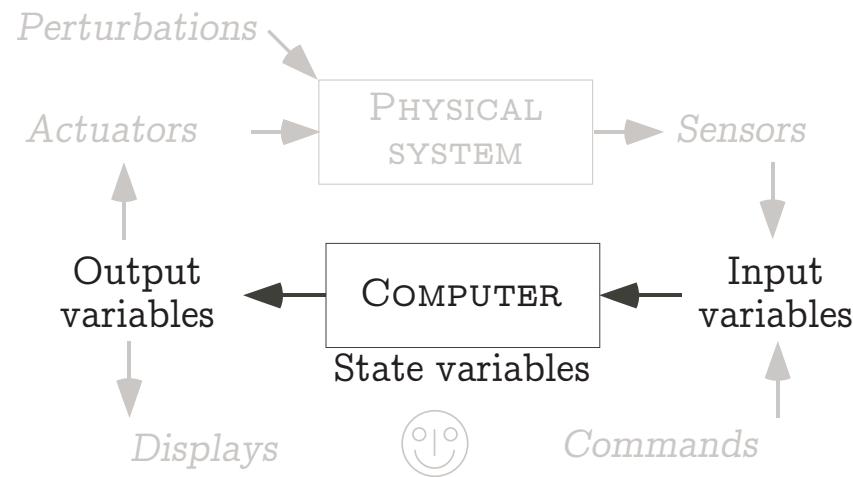
www.astree.ens.fr [25, 32, 35]



ASTRÉE is a specialized static analyzer

- Embedded **real-time synchronous** control/command C programs:

```
Declare and initialize state  
variables;  
loop forever  
    read volatile input variables,  
    compute output and  
    state variables,  
    write state variables;  
    wait for next clock tick  
end loop
```



Objective of ASTRÉE

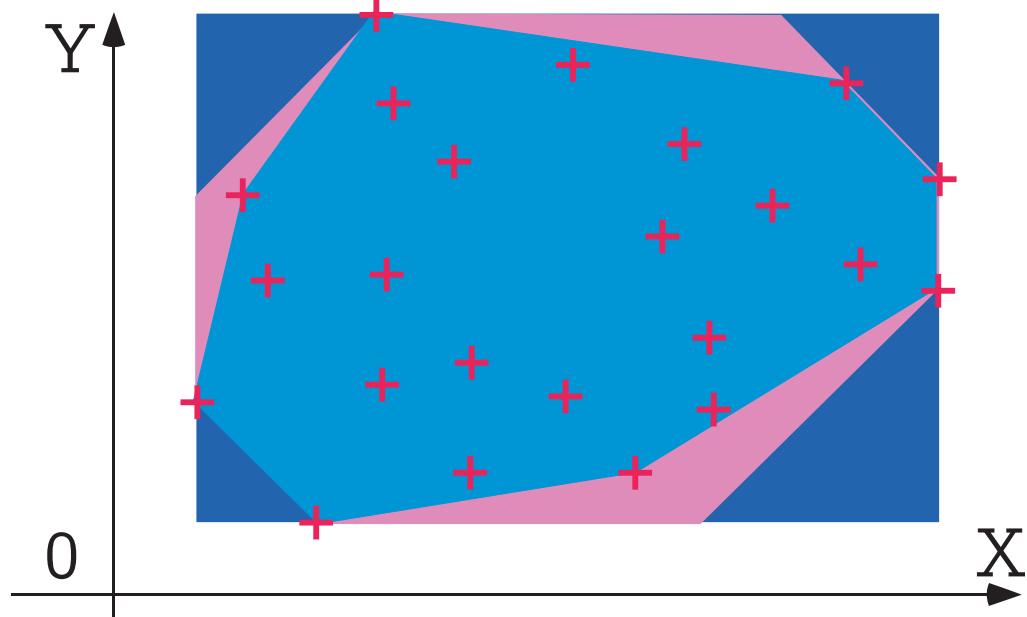
- Prove automatically the **absence of runtime errors**:
 - No division by 0, NaN, out of range array access, nil/dangling pointer
 - No signed integer/float overflows
 - Verification of user-defined properties (for example machine dependent properties)
- Requirements:
 - efficiency (must operate on a workstation)
 - precision (few false alarms)
- No alarm → **full certification**



