# Applications of Abstract Interpretation

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### Content

- A brief introduction to Abstract Interpretation (in pictures<sup>1</sup>);
- -A rapid survey of the main applications of Abstract Interpretation;
- -A more detailled description of the Astrée<sup>2</sup> static analyzer.

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<sup>&</sup>lt;sup>1</sup> For those attendees that missed the morning session!

<sup>&</sup>lt;sup>2</sup> www.astree.ens.fr

# 1. Principles of Abstract Interpretation



### **Operational semantics**



### Safety property



### Test/debugging is unsafe





### Abstract interpretation is safe





### Soundness requirement: erroneous abstraction<sup>3</sup>



 $<sup>^{3}</sup>$  This situation is <u>always excluded</u> in static analysis by abstract interrpetation.



### Soundness requirement: erroneous abstraction



### Imprecision $\Rightarrow$ false alarms





### Global interval abstraction $\rightarrow$ false alarms





### Local interval abstraction $\rightarrow$ false alarms





### Refinement by partitionning





### Intervals with partitionning





# 2. Applications of Abstract Interpretation



Applications of Abstract Interpretation

– Static Program Analysis [CC77], [CH78], [CC79] including

- Dataflow Analysis [CC79], [CC00],
- Set-based Analysis [CC95],
- Predicate Abstraction [Cou03],

-Grammar Analysis and Parsing [CC03], [CC06]



- . . .

Applications of Abstract Interpretation (Cont'd)

- Hierarchies of Semantics (including Proofs) [CC92], [Cou02]
- Typing & Type Inference [CC97]
- -(Abstract) Model Checking [CC00]
- Bisimulations [RT04]
- -Non-interference [GM04]
- -Models of Security Protocols [Bla05]



Applications of Abstract Interpretation (Cont'd)

- Program Transformation [CC02] including
  - Software Watermarking [CC04]
  - (Semantic/Abstract) Slicing [Riv05]
  - Code Obfuscation [PG05a, PG05b]
- -Malware Detection [PCJD07]
- -Computational biology [Dan07]

— . . .

-Quantum computing [JP06, Per06]

All these techniques involve sound approximations that can be formalized by abstract interpretation © P. Cousot 18 TASE 2007 Tutorial June 5<sup>th</sup>, 2007 – 2:00-4:00 PM

## 3. The ASTRÉE static analyzer



#### Project Members



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## How we got started

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### A dramatic realization





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### Software safety is important

June 4, 1996: Ariane 5 explodes on its maiden flight due to a bug Bug not detected by testing Renewed interest in formal methods PolySpace.com produces ADA verifier (now other tools available)

Other industries become interested in static analysis.

## Airbus and Astréeproject

Airbus has producted digital fly-by-wire controls since A320 (1988).

Avionics division interested in formal methods.

Uses Hoare logic theorem prover (Caveat, from CEA), and static analyzers: timing validation + stack usage, by Absint GmbH Astréeby ENS/CNRS (started in 2001)

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## Introduction

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## The Astrée static analyzer

Astrée http://www.astree.ens.fr is a static analyzer based on abstract interpretation.

- Analyzes a subset of the C language.
- Machine integers and floating-point numbers, not "mathematical" integers and real numbers.
- Tuned for large-scale control/command codes, automatically generated from high-level specifications.
- Precise domains for numerical computations.
- Detects runtime errors.

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## Challenges

Has to analyze the original source code, not a derived "model".

Has to be sound (i.e. not say "there is no runtime error possible" when there are)

Has to be precise (i.e. not warn about many *possible* alarms that can't happen — false alarms)

Handle floating-point well, including digital filtering algorithms

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### The biggest challenge



Very large software ( $\gg$  7 00,000 LOC)  $\Rightarrow$  efficiency questions ! Commodity PC hardware  $\Rightarrow$  keep memory requirements low  $\Rightarrow$  keep analysis times low

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### **Efficiency considerations**

False "good idea" For final "certification" of the system, only need a single pass of analysis, even if it is slow.

In reality... You want fast analysis

- for debugging the analyzer
- for using it while you develop the analyzed code
- for debugging input specifications (i.e. bounds on the inputs)

Characteristics of the ASTRÉE Analyzer

Static: compile time analysis ( $\neq$  run time analysis Rational Purify, Parasoft Insure++)

**Program Analyzer:** analyzes programs not micromodels of programs ( $\neq$  PROMELA in SPIN or Alloy in the Alloy Analyzer)

Automatic: no end-user intervention needed ( $\neq$  ESC Java, ESC Java 2)

Sound: covers the whole state space ( $\neq$  MAGIC, CBMC) so never omit potential errors ( $\neq$  UNO, CMC from coverity.com) or sort most probable ones ( $\neq$  Splint)

### Characteristics of the ASTRÉE Analyzer (Cont'd)

Multiabstraction: uses many numerical/symbolic abstract domains ( $\neq$  symbolic constraints in Bane or the canonical abstraction of TVLA)

Infinitary: all abstractions use infinite abstract domains
with widening/narrowing (≠ model checking based
analyzers such as VeriSoft, Bandera, Java PathFinder)

**Efficient:** always terminate ( $\neq$  counterexample-driven automatic abstraction refinement BLAST, SLAM)

### Characteristics of the ASTRÉE Analyzer (Cont'd)

Specializable: can easily incorporate new abstractions (and reduction with already existing abstract domains) (≠ general-purpose analyzers PolySpace Verifier)
 Domain-Aware: knows about control/command (e.g. digital filters) (as opposed to specialization to a mere programming style in C Global Surveyor)

Parametric: the precision/cost can be tailored to user needs by options and directives in the code

### Characteristics of the ASTRÉE Analyzer (Cont'd)

Automatic Parametrization: the generation of parametric directives in the code can be programmed (to be specialized for a specific application domain)

- Modular: an analyzer instance is built by selection of O-CAML modules from a collection each implementing an abstract domain
- Precise: very few or no false alarm when adapted to an application domain  $\longrightarrow$  it is a VERIFIER!

