

A casual introduction to Abstract Interpretation

NYU, 29–30 March 2012

Patrick Cousot

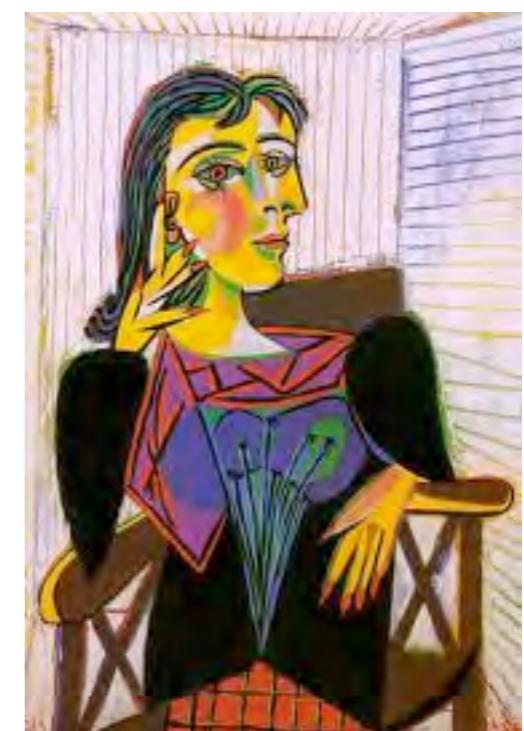
cs.nyu.edu/~pcousot

di.ens.fr/~cousot

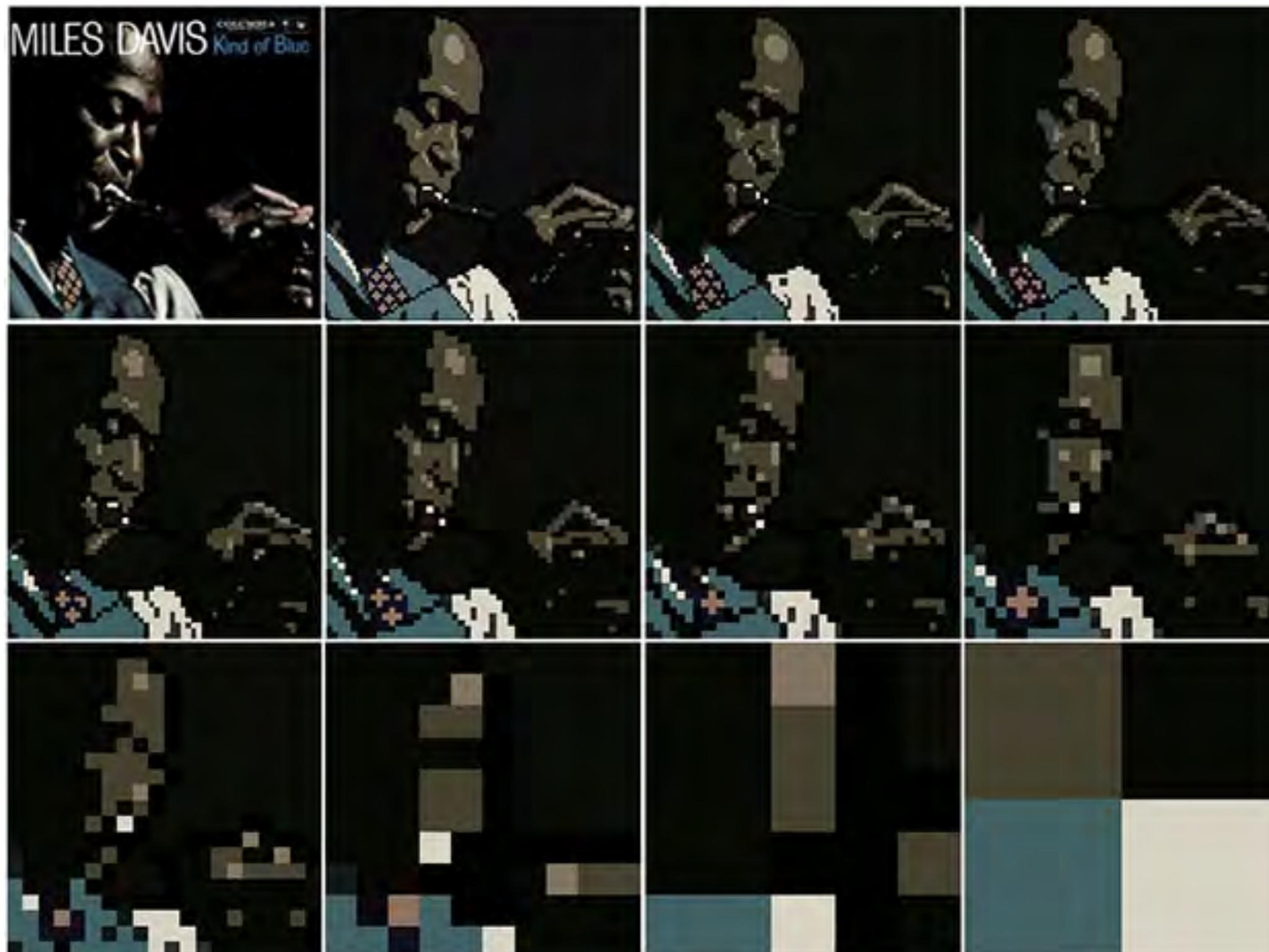
Examples of Abstractions

P. Cousot & R. Cousot. A gentle introduction to formal verification of computer systems by abstract interpretation. In *Logics and Languages for Reliability and Security*, J. Esparza, O. Grumberg, & M. Broy (Eds), NATO Science Series III: Computer and Systems Sciences, © IOS Press, 2010, Pages 1–29.

Abstractions of Dora Maar by Picasso



Pixelation of a photo by Jay Maisel

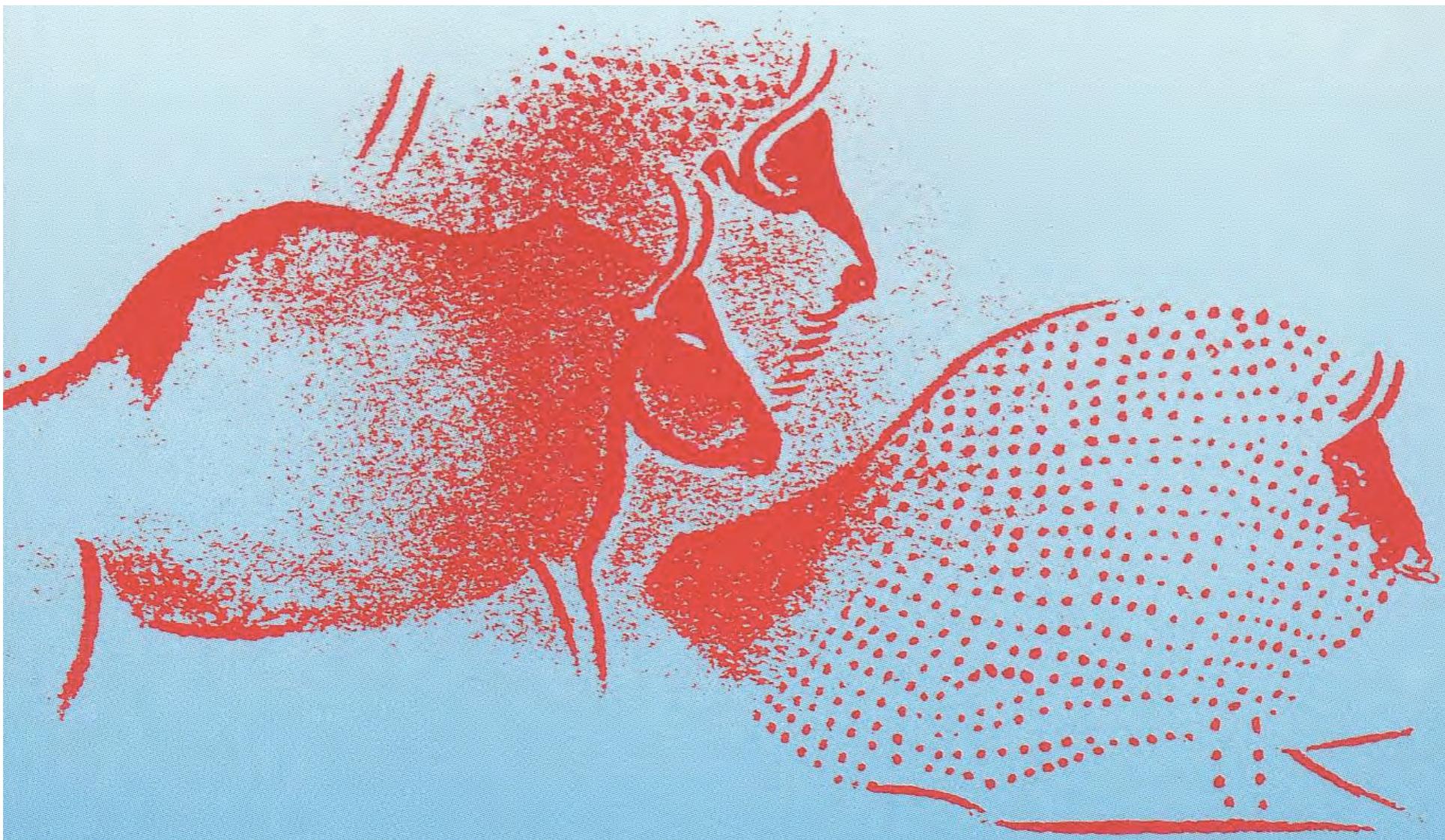


www.petapixel.com/2011/06/23/how-much-pixelation-is-needed-before-a-photo-becomes-transformed/