(4.2) Examples of abstractions



General purpose numerical abstract domains



Approximation of a set of points

Intervals: [2]

$$\bigwedge_{i=1}^n a_i \leq x_i \leq b_i$$

Octagons: [40]

$$\bigwedge_{i=1}^n \bigwedge_{j=1}^n \pm x_i \pm y_j \leq a_{ij}$$

Polyhedra: [6]

$$\bigwedge_{j=1}^m \left(\sum_{i=1}^n a_{ji} x_i \right) \leq b_j$$



Floating-point linearization [40, 41]

- Approximate arbitrary expressions in the form
 $[a_0, b_0] + \sum_k ([a_k, b_k] \times V_k)$
- Example:
Z = X - (0.25 * X) is linearized as
 $z = ([0.749 \dots, 0.750 \dots] \times x) + (2.35 \dots 10^{-38} \times [-1, 1])$
- Allows **simplification** even in the interval domain
if $x \in [-1, 1]$, we get $|z| \leq 0.750 \dots$ instead of $|z| \leq 1.25 \dots$
- Allows using a **relational abstract domain** (octagons)
- Example of good compromize between cost and precision



Symbolic abstract domain [40, 41]

- Interval analysis: if $x \in [a, b]$ and $y \in [c, d]$ then $x - y \in [a - d, b - c]$ so if $x \in [0, 100]$ then $x - x \in [-100, 100]!!!$
- The symbolic abstract domain propagates the symbolic values of variables and performs simplifications;
- Must maintain the maximal possible rounding error for float computations (overestimated with intervals);

```
% cat -n x-x.c
 1 void main () { int X, Y;
 2         __ASTREE_known_fact(((0 <= X) && (X <= 100)));
 3         Y = (X - X);
 4         __ASTREE_log_vars((Y));
 5 }
```

```
astree -exec-fn main -no-relational x-x.c
Call main@x-x.c:1:5-x-x.c:1:9:
<interval: Y in [-100, 100]>
```

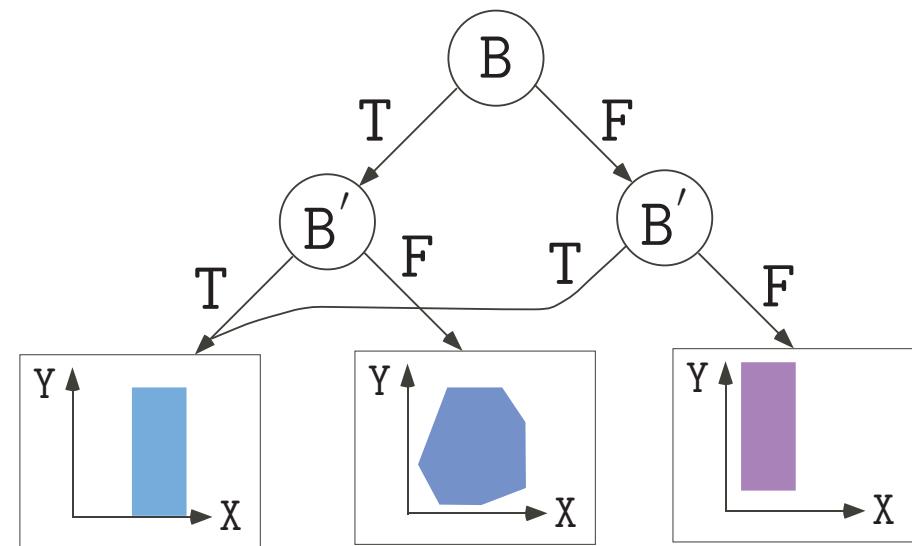
```
astree -exec-fn main x-x.c
Call main@x-x.c:1:5-x-x.c:1:9:
<interval: Y in {0}> <symbolic: Y = (X - i X)>
```



Boolean Relations for Boolean Control

- Code Sample:

```
/* boolean.c */  
typedef enum {F=0,T=1} BOOL;  
BOOL B;  
void main () {  
    unsigned int X, Y;  
    while (1) {  
        ...  
        B = (X == 0);  
        ...  
        if (!B) {  
            Y = 1 / X;  
        }  
        ...  
    }  
}
```