#### **Example of Analysis Session**

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▼ 11122c126           ▼ Calman & Itte2c205           ▼ Web Web2c205           ▼ Web Web2c205           ▼ Calman & Itte2c205           ₽	<pre>typedef enum {FALSE = 0, TRUE = 1} BOOLEAN; BOOLEAN INIT; float P, X; void filtre2 () { static float @E[2], @S[2]; @if (INIT) { @S[0] = X; @P = K; @[0] = X; @P = ((((0.4677826 * X) - (E[0] * 0.7100725)) + (S[1] * 0.4344376)) + (S[0] * 1.5419)) - (S[1] * 0.6740476)); @E[1] = E[0]; @E[1] = E[0]; @E[1] = E[0]; @E[1] = S[0]; @E[1] = S[0]; @E[1] = S[0]; @S[0] = P; } void main () { @X = 0.2 * X + 5; OINIT = FALSE; @Filtre2 (); @INIT = FALSE; @ i.e</pre>	Intel
oustion: filtre2.c:12:6[cal] ariables: P (1) invariant: interval: P in [-1252.84, filtre d'ordre 2 Ar_entree 1 :E[0] Ar_sortie :P Ar_sortie :P ar_so	<pre>1#main@20:loop@23&gt;=4:call#filtre2@25; 1252.84; inter [-3362.7, 3491.96;+olook inter [-3362.7, 3491.96;-elook&gt; 5 5 6 6 76 1ine!! .935061096 2246160101051 3715502022 2246160101051 3715502022 2024616101051 3715602022 506487752 20213381749462 400176654152 5.02359782</pre>	
inth		6
/* Analyzer launched at 2004	/ 3/16 20:41:58	E
Command line was "/Volumes/P Launched by "cousot" on "PB- Nbe2c-line 12-column 5-character 193	B_Cousot_PGD/Projet/absinthe2/analyzer.optexec-fn main filtre2.cexport-invariant-stat filtre2.bin * Ga-Patrick-COUSOT.local*	6

## Initial domain of application of ASTRÉE

# **Electric Flight Control Command**

- Before: assistance to the pilot
  - Boeing 747
  - Airbus A300/310
- Now: control of the plane (and the pilot :-) )
  - Airbus A320 / A330 / A340
  - Boeing 777
  - Airbus A380
### Boeing 747-400



Crédit photo : Adrian Pingstone (domaine public) / Wikimedia Commons

## Boeing 747



Crédit photo : Snowdog / domaine public / Wikimedia Commons

### Airbus A300 / A310 End of the 70s – Beginng of the 80s



Crédit photo : Airbus



The pilot directly controls the active surfaces via mechanical links (with computer assistance)

# Digital fly-by-wire avionics

### Airbus A330



Crédit photo : Airbus © P. Cousot

# Digital fly-by-wire avionics The *sidestick*



The pilot operates on a command linked to a computer which activates the active surfaces via electric transmissions

> No mechanical link (even in case of malfunctioning)

# **Digital fly-by-wire avionics** (Simulating manual commands)

# Boeing 777



Crédit photo : Boeing [photograph used under the fair use doctrine of US law]

# **Digital fly-by-wire avionics**

Pilot sends orders to computer via sidestick (Airbus) or "traditional looking" control yoke (Boeing)

Computer sends order to surfaces, receives feedback from sensors

Allows simplified piloting (computer does all compensations internally)

Not a single computer (multiple redundant computers, cross-checking, separate architectures, multi-level redundancy)

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# Airbus A380



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# **Considered Programs and Semantics**

## Which Programs are Considered ?

- Embedded avionic programs;
- Automatically generated from a proprietary graphical system control language (à la Simulink);
- Synchronous real-time critical programs:

```
declare volatile input, state, and output variables;
initialize state variables;
loop forever
  read volatile input variables,
   compute output and state variables,
   write to volatile output variables;
   wait for next clock tick
end loop
```

### Programs analysed by ASTRÉE

 Application Domain: large safety critical embedded realtime synchronous software for non-linear control of very complex control/command systems.

-C programs:

- with
  - basic numeric datatypes, structures and arrays
  - pointers (including on functions),
  - floating point computations
  - tests, loops and function calls
  - limited branching (forward goto, break, continue)

$$-\underline{\text{with}}$$
 (cont'd)

- union
- pointer arithmetics

-without

- dynamic memory allocation
- recursive function calls
- backward branching
- conflicting side effects
- C libraries, system calls (parallelism)

# Main Characteristics of the Programs

#### **Difficulties:**

- Many global variables and arrays (>50000);
- A huge loop (> 700 000 lines after simplification);
- Each iteration depends on the state of the previous iterations (state variables);
- Floating-point computations
   (80% of the code implements non-linear control with feed-back);
- Everything is interdependent (live variables analysis, slicing ineffective);
- Abstraction by elimination of any variable is too imprecise.

#### Simplicities:

All data is statically allocated;

ASTRÉE has been extended to cope with union and pointer arithmetic to handle other embedded programs, like hand written communication programs.

- Pointers are restricted to call-by-reference, no pointer arithmetics;
- Structured, recursion-free control flow.

## **Semantics**

#### The standard ISO C99 semantics:

• arrays should not be accessed out of their bounds, ...

restricted by:

#### • The machine semantics:

- integer arithmetics is 2's complement,
- floating point arithmetics is IEEE 754-1985,
- int and float are 32-bit, short is 16-bit, ...

restricted by:

#### The user's semantics:

- integer arithmetics should not wrap-around,
- some IEEE exceptions (invalid operation, overflow, division by zero) should not occur, ...

### Trace semantics

- -From this small-step semantics we deribe a discretetime complete trace semantics <sup>4</sup>;
- -This trace semantics is abstracted into many different abstract properties as implemented by various abstract domains;
- -ASTRÉE computes a weak reduced product for these abstractions<sup>5</sup>.

<sup>&</sup>lt;sup>5</sup> for efficiency, only a number of useful reductions are performed amongst all possible ones, via communications between abstract domains.



<sup>&</sup>lt;sup>4</sup> posibly limited, for synchronous control/command programs, to a maximum number of clock ticks (\_\_ASTREE\_wait\_for\_clock(()), as specified by a configuration file.