Image credit: Photograph by Jay Maisel

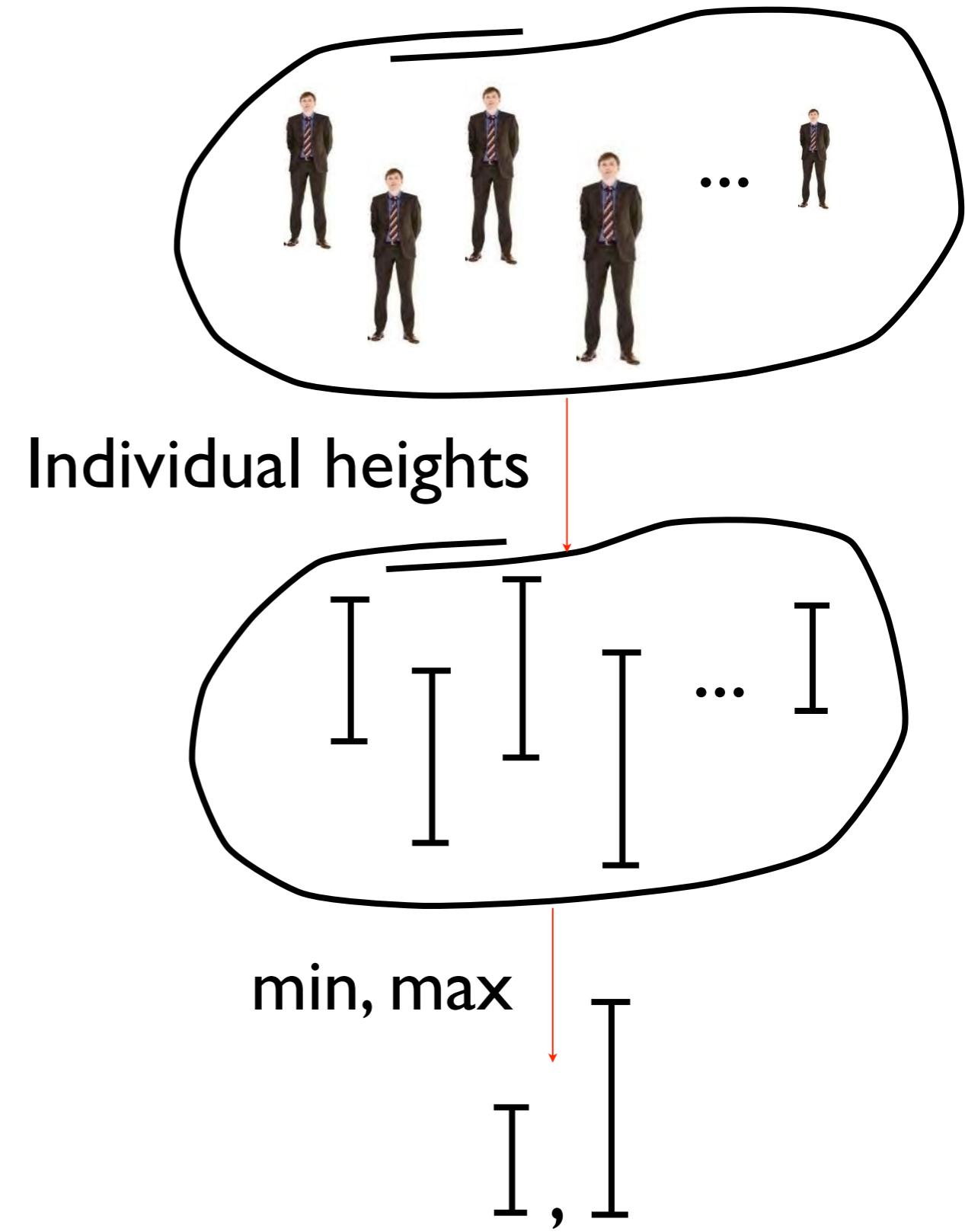
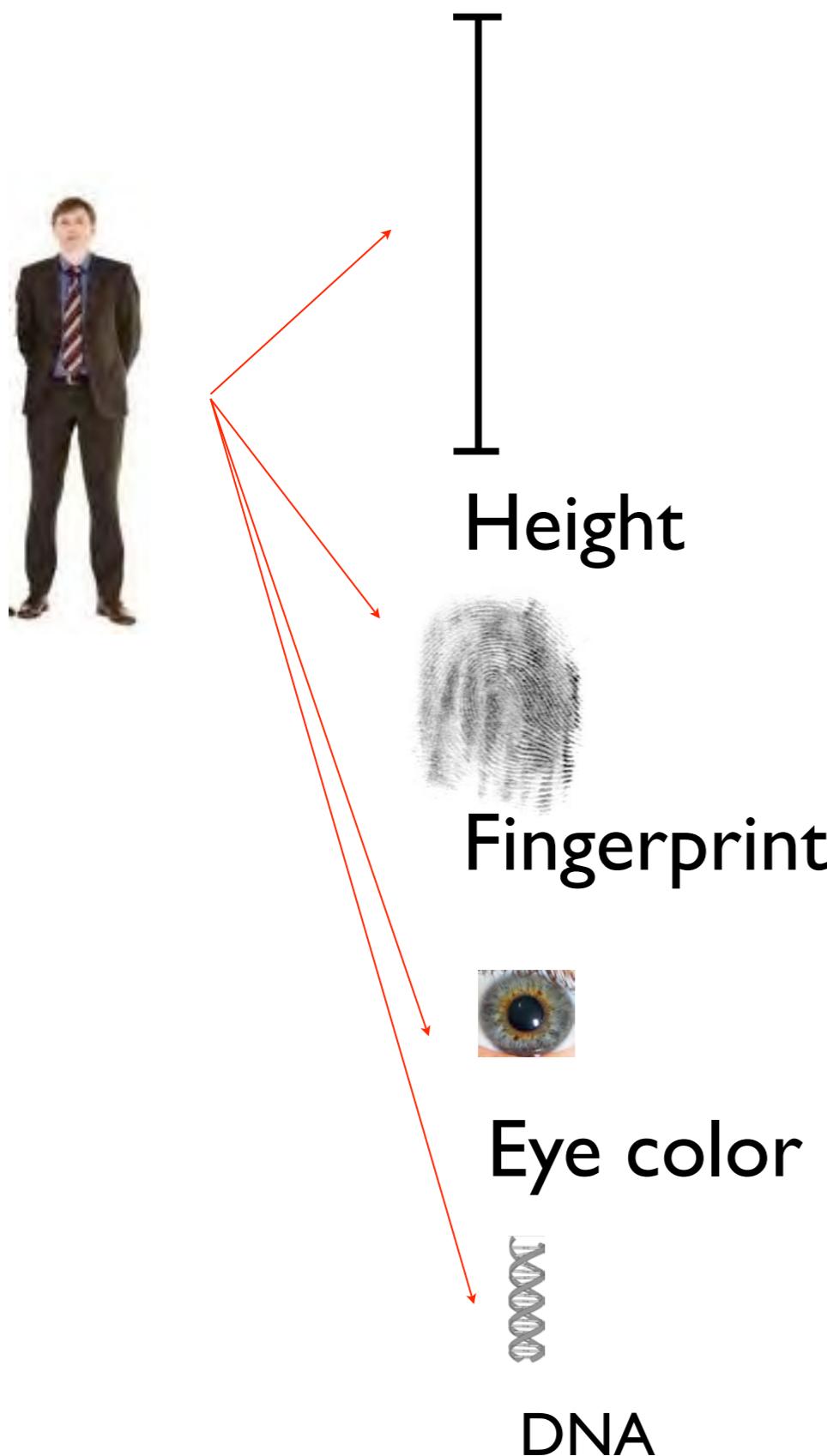
An old idea...

20 000 years old picture in a spanish cave:

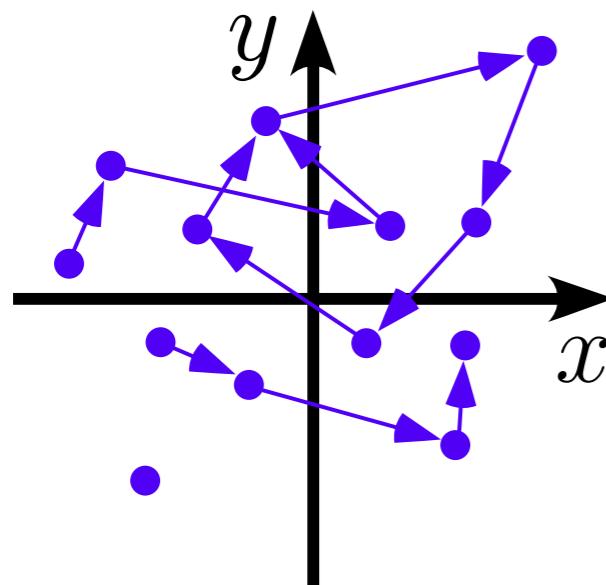


The concrete is not always well-known!