The boolean relation abstract domain is parameterized by the height of the decision tree (an analyzer option) and the abstract domain at the leafs



Control Partitionning for Case Analysis

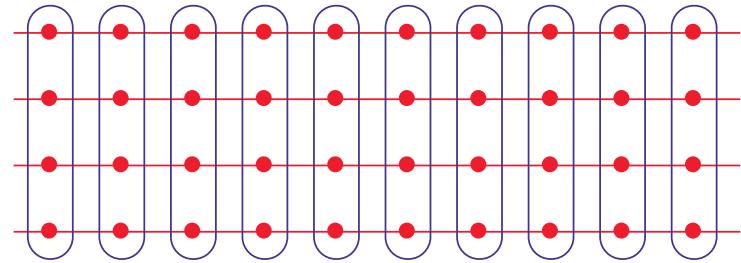
– Code Sample:

```
/* trace_partitionning.c */
void main() {
    float t[5] = {-10.0, -10.0, 0.0, 10.0, 10.0};
    float c[4] = {0.0, 2.0, 2.0, 0.0};
    float d[4] = {-20.0, -20.0, 0.0, 20.0};
    float x, r;
    int i = 0;

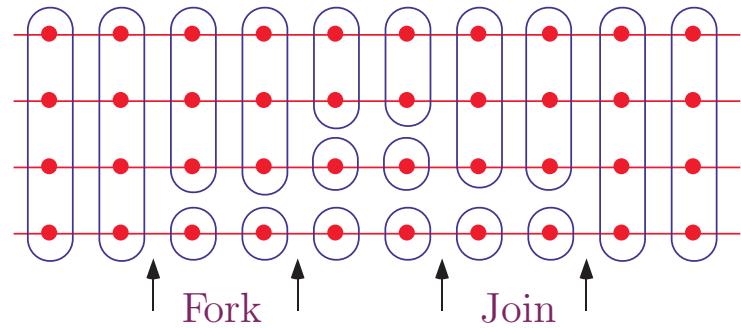
    ... found invariant  $-100 \leq x \leq 100$  ...

    while ((i < 3) && (x >= t[i+1])) {
        i = i + 1;
    }
    r = (x - t[i]) * c[i] + d[i];
}
```

Control point partitionning:



Trace partitionning:

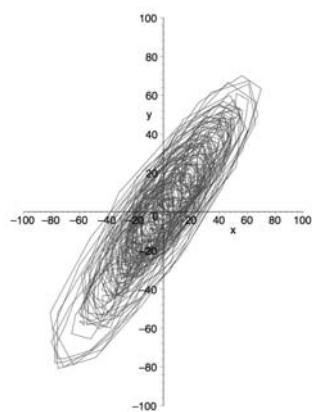
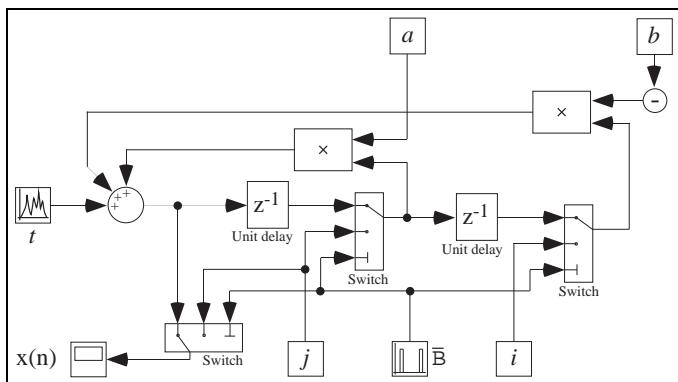


Delaying abstract unions in tests and loops is more precise for non-distributive abstract domains (and much less expensive than disjunctive completion).