### Examples of abstractions

- -Set of complete traces  $\xrightarrow{\alpha}$  reachable states  $\xrightarrow{\alpha}$  <sup>6</sup> possible values of each variable at a given program point  $\xrightarrow{\alpha}$  <sup>7 8</sup> interval of possible values of each variable at a given program point;
- $-\ldots$  idem  $\ldots \xrightarrow{\alpha}$  simple congruence
- -Set of complete traces  $\xrightarrow{\alpha}$  reachable states  $\xrightarrow{\alpha}$  set of vectors of possible values of all variables at a given program point  $\xrightarrow{\alpha}$  octagonal relations between pairs of variables at a given program point <sup>9</sup>;
  - $^{6}$  cartesian abstraction
  - <sup>7</sup> interval abstraction
  - <sup>8</sup> see page 66–67
  - <sup>9</sup> see page 69–70

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### Examples of abstractions(Cont'd)

- -Set of complete traces  $\xrightarrow{\alpha}$  set of reachable partial traces of a loop for 1, 2, ..., n and > n iterations  $\xrightarrow{10} \xrightarrow{\alpha} \dots \xrightarrow{11}$
- -Set of complete traces  $\stackrel{\alpha}{\longrightarrow}$  for each trace, a map of discrete time *i* in the trace to the value  $X_i$  of a variable X at that time *i* along that trace  $\stackrel{\alpha}{\longrightarrow}$  a map of dicrete time *i* in the traces to the maximum value  $\overline{X}_i$  of a variable X at that time *i* in all traces <sup>12</sup>

- <sup>11</sup> see pages 108–110
- 12 see slides 74 to 95

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<sup>&</sup>lt;sup>10</sup> n is a parameter of ASTRÉE

# **Goal of the Program Static Analyzer**

#### Correctness verification.

- Nothing can go wrong at execution:
  - no integer overflow or division by zero,
  - no exception, NaN, or  $\pm\infty$  generated by IEEE floating-point arithmetics,
  - no out of bounds array access,
  - no erroneous type conversion.
- The execution semantics on the machine never reaches an indetermination or an error case in the standard / machine / user semantics.

#### Different Classes of Run-time Errors

- 1. Errors terminating the execution <sup>6</sup>. ASTRÉE warns and continues by taking into account only the executions that did not trigger the error.
- 2. Errors not terminating the execution with predictable outcome<sup>7</sup>. ASTRÉE warns and continues with worst-case assumptions.
- 3. Errors not terminating the execution with <u>unpredictable</u> outcome<sup>8</sup>. ASTRÉE warns and continues by taking into account only the executions that did not trigger the error.
- 3. is sound with respect to C standard, unsound with respect to C implementation, unless no false alarm.
  - <sup>6</sup> floating-point exceptions e.g. (invalid operations, overflows, etc.) when traps are activated
  - $^{7}$  e.g. overflows over signed integers resulting in some signed integer.

<sup>8</sup> e.g. memory corruptionss.

## Information about the Program Execution Automatically Inferred by the Analyzer

- The analyzer effectively computes a **finitely represented**, **compact** overapproximation of the **immense** reachable state space.
- The information is valid for any execution interacting with any possible environment (through undetermined volatiles).
- It is inferred **automatically** by abstract interpretation of the collecting semantics and convergence acceleration  $(\nabla, \Delta)$ .

## **Automatic Program Verification by Abstract Interpretation**

#### Result:

- Can produce zero or very few false alarms while checking non-trivial properties (absence of Run-Time Error);
- Does scale up.

#### How ?

- We specialize the abstract interpreter for a family of programs (which correctness proofs would be similar).
- The abstract domains are generic invariants automatically instantiated by the analyzer (to make these proofs).

#### Example application

-Primary flight control software of the Airbus A340 family/A380 fly-by-wire system



- -C program, automatically generated from a proprietary high-level specification (à la Simulink/SCADE)
- A340 family: 132,000 lines, 75,000 LOCs after preprocessing, 10,000 global variables, over 21,000 after expansion of small arrays
- $-A380: \times 5/7$

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#### Benchmarks (Airbus A340 Primary Flight Control Software)

- -132,000 lines, 75,000 LOCs after preprocessing
- Comparative results (commercial software): 4,200 (false?) alarms,
  - 3.5 days;
- -Our results:
  - $\underbrace{\begin{array}{l} 0 \\ -} a \text{larms,} \\
     40 \text{mn on } 2.8 \text{ GHz PC,} \\
     300 \text{ Megabytes} \\
     \longrightarrow \text{A world première!}
     \end{aligned}$



### (Airbus A380 Primary Flight Control Software)

- -350,000 lines
- $-\underline{0}$  alarms (Nov. 2004),
  - 7h<sup>6</sup> on 2.8 GHz PC,
  - 1 Gigabyte
  - $\longrightarrow$  A world grand première!
- -Now at 1,000,000 lines!

34h, 8 Gb, still 0 false alarm (after some additional parametrisation)

<sup>&</sup>lt;sup>6</sup> We are still in a phase where we favour precision rather than computation costs, and this should go down. For example, the A340 analysis went up to 5 h, before being reduced by requiring less precision while still getting no false alarm.

# The abstract interpretor





### **Abstractions and Abstract Domains**

• What ASTRÉE computes:

Invariant  $I \in D^{\sharp}$ : approximation for the set of traces  $\llbracket P \rrbracket$ 

- Structure of the abstraction  $I \in D^{\sharp}$ :
  - $\blacklozenge$  For each control point l
  - For each execution context  $\kappa$  (e.g. calling stack)
  - $\Rightarrow$  an approximation  $I(l,\kappa) \in D^{\sharp}_{\mathtt{M}}$  for a set of memory states
- Layout of  $D_{\mathtt{M}}^{\sharp}$ :
  - Reduced product of a collection of abstract domains
  - Each domain:
    - Expresses a (generally infinite) family of predicates
    - ◊ Transfer functions: assign, guard, ... operators
    - $_{\diamond}$  Approximations for  $\cup$ :  $\sqcup$  and  $\nabla$  (convergence acceleration)

### **The Abstract Interpreter**

Principle: play all executions in a single, abstract computation

- Analysis of a basic statement x = e:
  - Transfer function  $\operatorname{assign}(x=e): D^{\sharp}_{\mathtt{M}} \to D^{\sharp}_{\mathtt{M}}$
  - $D_{\mathrm{M}}^{\sharp}$ : accounts for the new/removed constraints
- Analyzing compound programs, e.g. loops:



# **Abstract Domains**

## **Choice of the Abstract Domains**

#### **Abstract Domain:**

- Computer representation of a class of program properties;
- Transformers for propagation through expressions and commands;
- Primitives for convergence acceleration:  $\nabla$ ,  $\Delta$ .