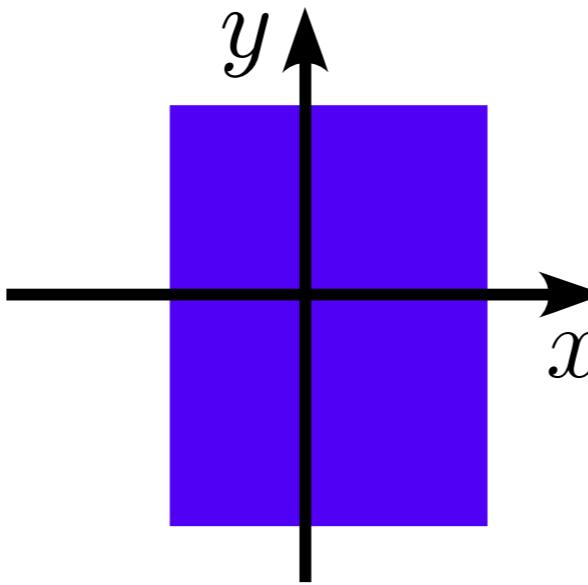
Abstractions of a man / crowd



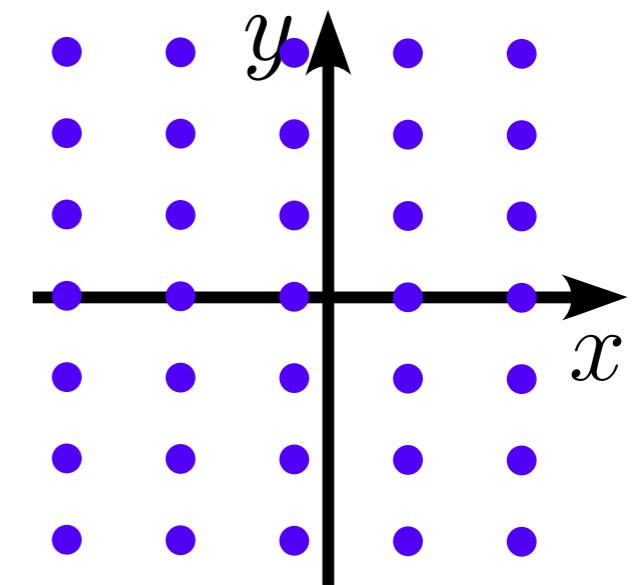
Numerical abstractions in Astrée



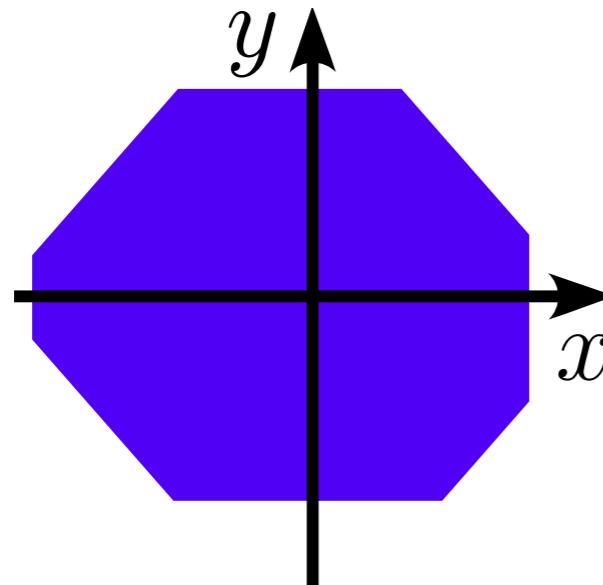
Collecting semantics:
partial traces



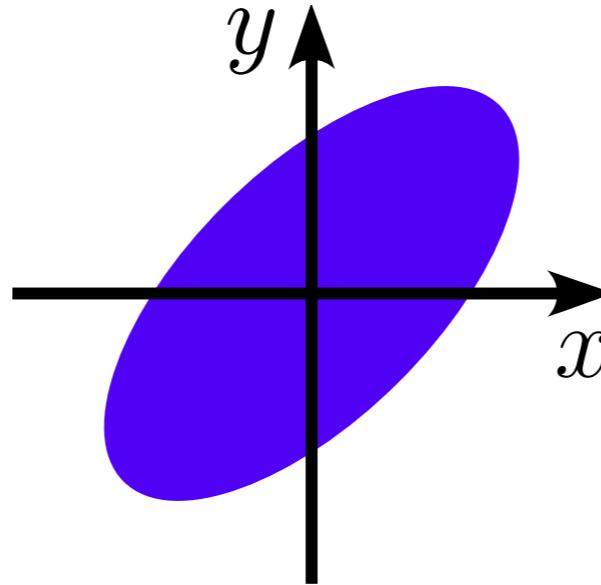
Intervals:
 $x \in [a, b]$



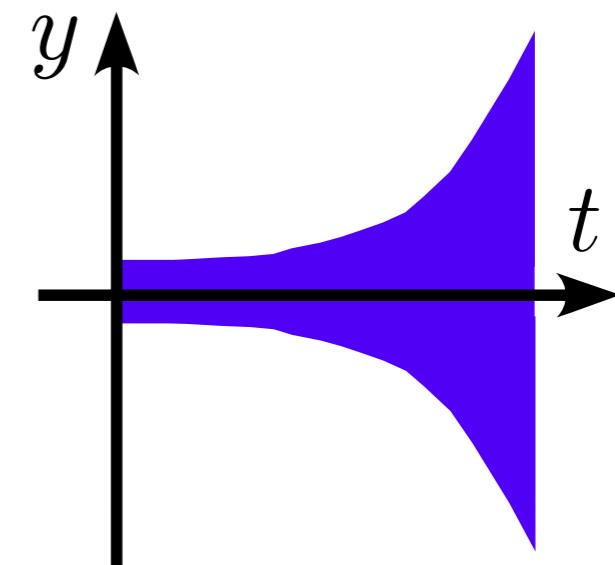
Simple congruences:
 $x \equiv a[b]$



Octagons:
 $\pm x \pm y \leq a$



Ellipses:
 $x^2 + by^2 - axy \leq d$



Exponentials:
 $-a^{bt} \leq y(t) \leq a^{bt}$

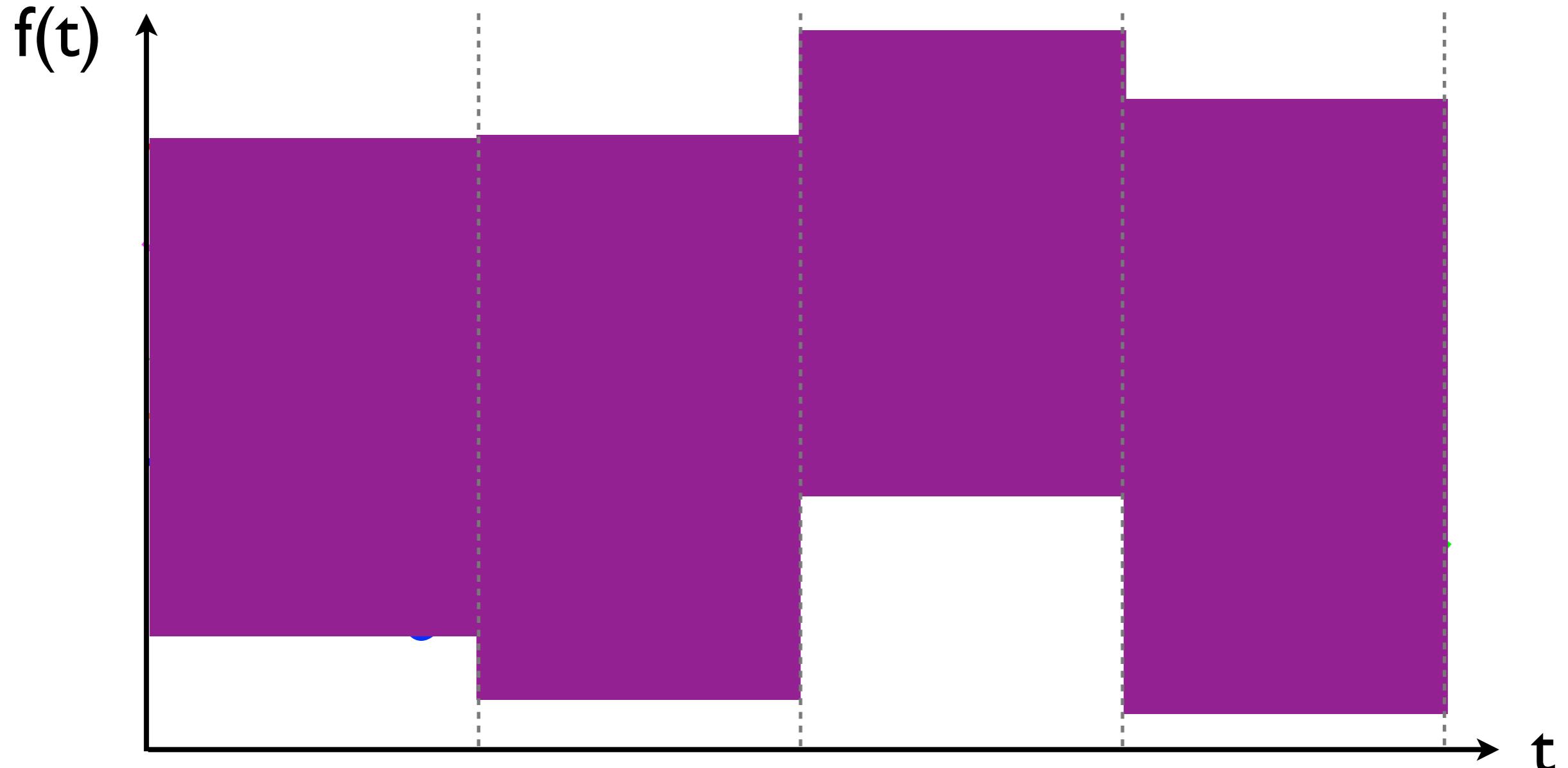
A slightly more detailed
example

Set of functions

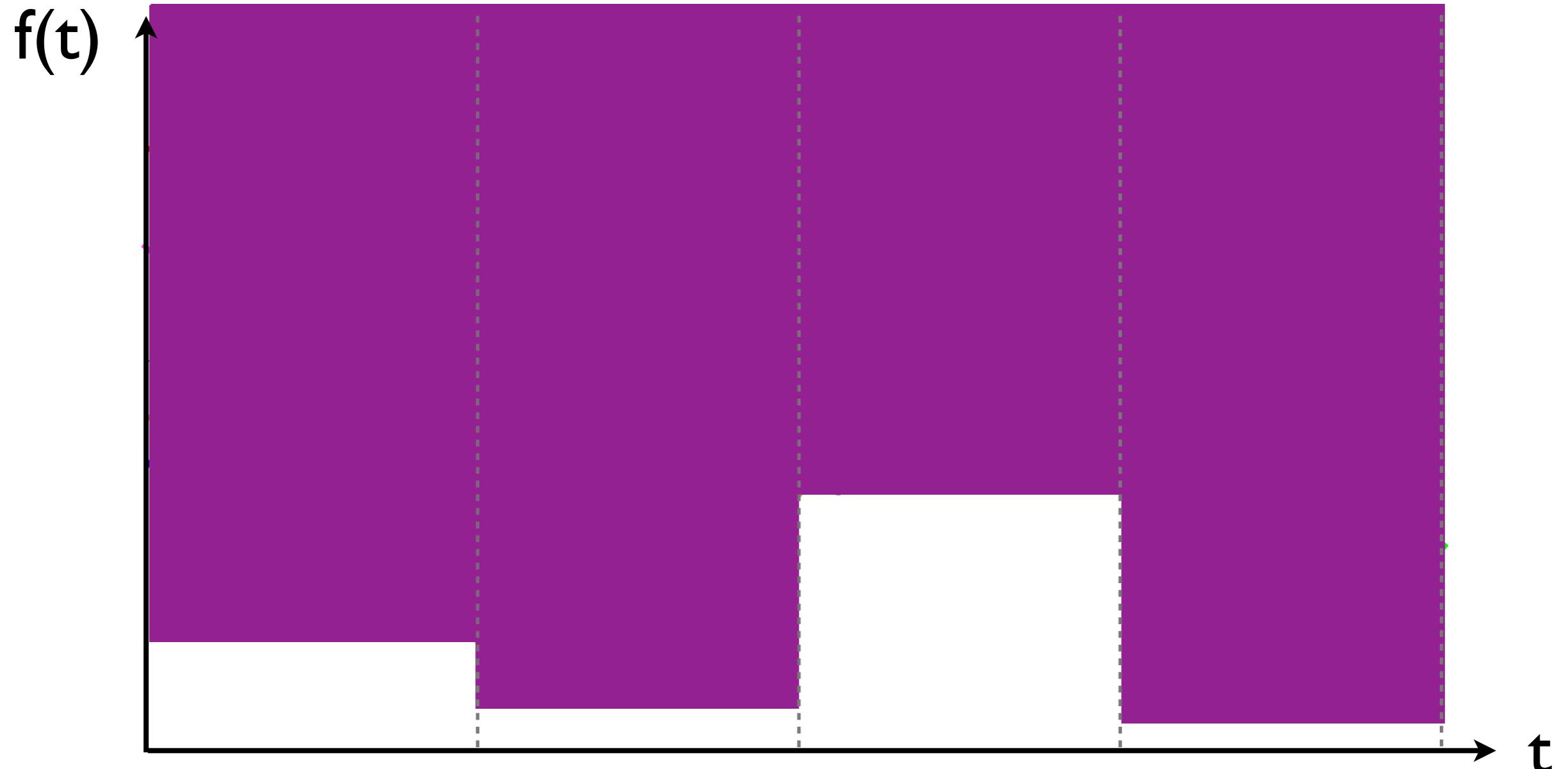


How to approximate $\{ f_1, f_2, f_3, f_4 \}$?