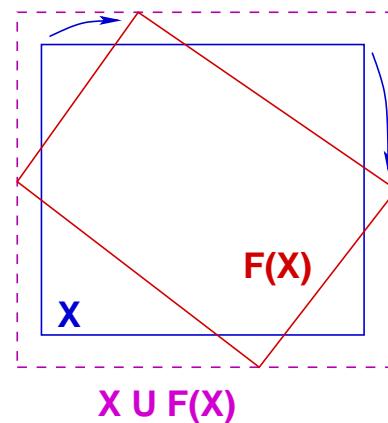


Ellipsoid Abstract Domain for Filters

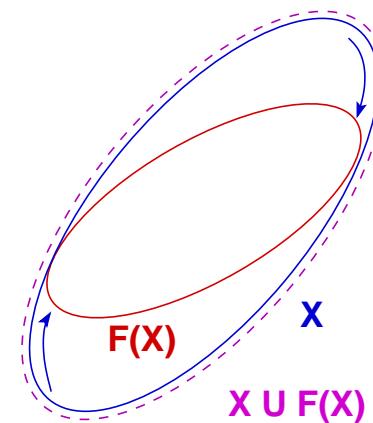
2nd Order Digital Filter:



execution trace



unstable interval



stable ellipsoid



```

typedef enum {FALSE = 0, TRUE = 1} BOOLEAN; Filter Example [38]
BOOLEAN INIT; float P, X;

void filter () {
    static float E[2], S[2];
    if (INIT) { S[0] = X; P = X; E[0] = X; }
    else { P = (((((0.5 * X) - (E[0] * 0.7)) + (E[1] * 0.4))
                  + (S[0] * 1.5)) - (S[1] * 0.7)); }
    E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
    /* S[0], S[1] in [-1327.02698354, 1327.02698354] */
}

void main () { X = 0.2 * X + 5; INIT = TRUE;
    while (1) {
        X = 0.9 * X + 35; /* simulated filter input */
        filter (); INIT = FALSE; }
}

```



Slow divergences by rounding accumulation

```
X = 1.0;  
while (TRUE) { ①  
    X = X / 3.0;  
    X = X * 3.0;  
}
```

- With reals \mathbb{R} : $x = 1.0$ at ①
- With floats: **rounding errors**
- Accumulation of rounding errors:
possible cause of divergence

Solution [35] : bound the cumulated rounding error as a function of the number of iterations by arithmetico-geometric progressions:

- Relation $|x| \leq a \cdot b^n + c$, where a, b, c are constants determined by the analysis, n is the iterate number
- Number of iterates bounded by N : $|x| \leq a \cdot b^N + c$



Arithmetic-geometric progressions¹⁶ [39]

- Abstract domain: $(\mathbb{R}^+)^5$
- Concretization:

$$\gamma \in (\mathbb{R}^+)^5 \longmapsto \wp(\mathbb{N} \mapsto \mathbb{R})$$

$$\begin{aligned}\gamma(M, a, b, a', b') = \\ \{f \mid \forall k \in \mathbb{N} : |f(k)| \leq \left(\lambda x . ax + b \circ (\lambda x . a'x + b')^k \right) (M)\}\end{aligned}$$

i.e. any function bounded by the arithmetic-geometric progression.

¹⁶ here in \mathbb{R}



Arithmetic-Geometric Progressions (Example 1)

```
% cat count.c
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
volatile BOOLEAN I; int R; BOOLEAN T;
void main() {

    R = 0;
    while (TRUE) {
        __ASTREE_log_vars((R));
        if (I) { R = R + 1; }           ← potential overflow!
        else { R = 0; }
        T = (R >= 100);
        __ASTREE_wait_for_clock();
    }
}

% cat count.config
__ASTREE_volatile_input((I [0,1]));
__ASTREE_max_clock((3600000));
% astree -exec-fn main -config-sem count.config count.c|grep '|R|'
|R| <= 0. + clock *1. <= 3600001.
```



Arithmetic-geometric progressions (Example 2)

```
% cat retro.c
typedef enum {FALSE=0, TRUE=1} BOOL;
BOOL FIRST;
volatile BOOL SWITCH;
volatile float E;
float P, X, A, B;

void dev( )
{ X=E;
  if (FIRST) { P = X; }
  else
    { P = (P - (((2.0 * P) - A) - B)
           * 4.491048e-03)); }
  B = A;
  if (SWITCH) {A = P;}
  else {A = X;}
}
```

```
void main()
{ FIRST = TRUE;
  while (TRUE) {
    dev( );
    FIRST = FALSE;
    __ASTREE_wait_for_clock();
  }
}

% cat retro.config
__ASTREE_volatile_input((E [-15.0, 15.0]));
__ASTREE_volatile_input((SWITCH [0,1]));
__ASTREE_max_clock((3600000));
|P| <= (15. + 5.87747175411e-39
/ 1.19209290217e-07) * (1
+ 1.19209290217e-07)^clock
- 5.87747175411e-39 /
1.19209290217e-07 <=
23.0393526881
```