#### **Composition of Abstract Domains:**

Essentially approximate reduced product (conjunction with simplification).

#### **Design of Abstract Domains:**

- Know-how;
- Experimentation.

#### **General-Purpose Abstract Domains: Intervals and Octagons**



Difficulties: many global variables, arrays (smashed or not), IEEE 754 floating-point arithmetic (in program <u>and</u> analyzer) [POPL '77, 10, 11]

- Classical domain [Cousot Cousot 76];
- Minimum information needed to check the correctness conditions;
- Not precise enough to express a useful inductive invariant (thousands of false alarms);
- $\blacklozenge \implies$  must be refined by:
  - combining with existing domains through reduced product,
  - designing **new domains**, until all false alarms are eliminated.

# **Clock Abstract Domain**

#### Code Sample:

```
R = 0;
while (1) {
    if (I)
        { R = R+1; }
    else
        { R = 0; }
    T = (R>=n);
    wait_for_clock ();
}
```

This abstract domain need no longer to be used since it is subsumed by the arihmetric-geometric abstract domain (introduced to cope with cumulation of rounding errors)

- Output T is true iff the volatile input I has been true for the last n clock ticks.
- The clock ticks every s seconds for at most h hours, thus R is bounded.
- To prove that R cannot overflow, we must prove that R cannot exceed the elapsed clock ticks (impossible using only intervals).

#### Solution:

- We add a phantom variable clock in the concrete user semantics to track elapsed clock ticks.
- ◆ For each variable X, we abstract three intervals: X, X+clock, and X-clock.
- If X+clock or X-clock is bounded, so is X.

# Octagons

```
assume(x \in [-10, 10])
if(x < 0) \{y = -x; \}
else\{y = x; \}
1)if(y \le 5)
\{@assert(-5 \le x \le 5); \}
```

- Interval analysis:
  - At 1,  $x \in [-10, 10]; y \in [0, 10]$
  - At 2,  $x \in [-10, 10]; y \in [0, 5]$
  - Alarm (assert not proved)
- A relation between x and y is required:
  - $\Rightarrow$  We need a relational abstraction
- Octagons:
  - Express constraints of the form  $\pm x \pm y \leq c$ . Above example:
    - At ①, 0 ≤ y − x ≤ 20; 0 ≤ y + x ≤ 20
    - ◊ At ②,  $y \in [0, 5]; 0 \le y x \le 20; 0 \le y + x \le 20,$ so we derive  $x \in [-5, 5]$
  - ♦ Reasonable cost: O(n<sup>2</sup>) memory and O(n<sup>3</sup>) time complexity
### **Using Octagons**

Several issues should be addressed:

- Scalability:  $\mathcal{O}(n^3)$  time,  $n \equiv 10\,000$  will not scale:
  - $\Rightarrow$  Use many small octagons instead of a big one
  - Packs: small group of variables relations are required for
  - Strategy: determines packs, required relations represented
  - Complexity: linear in the number of packs Size of packs: bounded by a constant Number of packs: linear in the size of the code ⇒ Linear complexity
- Floating point rounding errors in the concrete computations solved by linearization of expressions:
  - Expressions approximated with real interval linear forms
  - Relational domain: semantics in terms of real numbers
  - Rounding errors in concrete computations accounted for at linearization time

# Application-specific abstract domains (for control-command)

1 1

2<sup>d</sup> Order Digital Filter:



#### **Ellipsoid Abstract Domain for Filters**

- Computes 
$$X_n = \left\{ egin{array}{c} lpha X_{n-1} + eta X_{n-2} + Y_n \ I_n \end{array} 
ight.$$

- The concrete computation is bounded, which must be proved in the abstract.
- There is no stable interval or octagon.
- The simplest stable surface is an ellipsoid.



execution trace





```
Filter Example [7]
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
BOOLEAN INIT; float P, X;
void filter () {
  static float E[2], S[2];
  if (INIT) { S[0] = X; P = X; E[0] = X; }
  else { P = (((((0.5 * X) - (E[0] * 0.7)) + (E[1] * 0.4))
             + (S[0] * 1.5)) - (S[1] * 0.7)); \}
 E[1] = E[0]; E[0] = X; S[1] = S[0]; S[0] = P;
  /* S[0], S[1] in [-1327.02698354, 1327.02698354] */
}
void main () { X = 0.2 * X + 5; INIT = TRUE;
  while (1) {
    X = 0.9 * X + 35; /* simulated filter input */
    filter (); INIT = FALSE; }
}
```

#### Arithmetic-geometric progressions 7 [8]

- -Abstract domain:  $(\mathbb{R}^+)^5$
- -Concretization:

$$\gamma \in (\mathbb{R}^+)^5 \longmapsto \wp(\mathbb{N} \mapsto \mathbb{R})$$

$$egin{aligned} &\gamma(M,a,b,a',b') = \ &\left\{f \mid orall k \in \mathbb{N}: |f(k)| \leq \left(\lambda x \cdot ax + b \circ (\lambda x \cdot a'x + b')^k
ight)(M)
ight\} \end{aligned}$$

i.e. any function bounded by the arithmetic-geometric progression.

<sup>7</sup> here in  $\mathbb{R}$ 

# **Applications**

Arithmetic-geometric progressions provide bounds for :

1. division by  $\alpha$  followed by a multiplication by  $\alpha$ :

 $\implies$  our running example;

2. barycentric means:

 $\implies$  at each loop iteration, the value of a variable X is computed as a barycentric mean of some previous values of X (not necessarily the last values);

3. bounded incremented variables:

 $\implies$  it replaces the former domain that bounds the difference and the sum between each variable and the loop counter.