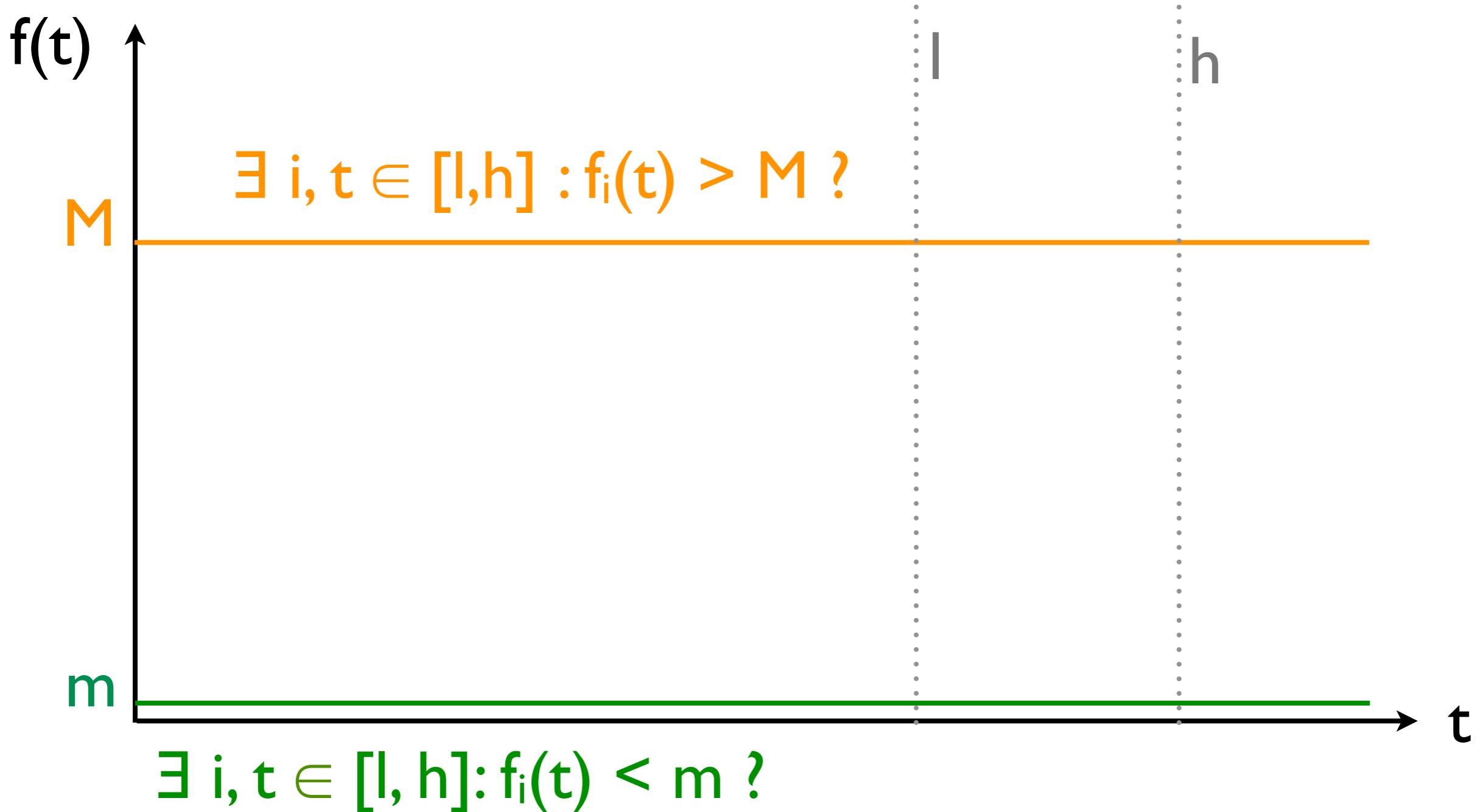
Set of functions abstraction



A less precise abstraction

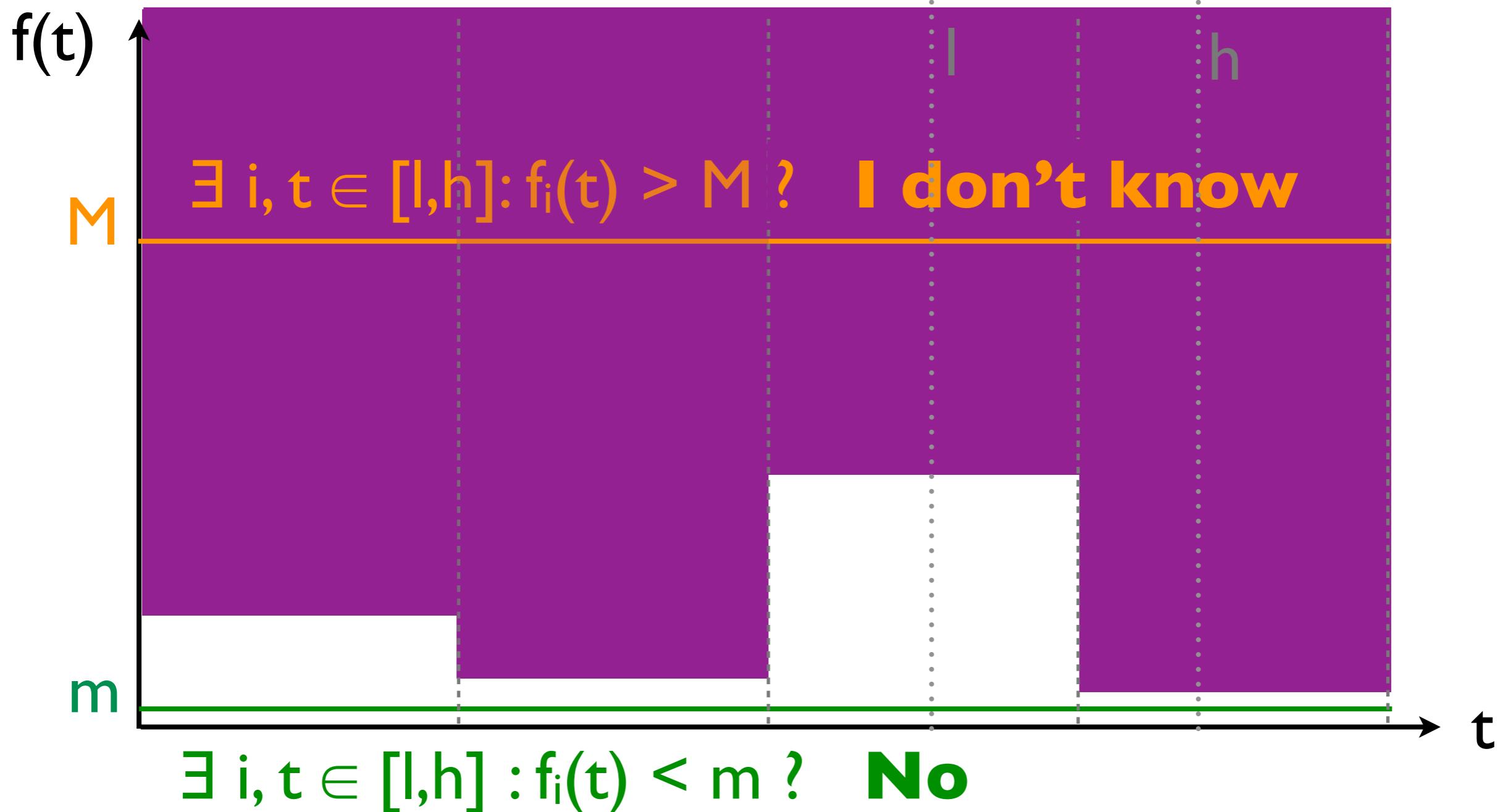


Concrete questions on the f_i



Min/max questions on the f_i

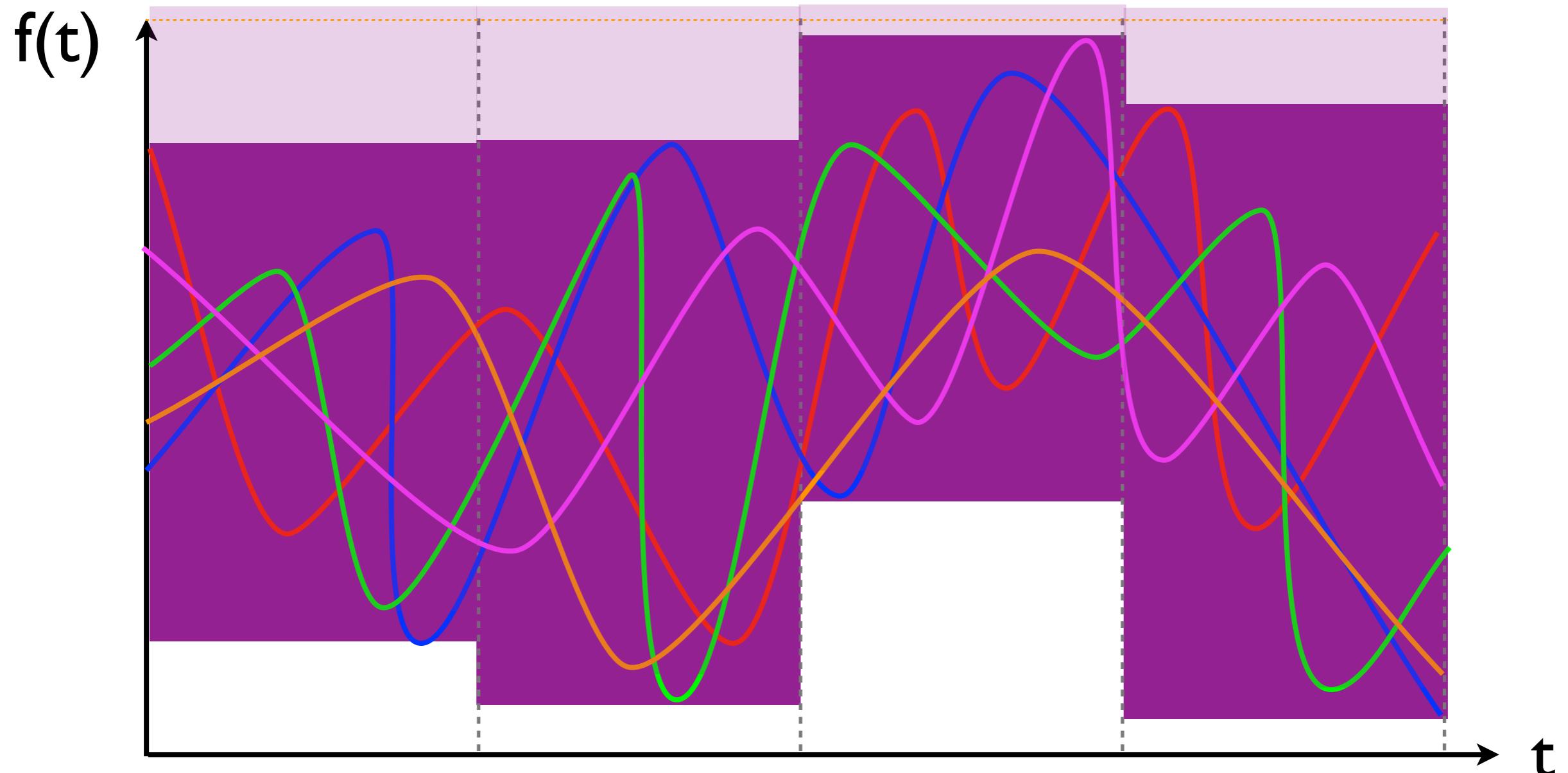
Concrete questions answered in the abstract