(Automatic) Parameterization

- All abstract domains of ASTRÉE are **parameterized**, e.g.
 - variable packing for octagones and decision trees,
 - partition/merge program points,
 - loop unrollings,
 - thresholds in widenings, . . . ;
- End-users can either **parameterize by hand** (analyzer options, directives in the code), or
- choose the **automatic parameterization** (default options, directives for pattern-matched predefined program schemata).



Possible origins of imprecision and how to fix it

In case of false alarm, the imprecision can come from:

- **Abstract transformers** (not best possible) → improve algorithm;
- **Automatized parametrization** (e.g. variable packing) → improve pattern-matched program schemata;
- **Iteration strategy** for fixpoints → fix widening¹⁷;
- **Inexpressivity** i.e. indispensable local inductive invariant are inexpressible in the abstract → add a **new abstract domain** to the reduced product (e.g. filters).

¹⁷ This can be very hard since at the limit only a precise infinite iteration might be able to compute the proper abstract invariant. In that case, it might be better to design a more refined abstract domain.



(4.3) Results



Application to the A 340/A 380

- Primary flight control software of the electric flight control system of the Airbus A340 family and the A380



- C program, automatically generated from of high-level specification (à la Simulink/SCADE)
- A340 : 100.000 to 250.000 LOCs
- A380 : 400.000 to 1.000.000 LOCs



A world première

- In Nov. 2005, analysis of 400.000 lines of C code¹⁸:

time	memory	false alarms
13h 52mn	2,2 Gb	0

- In Nov. 2006, analysis of 750.000 lines of C code:

time	memory	false alarms
34h 30mn	4,8 Go	0

¹⁸ on an AMD Opteron 248, 64 bits, a single processor



Current Projects



Static Analysis of Synchronous Programs

- MSU¹⁹ of the FAS²⁰ for the ATV²¹—ISS²² rendezvous (mission critical)
- C version of an ADA program generated from Simulink + Scade + manual code
- 190 000 LOCs



¹⁹ MSU: Monitoring and Safing Unit

²⁰ FAS: Flight Application Software

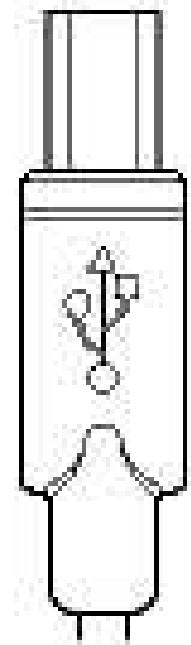
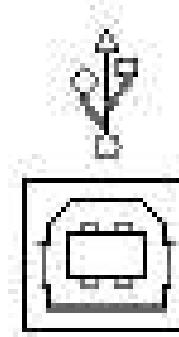
²¹ ATV: Automated Transfer Vehicle

²² ISS: International Space Station



Static Analysis of Asynchronous Controllers

- USB communications
- Driver + Model of the (hardware) controller
- Asynchronous interferences between driver/controller²³
- Low-level data structures



²³ SLAM for example is unsound since it is purely sequential on the driver and completely ignores the controller interfering asynchronously in parallel.



Static Analysis of Asynchronous Programs

- Parallel processes
- Shared variables/
semaphors & message
communications
- Scheduling with static
priorities/delays on waits
- Example application:
flight warning system of
commercial planes (about
3 500 000 Locs)



Conclusion



Results of the ASTRÉE project

- ASTRÉE is a practical proof that software static analysis by abstract interpretation does scale up²⁴
- *With a lot of efforts*, theoretical & purely speculative research on abstract interpretation can find its way into industrial practice,
- Effective industrial use, if the methodology changes and cost are marginal²⁵
- Forthcoming commercialization (1/3 years)

²⁴ The main difficulty for formal methods.

²⁵ Developing and maintaining a formal proof or a finite model of a large program, would not be considered as marginal methodology changes and cost!



THE END, THANK YOU

More references at URL www.di.ens.fr/~couso/.



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