#### Arithmetic-geometric progressions (Example 1)

# Running example (in $\mathbb{R}$ )

- 1: X := 0; k := 0;
- 2: while (k < 1000) {
- $3: \quad \text{ if } (?) \ \{ X \in [-10; 10] \}; \\$
- 4: X := X/3;
- 5:  $X := 3 \times X;$
- 6: k := k + 1;
- 7: }

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#### Interval analysis: first loop iteration

1:	X := 0; k := 0;	
2 :	while $(k < 1000)$ {	X = 0
3 :	if (?) $\{X \in [-10; 10]\};$	X = 0 $ X  < 10$
4:	X := X/3;	$ \mathbf{X}  \le 10$ $ \mathbf{X}  < \frac{10}{2}$
5 :	$X := 3 \times X;$	$ X  \le 10$
6:	k := k + 1;	
/:	}	

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### **Interval analysis: Invariant**

1: >	K := 0; k := 0;	
2: \	while $(k < 1000)$ {	X = 0
3:	if (?) $\{X \in [-10; 10]\};$	$ X  \leq 10$
4:	X := X/3;	$ \mathbf{X}  \leq 10$
5 :	$X := 3 \times X;$	$ X  \leq \frac{10}{3}$
6:	k := k + 1;	$ \mathbf{X}  \leq 10$
7:	}	

#### $|X| \le 10$

### Including rounding errors [Miné-ESOP'04]

- 1: X := 0; k := 0;
- 2: while (k < 1000) {
- $3: \quad \ \ \text{if} \ (?) \ \{X \in [-10;10]\}; \\$
- 4:  $X := X/3 + [-\varepsilon_1; \varepsilon_1] \cdot X + [-\varepsilon_2; \varepsilon_2];$
- 5:  $X := 3 \times X + [-\varepsilon_3; \varepsilon_3] \cdot X + [-\varepsilon_4; \varepsilon_4];$
- 6: k := k + 1;

7: }

The constants  $\varepsilon_1$ ,  $\varepsilon_2$ ,  $\varepsilon_3$ , and  $\varepsilon_4$  ( $\geq 0$ ) are computed by other domains.

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### **Interval analysis**

Let  $M \ge 0$  be a bound:

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with

### Ari.-geo. analysis: first iteration

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### Ari.-geo. analysis: Invariant

$$\begin{split} 1: \ X &:= 0; k := 0; \\ 2: \ \text{while} \ (k < 1000) \left\{ & |X| \leq f^{(k)}(10) \\ 3: \quad \text{if} \ (?) \ \{X \in [-10; 10]\}; & |X| \leq f^{(k)}(10) \\ 4: \quad X &:= X/3 + [-\epsilon_1; \epsilon_1].X + [-\epsilon_2; \epsilon_2]; \\ 5: \quad X &:= 3 \times X + [-\epsilon_3; \epsilon_3].X + [-\epsilon_4; \epsilon_4]; \\ 5: \quad X &:= k + 1; & |X| \leq (\frac{1}{3} + \epsilon_1) \times \left(f^{(k)}(10)\right) + \epsilon_2 \\ 6: \quad k &:= k + 1; & |X| \leq f\left(f^{(k)}(10)\right) \\ 6: \quad k &:= k + 1; & |X| \leq f\left(f^{(k)}(10)\right) \\ 7: \quad \} & |X| \leq f^{(k)}(10) \\ \text{with} \ f &= \left[\nu \mapsto \left(1 + 3 \times \epsilon_1 + \frac{\epsilon_3}{3} + \epsilon_1 \times \epsilon_3\right) \times \nu + \epsilon_2 \times (3 + \epsilon_3) + \epsilon_4\right]. \end{split}$$

## **Analysis session**

000						X Visu	alizator					
Quit	H Intervals	Clocks	Trees	Octagons	<b>O</b> Filters	Geom. de v.	Symbolics	<b>?</b> Help				
Search string:				-	Next P	revious Firs	t Last	Goto line:		-		
Program points:	Current	Next	Prev	Step	Backstep	Variables:	All Cho	ose				
example2.c												
{●a = -1	n() ); ●b =	10; <b>●</b> al	pha = 3;									
•while { •if (E	(1 == 1)) 31) <b>(●</b> X: X/alph:	)) =NUM_i a	nput;●};									
•X =	X*alph:	a; _wait_fo	or_clock (	(())•;								
}}												_
location: e variables: invariant: IX I <= (10 <= 23.591	xample X (10) + 2.350 6342108	2.c:14:3 0988911:	3:[call#m 84e-38/(1	ain@8:10 1.0000002	op@10 3842-1	))*(1.0000	 )0023842)/	clock - 2.3	509889	1184e-38/	(1.000000	)23842-1)
example2.c-1	ine 14 - colu	nn 33 — chara	cter 316			***						

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#### Arithmetic-Geometric Progressions (Example 2)

```
% cat count.c
typedef enum {FALSE = 0, TRUE = 1} BOOLEAN;
volatile BOOLEAN I; int R; BOOLEAN T;
void main() {
 R = 0;
  while (TRUE) {
    __ASTREE_log_vars((R));
    if (I) { R = R + 1; } \leftarrow potential overflow!
    else { R = 0; }
    T = (R \ge 100);
    __ASTREE_wait_for_clock(());
  }}
% cat count.config
__ASTREE_volatile_input((I [0,1]));
__ASTREE_max_clock((360000));
% astree -exec-fn main -config-sem count.config count.c|grep '|R|'
|R| <= 0. + clock *1. <= 3600001.
```

#### Arithmetic-geometric progressions (Example 3)

```
% cat retro.c
typedef enum {FALSE=0, TRUE=1} BOOL;
BOOL FIRST;
volatile BOOL SWITCH:
volatile float E;
float P, X, A, B;
void dev( )
\{ X=E;
  if (FIRST) { P = X; }
  else
    \{ P = (P - ((((2.0 * P) - A) - B)) \}
            * 4.491048e-03)); };
  B = A;
  if (SWITCH) \{A = P;\}
  else {A = X;}
}
```

```
void main()
{ FIRST = TRUE;
  while (TRUE) {
    dev();
    FIRST = FALSE;
    __ASTREE_wait_for_clock(());
  }}
% cat retro.config
__ASTREE_volatile_input((E [-15.0, 15.0]));
__ASTREE_volatile_input((SWITCH [0,1]));
__ASTREE_max_clock((3600000));
|P| <= (15. + 5.87747175411e-39
/ 1.19209290217e-07) * (1
+ 1.19209290217e-07)^clock
- 5.87747175411e-39 /
1.19209290217e-07 \leq 
23.0393526881
```

## **Benchmarks**

We analyze three programs in the same family on a AMD Opteron 248, 8 Gb of RAM (analyses use only 2 Gb of RAM).

lines of C		70,000			216,000		379,000		
global variables		13,400			7,500		9,000		
iterations	80	63	37	229	223	53	253	286	74
time/iteration	1mn14s	1mn21s	1mn16s	4mn04s	5mn13s	4mn40s	7mn33s	9mn42s	8mn17
analysis time	2h18mn	2h05mn	47mn	15h34mn	19h24mn	4h08mn	31h53mn	43h51mn	10h14n
false alarms	625	24	0	769	64	0	1482	188	0

- 1. without using computation time; [no wait\_for\_clock(())]
- 2. with the former loop counter domain, (without the arithmetic-geometric domain);
- 3. with the arithmetic-geometric domain,(without the former loop counter domain).

# Arithmetic-geometric progressions (in $\mathbb{R}$ )

An arithmetic-geometric progression is a 5-tuple in  $(\mathbb{R}^+)^5$ . An arithmetic-geometric progression denotes a function in  $\mathbb{N} \to \mathbb{R}^+$ :

$$\beta_{\mathbb{R}}(\mathcal{M}, \mathfrak{a}, \mathfrak{b}, \mathfrak{a}', \mathfrak{b}')(k) \stackrel{\Delta}{=} \left[ \nu \mapsto \mathfrak{a} \times \nu + \mathfrak{b} \right] \left( \left[ \nu \mapsto \mathfrak{a}' \times \nu + \mathfrak{b}' \right]^{(k)}(\mathcal{M}) \right)$$

Thus,

- k is the loop counter;
- M is an initial value;

Car.

- $[\nu \mapsto a \times v + b]$  describes the current iteration;
- $\left[\nu \mapsto a' \times v + b'\right]^{(k)}$  describes the first k iterations.

A concretization  $\gamma_{\mathbb{R}}$  maps each element  $d \in (\mathbb{R}^+)^5$  to a set  $\gamma_{\mathbb{R}}(d) \subseteq (\mathbb{N} \to \mathbb{R}^+)$  defined as:

 $\{f \mid \forall k \in \mathbb{N}, \ |f(k)| \leq \beta_{\mathbb{R}}(d)(k)\}$ 

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# Monotonicity

Let d = (M, a, b, a', b') and d = (M, a, b, a', b') be two arithmetic-geometric progressions.



# **Disjunction**

Let d = (M, a, b, a', b') and d = (M, a, b, a', b') be two arithmetic-geometric progressions.



For any  $k \in \mathbb{N}$ ,  $\beta_{\mathbb{R}}(d \sqcup_{\mathbb{R}} d)(k) \ge max(\beta_{\mathbb{R}}(d)(k), \beta_{\mathbb{R}}(d)(k))$ .

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# Conjunction

Let d and d be two arithmetic-geometric progressions.

1. If d and d are comparable (component-wise), we take the smaller one:

$$\mathbf{d} \sqcap_{\mathbb{R}} \mathbf{d} \stackrel{\Delta}{=} \mathit{Inf}_{\leq} \{\mathbf{d}; \mathbf{d}\}.$$

2. Otherwise, we use a parametric strategy:

 $\mathbf{d} \sqcap_{\mathbb{R}} \mathbf{d} \in \{\mathbf{d}; \mathbf{d}\}.$ 

For any  $k \in \mathbb{N}$ ,  $\beta_{\mathbb{R}}(d \sqcap_{\mathbb{R}} d)(k) \ge \min(\beta_{\mathbb{R}}(d)(k), \beta_{\mathbb{R}}(d)(k))$ .

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## Assignment

We have:

$$\begin{split} \beta_{\mathbb{R}}(M,a,b,a',b')(k) &= a \times (M+b' \times k) + b & \text{when } a' = 1 \\ \beta_{\mathbb{R}}(M,a,b,a',b')(k) &= a \times \left( (a')^k \times \left( M - \frac{b'}{1-a'} \right) + \frac{b'}{1-a'} \right) + b & \text{when } a' \neq 1. \end{split}$$

Thus:

1. for any  $a, a', M, b, b', \lambda \in \mathbb{R}^+$ ,

 $\lambda \times \left(\beta_{\mathbb{R}}(\mathsf{M}, \mathfrak{a}, \mathfrak{b}, \mathfrak{a}', \mathfrak{b}')(\mathfrak{k})\right) = \beta_{\mathbb{R}}(\lambda \times \mathsf{M}, \mathfrak{a}, \lambda \times \mathfrak{b}, \mathfrak{a}', \lambda \times \mathfrak{b}')(\mathfrak{k});$ 

2. for any  $a, a', M, b, b', M, b, b' \in \mathbb{R}^+$ , for any  $k \in \mathbb{N}$ ,

 $\beta_{\mathbb{R}}(\mathcal{M}, a, b, a', b')(k) + \beta_{\mathbb{R}}(\mathcal{M}, a, b, a', b)(k) = \beta_{\mathbb{R}}(\mathcal{M} + \mathcal{M}, a, b + b, a', b' + b')$ 

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### **Projection I**

$$\begin{split} \beta_{\mathbb{R}}(M,a,b,a',b')(k) &= a \times (M+b' \times k) + b & \text{when } a' = 1 \\ \beta_{\mathbb{R}}(M,a,b,a',b')(k) &= a \times \left( (a')^k \times \left( M - \frac{b'}{1-a'} \right) + \frac{b'}{1-a'} \right) + b & \text{when } a' \neq 1. \end{split}$$

Thus, for any  $d \in (\mathbb{R}^+)^5$ , the function  $\left[k \mapsto \beta_{\mathbb{R}}(d)(k)\right]$  is:

- either monotonic,
- or anti-monotonic.



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### **Projection II**

Let  $d \in (\mathbb{R}^+)^5$  and  $k_{max} \in \mathbb{N}$ . *bound*( $d, k_{max}$ )  $\stackrel{\Delta}{=} max(\beta_{\mathbb{R}}(d)(0), \beta_{\mathbb{R}}(d)(k_{max}))$ 

For any  $k \in \mathbb{N}$  such that  $0 \le k \le k_{max}$ :  $\beta(d)(k) \le \textit{bound}(d, k_{max}).$ 



### **Incrementing the loop counter**

We integrate the current iteration into the first k iterations:

- the first k + 1 iterations are chosen as the worst case among the first k iterations and the current iteration;
- the current iteration is reset.

Thus:

$$\textit{next}_{\mathbb{R}}(M, a, b, a', b') \stackrel{\Delta}{=} (M, 1, 0, \textit{max}(a, a'), \textit{max}(b, b')).$$

For any 
$$k \in \mathbb{N}, d \in \left(\mathbb{R}^+\right)^5$$
,  $\beta_{\mathbb{R}}(d)(k) \leq \beta_{\mathbb{R}}(\mathit{next}_{\mathbb{R}}(d))(k+1)$ .

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### **About floating point numbers**

Floating point numbers occur:

1. in the concrete semantics:

Floating point expressions are translated into real expressions with interval coefficients [Miné—ESOP'04].

In other abstract domains, we handle real numbers.

2. in the abstract domain implementation:

For efficiency purpose, we implement each primitive in floating point arithmetics: each real is safely approximated by an interval with floating point number bounds. Handling floating point computations (in the program semantics and in the analyzer)

#### **Floating-Point Computations**

```
/* float-error.c */
int main () {
  float x, y, z, r;
  x = 1.00000019e+38;
  y = x + 1.0e21;
  z = x - 1.0e21;
 r = y - z;
 printf("%fn", r);
}
% gcc float-error.c
% ./a.out
0.00000
```

```
/* double-error.c */
int main () {
double x; float y, z, r;
/* x = ldexp(1.,50)+ldexp(1.,26); */
x = 1125899973951488.0;
y = x + 1;
z = x - 1;
r = y - z;
printf("%f\n", r);
% gcc double-error.c
% ./a.out
134217728.000000
```

```
(\mathbf{x} + \mathbf{a}) - (\mathbf{x} - \mathbf{a}) \neq 2\mathbf{a}
```



#### **Floating-Point Computations**

```
/* float-error.c */
int main () {
  float x, y, z, r;
  x = 1.00000019e+38;
  y = x + 1.0e21;
  z = x - 1.0e21;
 r = y - z;
 printf("%f\n", r);
}
% gcc float-error.c
% ./a.out
0.00000
```

```
/* double-error.c */
int main () {
double x; float y, z, r;
/* x = ldexp(1.,50)+ldexp(1.,26); */
x = 1125899973951487.0;
y = x + 1;
z = x - 1;
r = y - z;
printf("%f\n", r);
% gcc double-error.c
% ./a.out
0.00000
```

 $(\mathbf{x} + \mathbf{a}) - (\mathbf{x} - \mathbf{a}) \neq 2\mathbf{a}$ 

#### Explanation of the huge rounding error



## **Relational Domains on Floating-Point**

#### **Problems:**

- Relational numerical abstract domains rely on a perfect mathematical concrete semantics (in R or Q).
- Perfect arithmetics in  $\mathbb{R}$  or  $\mathbb{Q}$  is costly.
- ♦ IEEE 754-1985 floating-point concrete semantics incurs rounding.

#### **Solution:**

- Build an abstract mathematical semantics in R that over-approximates the concrete floating-point semantics, including rounding.
- Implement the abstract domains on R using floating-point numbers rounded in a sound way.

#### Floating-point linearization [11, 12]

- -Approximate arbitrary expressions in the form  $[a_0, b_0] + \sum_k ([a_k, b_k] \times V_k)$
- Example:

Z = X - (0.25 \* X) is linearized as

 $z = ([0.749\cdots, 0.750\cdots] \times x) + (2.35\cdots 10^{-38} \times [-1, 1])$ 

–Allows simplification even in the interval domain

if  $X \in [-1,1]$ , we get  $|Z| \le 0.750 \cdots$  instead of  $|Z| \le 1.25 \cdots$ 

- -Allows using a relational abstract domain (octagons)
- -Example of good compromize between cost and precision

#### Symbolic abstract domain [11, 12]

- -Interval analysis: if  $x \in [a, b]$  and  $y \in [c, d]$  then  $x y \in [a d, b c]$  so if  $x \in [0, 100]$  then  $x x \in [-100, 100]!!!$
- -The symbolic abstract domain propagates the symbolic values of variables and performs simplifications;
- -Must maintain the maximal possible rounding error for float computations (overestimated with intervals);

## Constructors of Abstract Domains

#### Abstract domain constructors

- Non-relational lifting: non-relational abstract domain D on values  $\mapsto$  abstract domain  $\prod_{X \in Var} D$  on all variables (using balanced binary trees)
- Relational lifting: relational abstract domain D(P) on packs  $P \subseteq Var$  of variables  $\mapsto$  abstract domain D(Var) on all variables
- Trace partitionning: past history abstraction domain <sup>9</sup> × current memory state abstraction domain → prefix traces abstraction domain (using maps implemented as trees) [11]
- Boolean partitionning: prefix traces abstraction domain  $\times$  boolean variables  $\mapsto$  prefix traces abstraction domain (using decision trees)

<sup>&</sup>lt;sup>9</sup> (e.g. a sub-sequence of branches taken

## **Decision Tree Abstract Domain**

Synchronous reactive programs encode control flow in boolean variables.

#### **Code Sample:**

#### **Decision Tree:**





There are too many booleans  $(4 \ 000)$  to build one big tree so we:

- Iimit the BDD height to 3 (analysis parameter);
- use a syntactic criterion to select variables in the BDD and the numerical parts.
## **Boolean Relations for Boolean Control**

- Code Sample:

```
/* boolean.c */
typedef enum {F=0,T=1} BOOL;
BOOL B;
void main () {
  unsigned int X, Y;
  while (1) {
    . . .
    B = (X == 0);
    . . .
    if (!B) {
      Y = 1 / X;
    }
    . . .
```



The boolean relation abstract domain is parameterized by the height of the decision tree (an analyzer option) and the abstract domain at the leafs

# Iteration Strategies for Fixpoint Approximation

## **Iteration Refinement: Loop Unrolling**

### **Principle:**

- Semantically equivalent to:
  while (B) { C } => if (B) { C }; while (B) { C }
- More precise in the abstract:
  - less concrete execution paths are merged in the abstract.

### **Application:**

Isolate the initialization phase in a loop (e.g. first iteration).

## **Iteration Refinement: Trace Partitioning**

### **Principle:**

Semantically equivalent to:

- More precise in the abstract:
  - concrete execution paths are merged later.

### **Application:**

/ cannot result in a division by zero

## **Control Partitionning for Case Analysis**

-Code Sample:

```
/* trace_partitionning.c */
void main() {
  float t[5] = {-10.0, -10.0, 0.0, 10.0, 10.0};
  float c[4] = {0.0, 2.0, 2.0, 0.0};
  float d[4] = {-20.0, -20.0, 0.0, 20.0};
  float x, r;
  int i = 0;
    ... found invariant -100 ≤ x ≤ 100 ...
  while ((i < 3) && (x >= t[i+1])) {
    i = i + 1;
  }
  r = (x - t[i]) * c[i] + d[i];
}
```

Control point partitionning:

Fork

Delaying abstract unions in tests and loops is more precise for non-distributive abstract domains (and much less expensive than disjunctive completion).

Join

# **Convergence Accelerator: Widening**

### **Principle:**



#### **Examples:**

- 1., 10., 100., 1000., etc. for floating-point variables;
- maximal values of data types;
- syntactic program constants, etc.

# **Fixpoint Stabilization for Floating-point**

### **Problem:**

- Mathematically, we look for an abstract invariant inv such that  $F(inv) \subseteq inv$ .
- Unfortunately, abstract computation uses floating-point and incurs rounding: maybe F<sub>ε</sub>(inv) ⊈ inv!

### Solution:



- Widen **inv** to **inv** $_{\varepsilon'}$  with the hope to jump into a stable zone of  $F_{\varepsilon}$ .
- Works if F has some **attractiveness** property that fights against rounding errors (otherwise iteration goes on).
- $\varepsilon'$  is an analysis parameter.

# Experience with the use of ASTRÉE

## Use of ASTRÉE

#### • The analyzer:

♦ Full automatic mode:

Should do well for the families of programs ASTRÉE is designed for

- $\bullet \simeq 150$  options, so as to set
  - ◊ The input (one or many files...)
  - $_{\Diamond}$  The iteration strategy, the packing strategy
  - The domains to enable or disable, domain parameters
  - The export of invariants to disk
- ♦ Standard output: alarms, invariants
- ♦ Can be run in parallel mode
- A graphical interface:

Navigation through invariants (saved invariants)

## **Main Practical Results**

- Used on 2 families of synchronous embedded programs
- Results: 3 development versions in the second family
- 2.2 GHz bi-opteron, 1 processor used, 64-bit architecture

Nb of lines	70 000	226 000	400 000
Number of iterations	32	51	88
Memory (Gb)	0.6	1.3	2.2
Time	46mn	3h57mn	11h48mn
False alarms	0	0	0

# Conclusion

## Conclusion

- Most applications of abstract interpretation tolerate a small rate (typically 5 to 15%) of false alarms:
  - Program transformation  $\rightarrow$  do not optimize,
  - Typing  $\rightarrow$  reject some correct programs, etc,
  - WCET analysis  $\rightarrow$  overestimate;
- Some applications require no false alarm at all:
  - Program verification.

- Theoretically possible [SARA '00], practically feasible [PLDI '03]

- [SARA '00] P. Cousot. Partial Completeness of Abstract Fixpoint Checking, invited paper. In 4<sup>th</sup> Int. Symp. SARA '2000, LNAI 1864, Springer, pp. 1–25, 2000.
- [PLDI'03] B. Blanchet, P. Cousot, R. Cousot, J. Feret, L. Mauborgne, A. Miné, D. Monniaux, and X. Rival. A static analyzer for large safety-critical software. PLDI'03, San Diego, June 7–14, ACM Press, 2003.

### **Recent progress**

-More gereral memory model (union, pointer arithmetics) [LETCS '03]

<u>Reference</u>

[LETCS '03] A. Miné. Field-sensitive value analysis of embedded C programs with union types and pointer arithmetics. ACM SIGPLAN/SIGBED Conf. on LCTES, Ottawa, Ontario, Canada, June 14-16, 2006. ACM Press, pp. 54-63.

## Conclusion

### • Success story:

 we succeed where a commercial abstract interpretation-based static analysis tool failed

(because of prohibitive time and memory consumption and very large number of false alarms);

- **Usable** in practice for verification:
  - directly applicable to other similar programs by changing some analyzer parameters,
  - approach generalizable to other program families
     by including new abstract domains and specializing the iteration strategy.

e.g.: power-on self-test for a family of embedded systems.)

## **Main Project Results**

- The proof of strong safety properties is amenable to static analysis methods:
  - Very few or no false alarms
  - Reasonable resource usage
  - Thanks to a specialized abstract interpreter
- Many practical and theoretical advances:
  - Relational numerical domains and floating point
  - Packing, linearization and relational domains
  - Development of new, specialized domains
  - Implementation of symbolic domains, e.g. partitioning, symbolic...

Crystal ball

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### **Future & Grand Challenges**

- Future (2/5 years):
- -Asynchronous concurrency (for less critical software)
- -Functional properties (reactivity)
- -Industrialization
- Grand challenge:
- -Verification from specifications to machine code (verifying compiler)
- -Verification of systems (quasi-synchrony, distribution)

## Moving to product-based q.a.

Currently: DO178B is all about process

Static analysis is not about process but about the product (program).

Process does not prove anything on the result, sound static analysis does.

Increased use of sound static analysis for safety-critical systems.

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## **Outside critical systems**

Sound static analysis tools have limitations

- false alarms
- cost of analysis (CPU, memory, time)
- limitations on accepted programs (ugly pointer arithmetics etc.)

Unsound bug finders may be more suitable for now for less critical applications.

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## Integrating verification into the process

Our experience: hard to verify = complex invariants = design not understood too well

If analysis hard, maybe fix the design / program and not the analyzer.

Needs analysis to be early in the process not as last-step q.a.

Need for feedback to designers to make analysis easier.

Designers should avoid unsafe or complicated constructs

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# Future integrated verification

Verification useful if integrated in development cycle.

To begin at Simulink / Scade / other high-level spec (work in progress)

Not as a final step (too late to fix "bizarre" things, often no "smoking gun" justifying redesign)

Needs efficient verification (run during the night)

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## Perspectives

- Allow for the parallelization of the analysis: It works, allows cutting down the analysis time
- Extension of the memory model (work in progress): Unions, pointer arithmetic
- Analyze asynchronous programs
- Certify the assembly code Validation of the translation (successful prototype)
- Prove formally some ASTRÉE components
- Tracking semi-automatically the source of alarms:
  - Either prove an alarm false
  - Or restrict the alarm context

(help to find a scenario or the imprecision)

Encouraging early results: alarms successfully diagnosed

# THE END, THANK YOU

More references at URL www.di.ens.fr/~cousot www.astree.ens.fr.

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