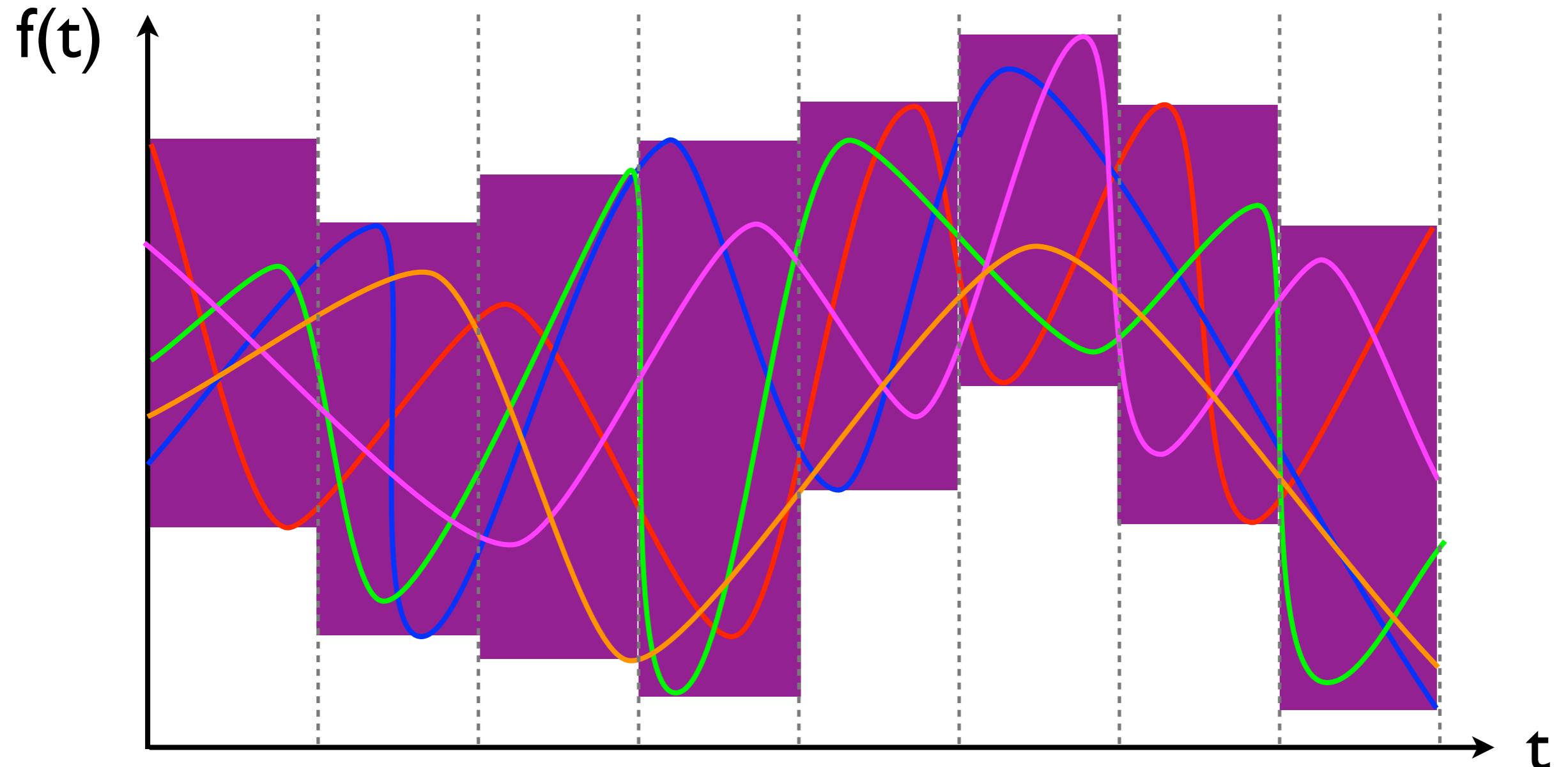
Min/max questions on the f_i

Soundness of the abstraction

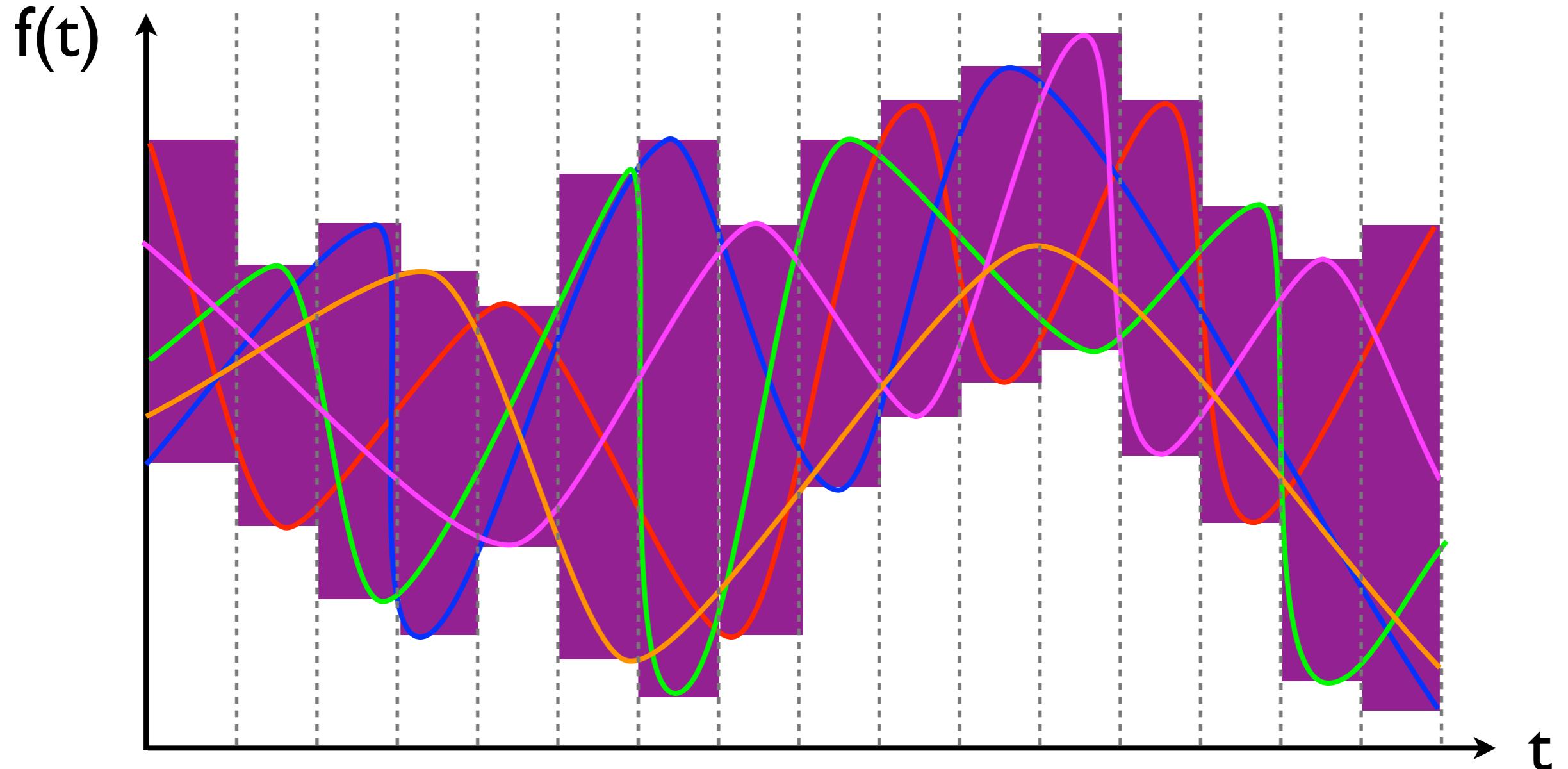
- No concrete case is ever forgotten:



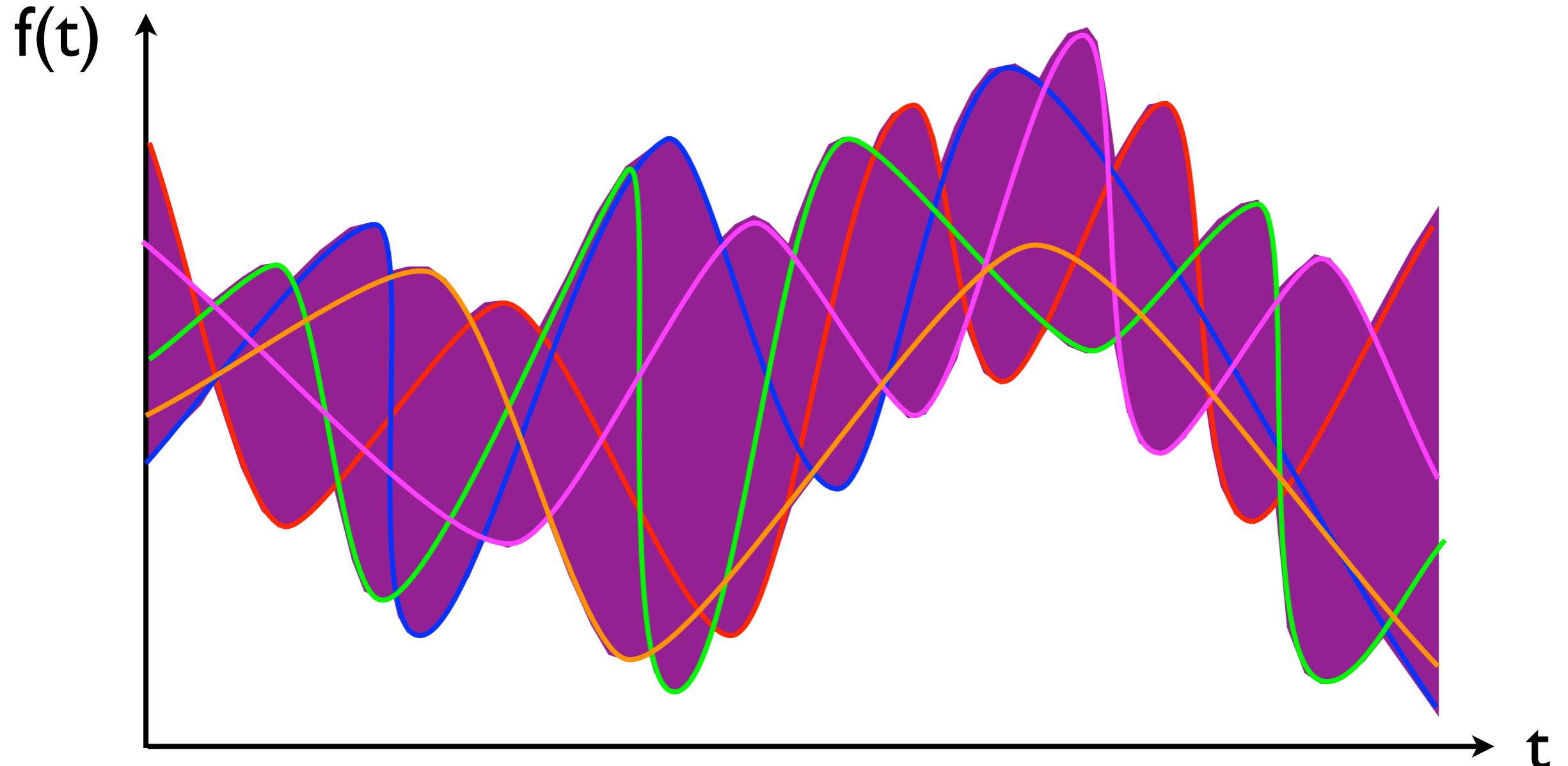
A more precise/refined abstraction



An even more precise/refined abstraction

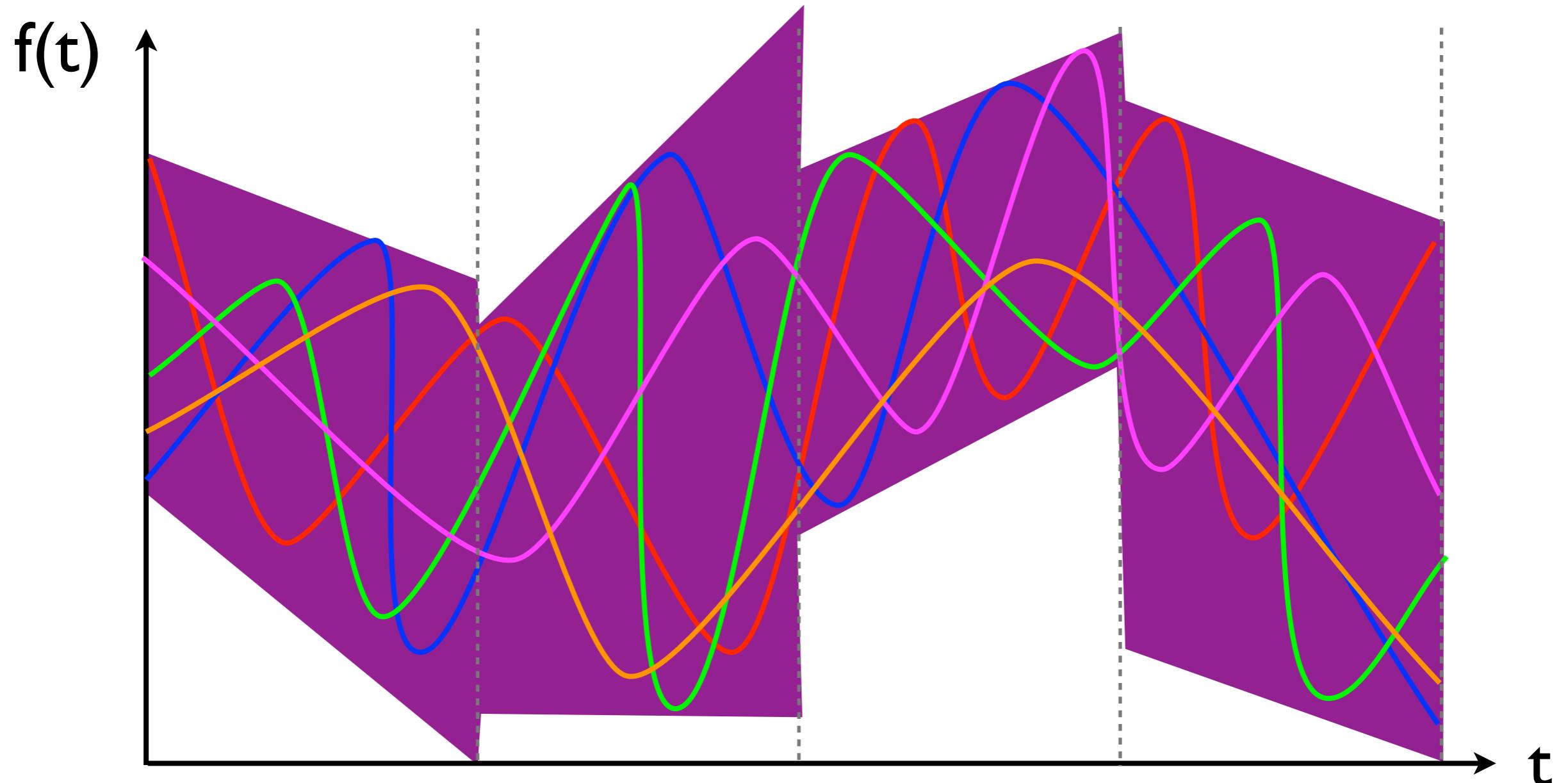


Passing to the limit



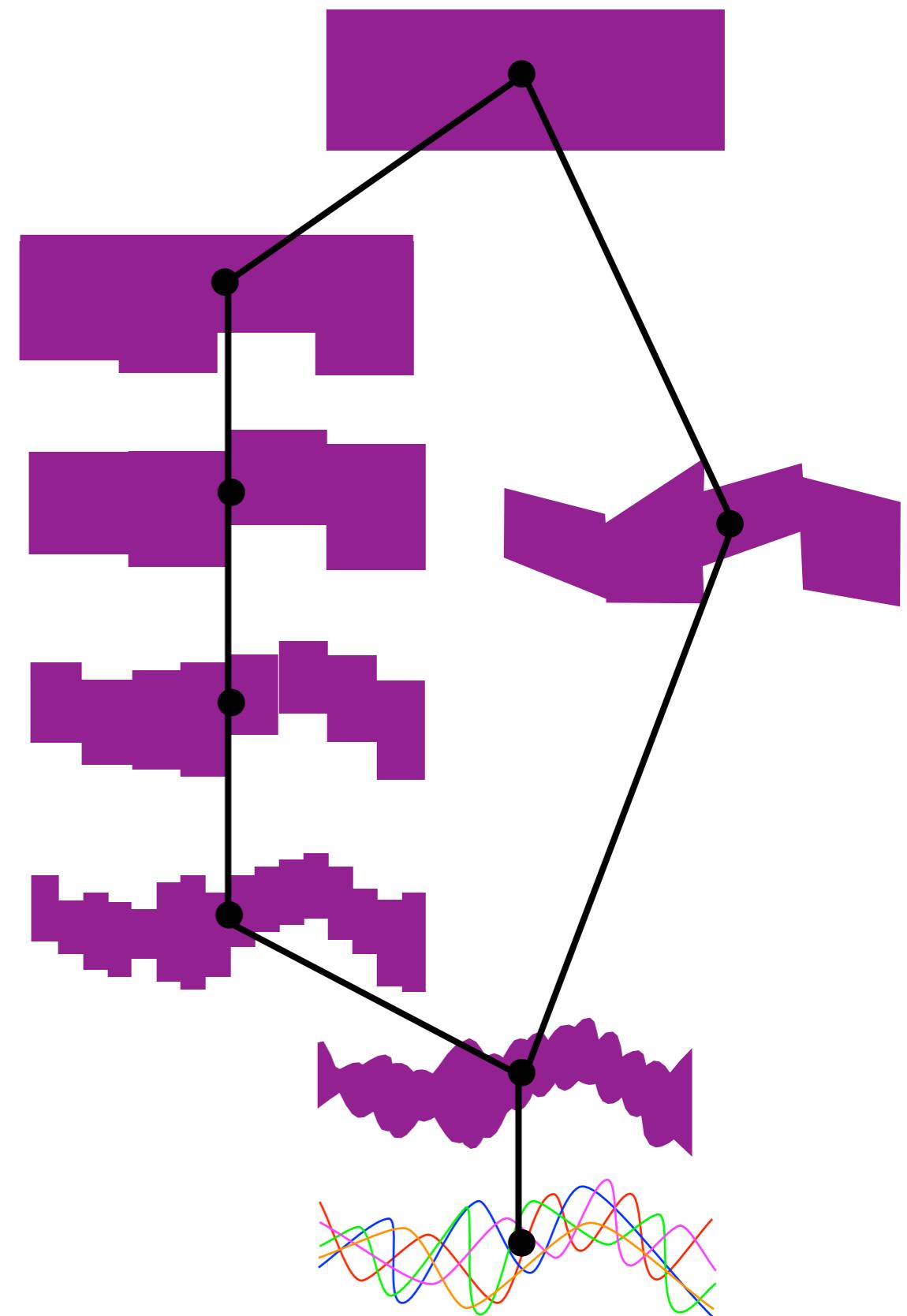
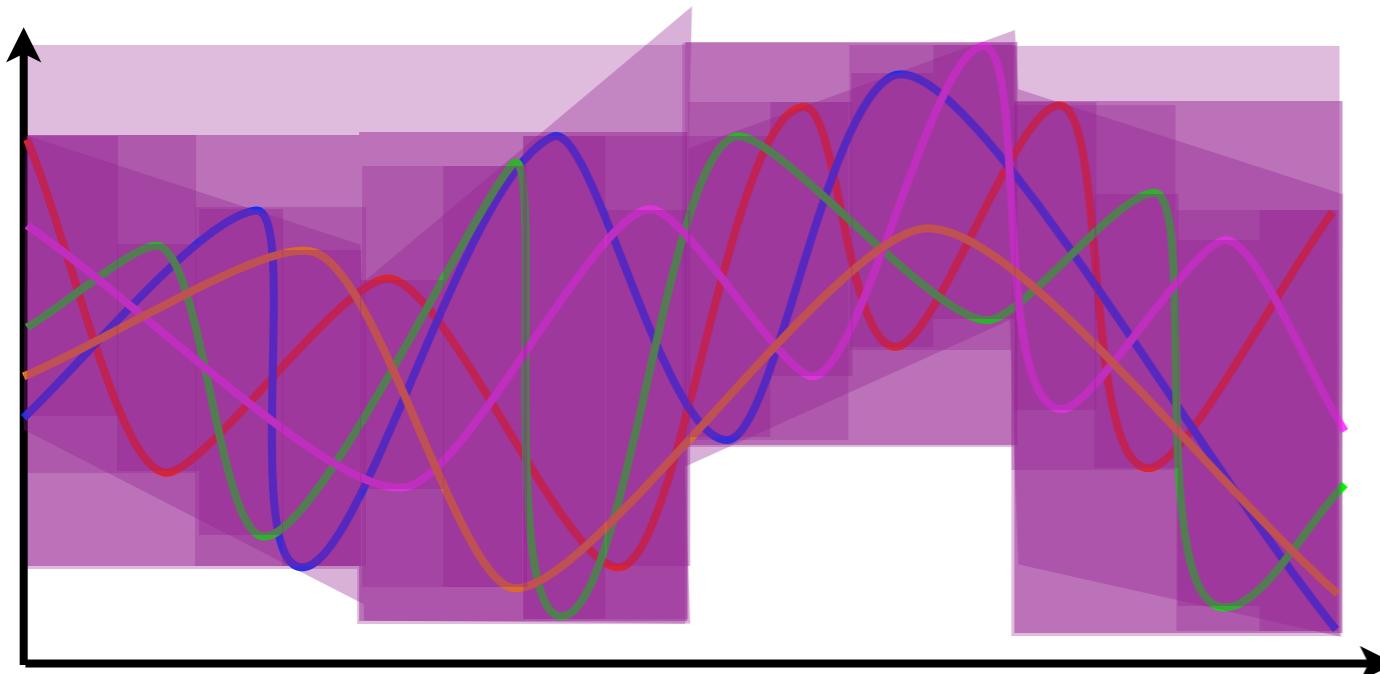
Sound and *complete* abstraction for min/max questions on the f_i

A non-comparable abstraction



Sound and incomplete abstraction for min/max questions on the f_i

The hierarchy of abstractions



Elements of Abstract Interpretation Theory Explained with ...

Patrick Cousot & Radhia Cousot. Static Determination of Dynamic Properties of Programs. In B. Robinet, editor, *Proceedings of the second international symposium on Programming*, Paris, France, pages 106–130, April 13-15 1976, Dunod, Paris.

Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252

Patrick Cousot, Radhia Cousot: Systematic Design of Program Analysis Frameworks. POPL 1979: 269-282

Patrick Cousot. Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique des programmes. *Thèse És Sciences Mathématiques*, Université Joseph Fourier, Grenoble, France, 21 March 1978

Patrick Cousot. Semantic foundations of program analysis. In S.S. Muchnick & N.D. Jones, editors, *Program Flow Analysis: Theory and Applications*, Ch. 10, pages 303–342, Prentice-Hall, Inc., Englewood Cliffs, New Jersey, U.S.A., 1981.

Elements of Abstract Interpretation Theory Explained with ... Flowers

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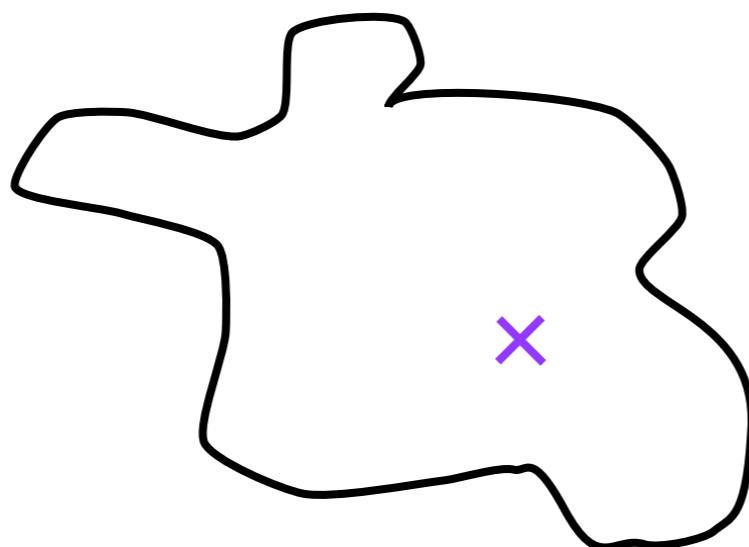
The concrete world

A mini graphical language

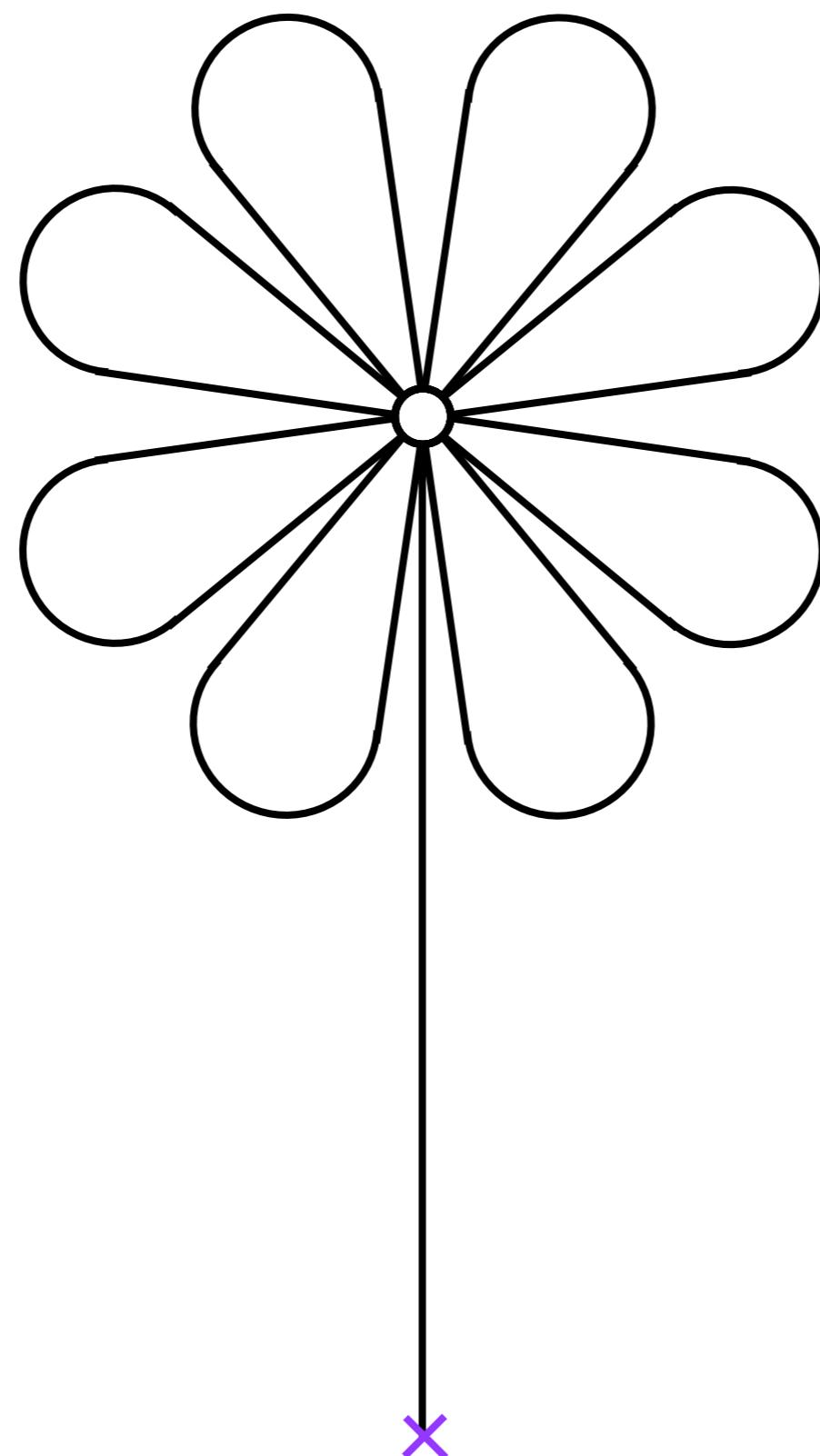
- Objects $o \in O$
- Operations on objects $O^n \longrightarrow O, n \geq 0$
- Logical operations on objects $O^n \longrightarrow \text{Booleans}, n \geq 0$

Objects

- An **object** $o \in O$ is defined by
 - An **origin** (a reference point \times)
 - A **set of** (infinitely small) **black pixels** (on a white background)
- Example I of object:



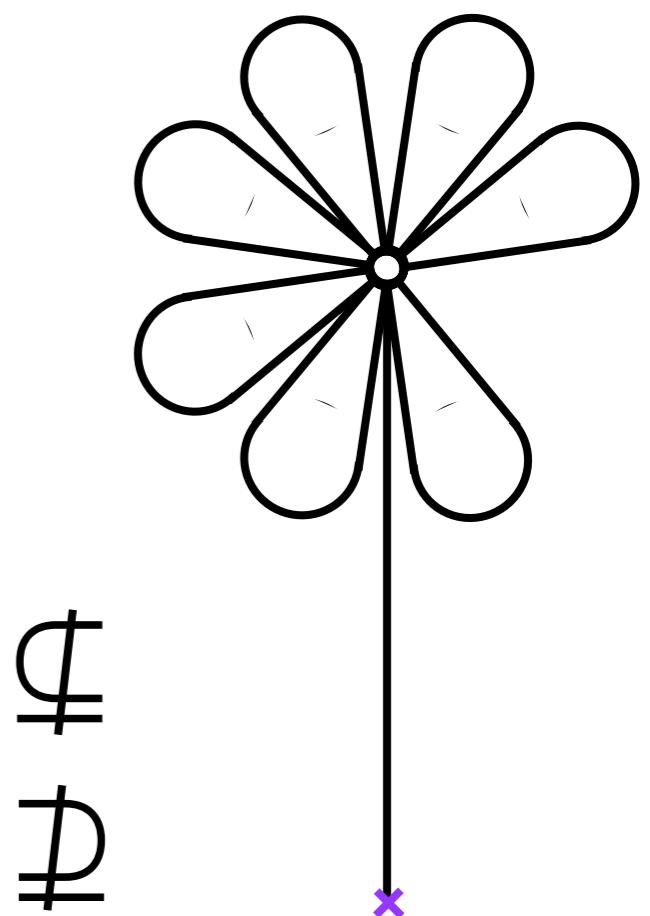
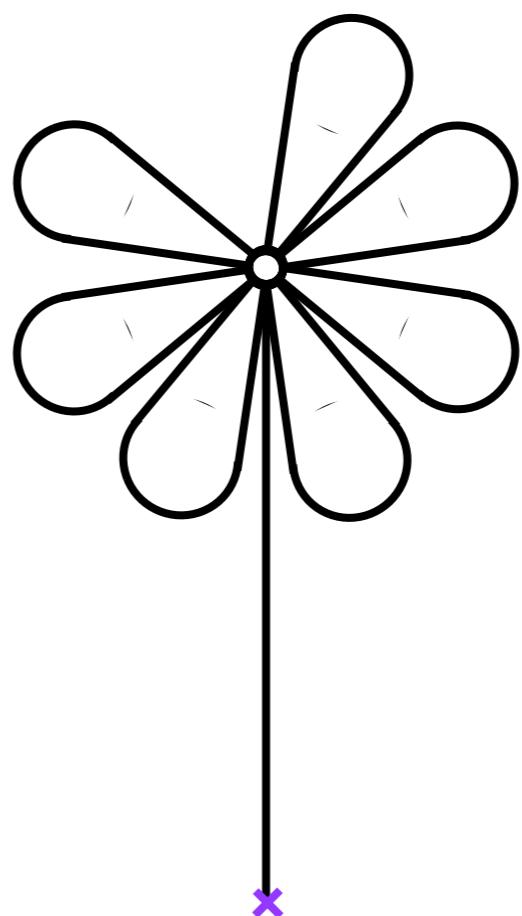
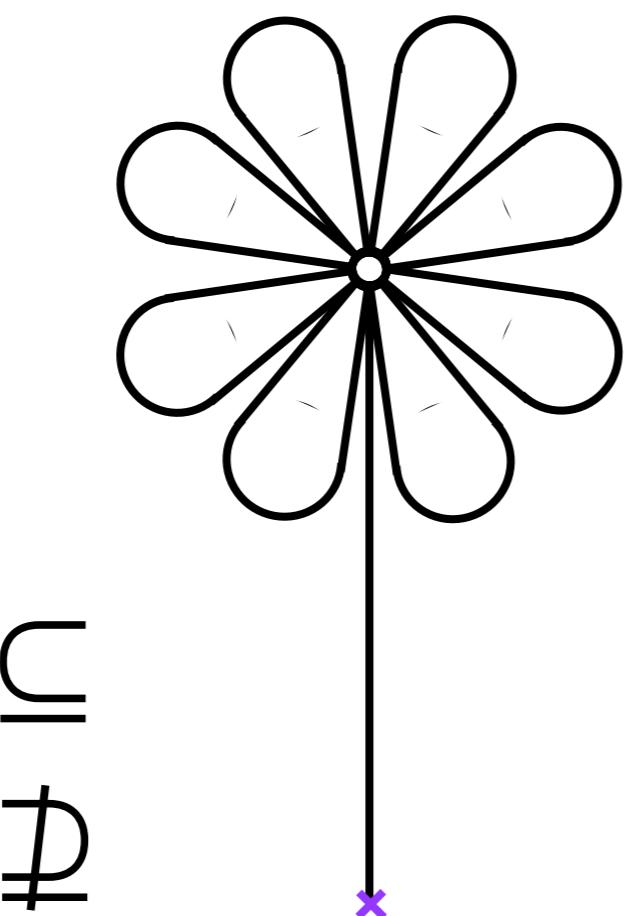
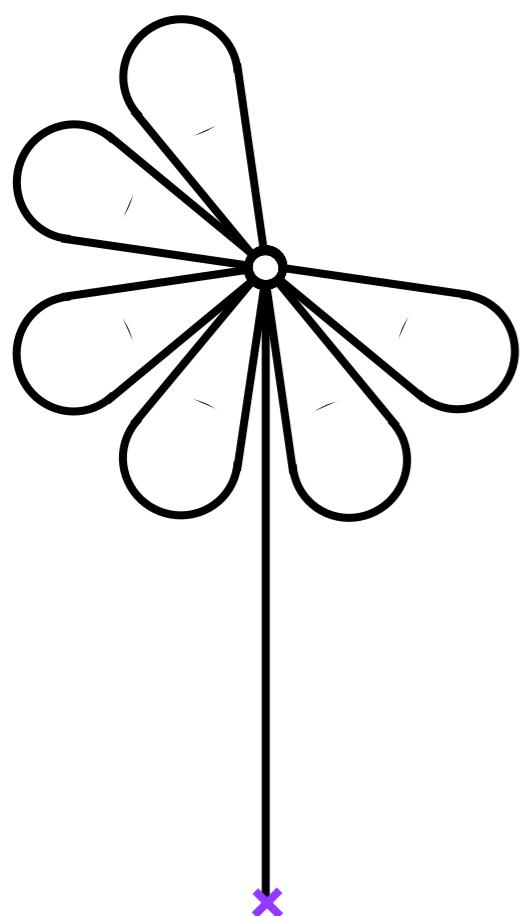
An example II of object: a flower



Logical operations on objects

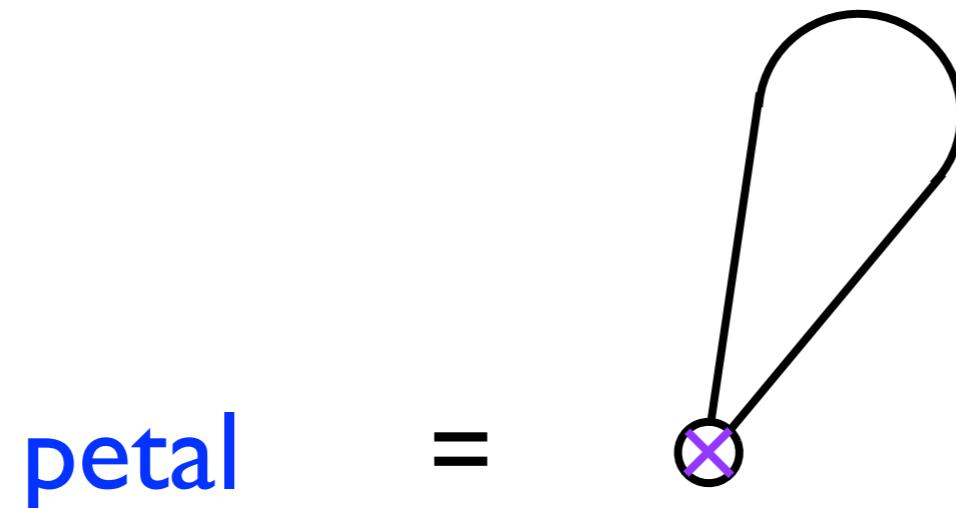
- Inclusion \subseteq

- Examples:



Constant objects

- A petal is an example of constant object

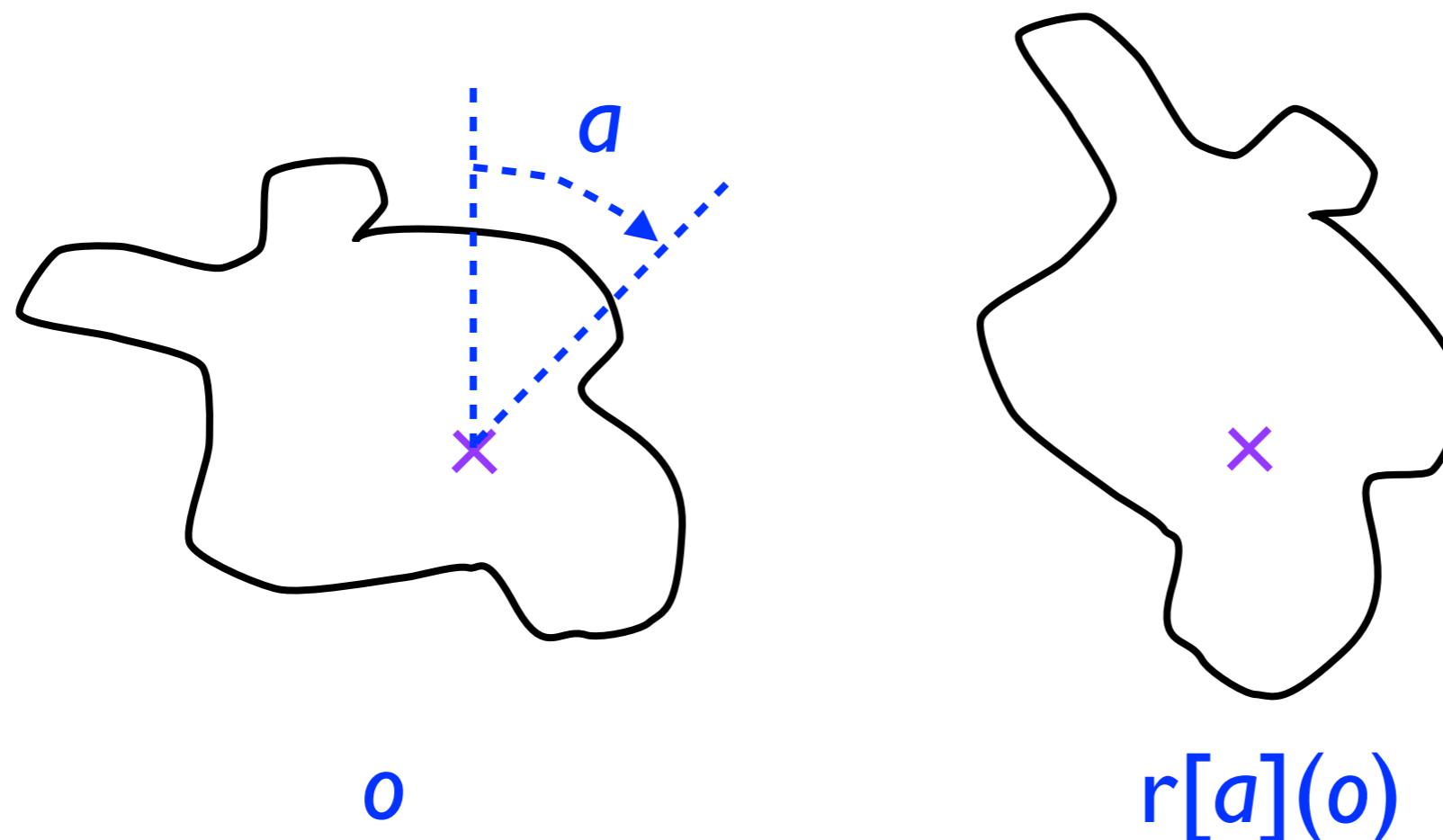


Operation on objects: rotation

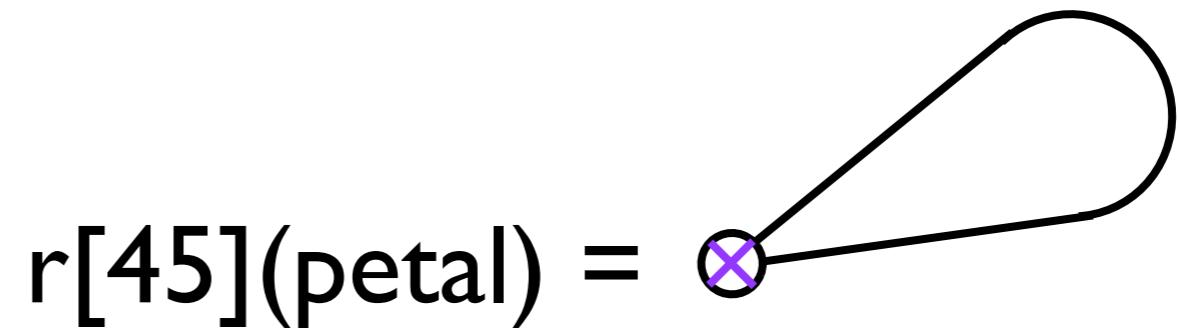
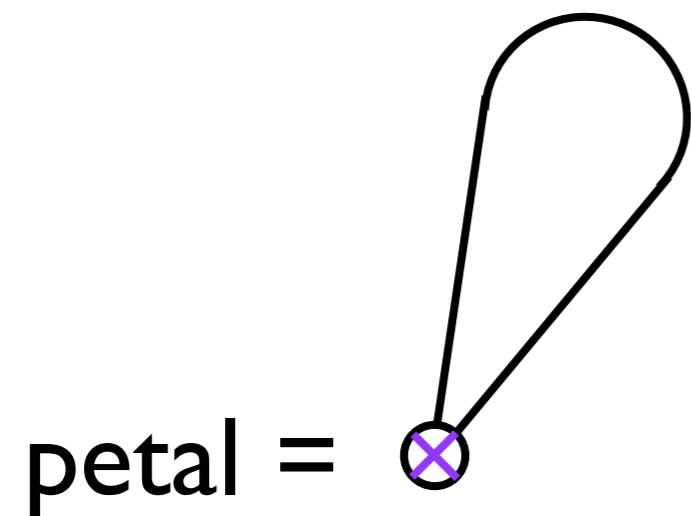
- rotation

$r[a](o)$

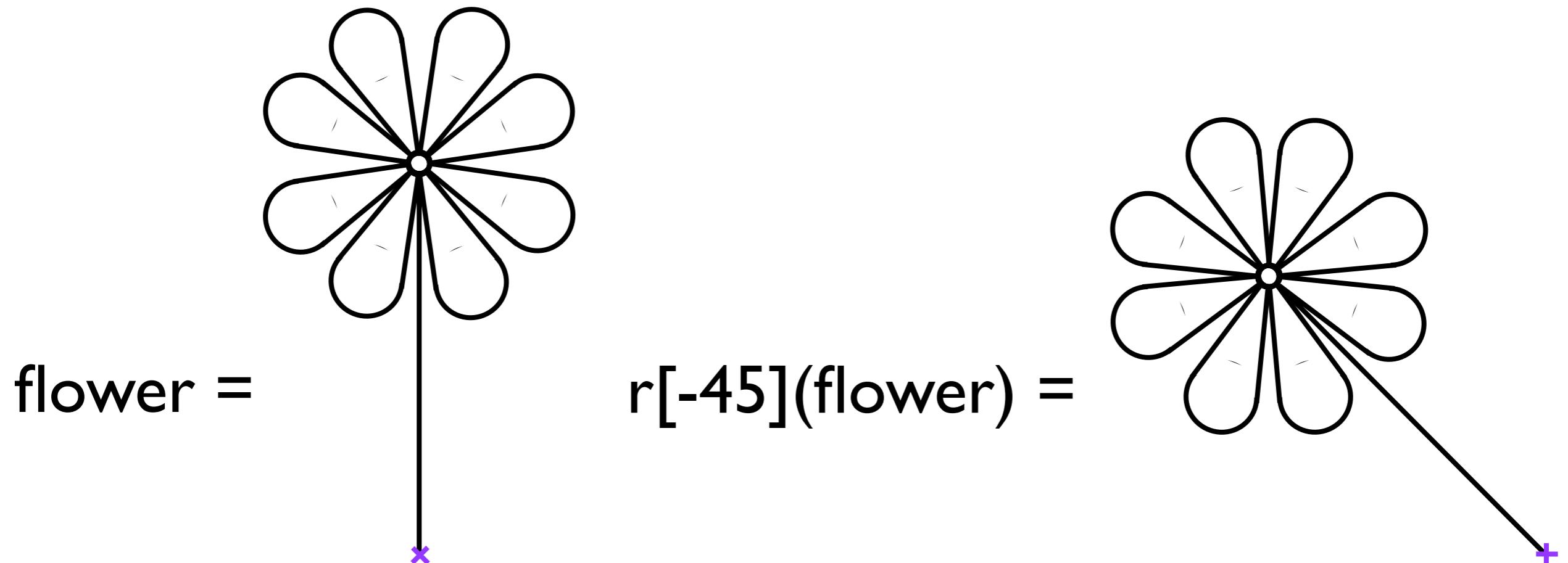
rotates the object o clockwise by angle a degrees around its origin



Example I of rotation



Example II of rotation

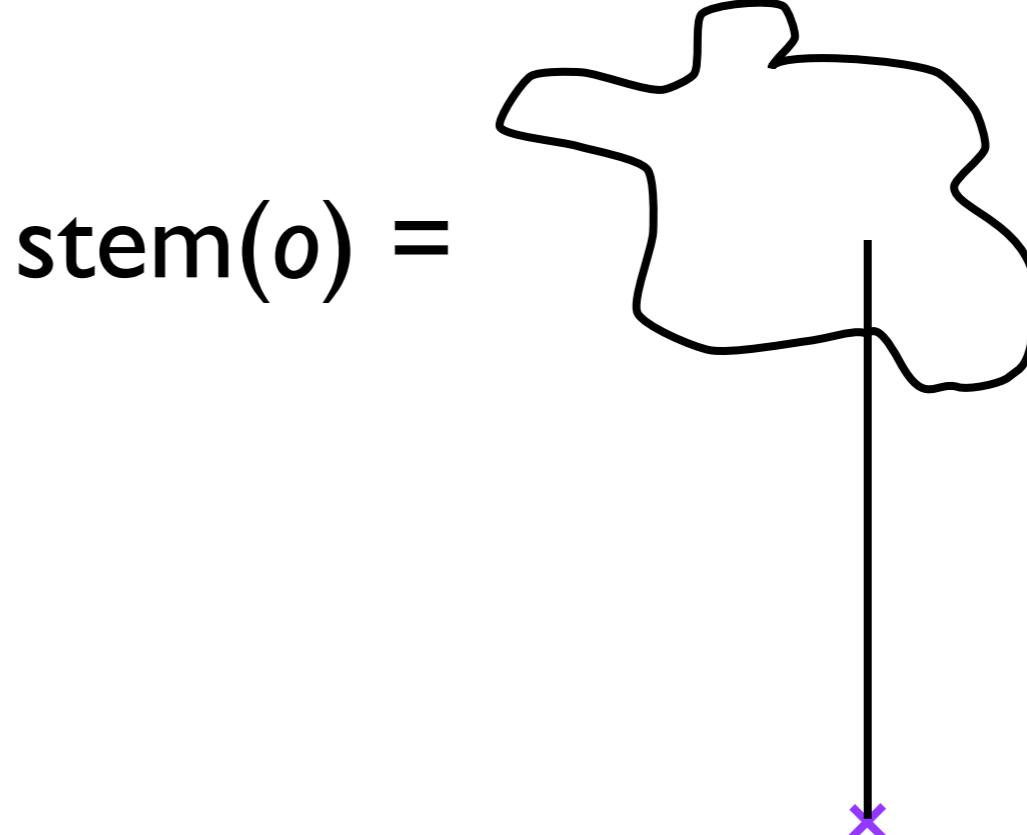
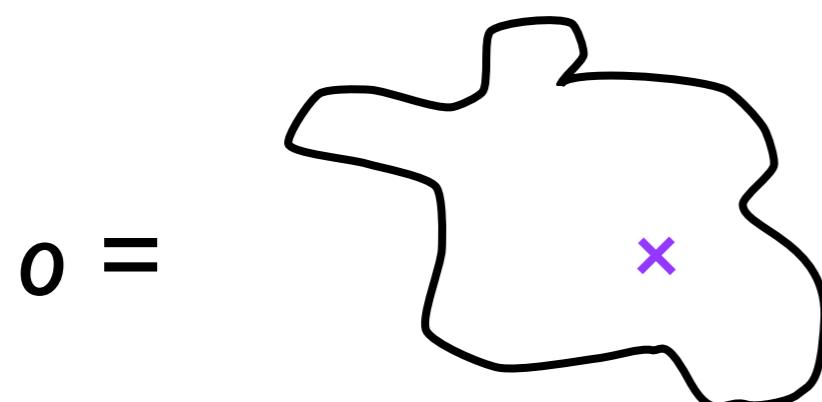


Operation on objects: add a stem

- Add a stem

$\text{stem}(o)$

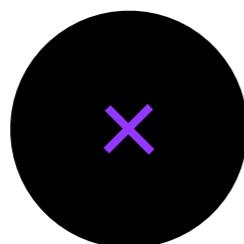
adds a stem to object o (up to the origin of object o , with new origin at the root of the stem)



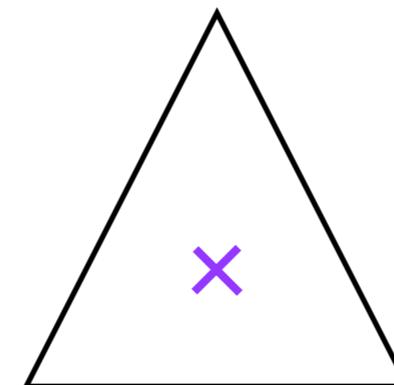
Operation on objects: union

- The union $o_1 \cup o_2$ of objects o_1 and o_2 is the superposition of the pixels of o_1 and o_2 at their origins
- Example:

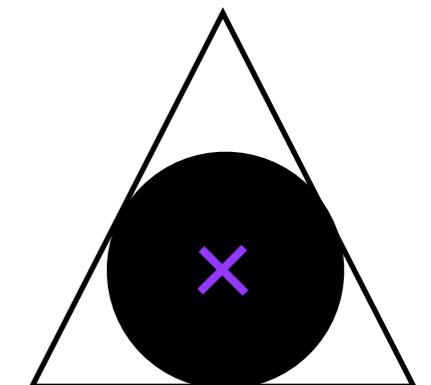
$$o_1 =$$



$$o_2 =$$

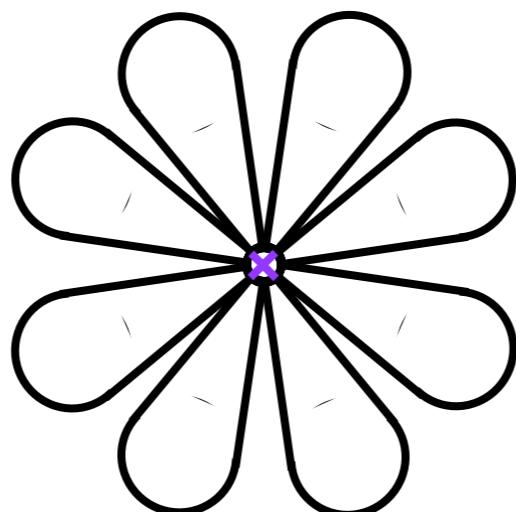


$$o_1 \cup o_2 =$$



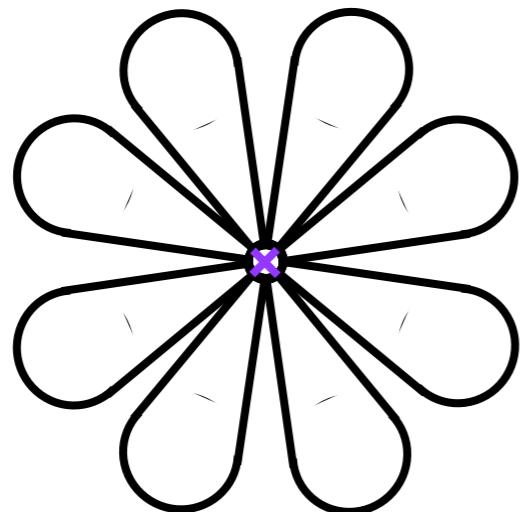
Example: corolla

- $\text{corolla} = \text{petal} \cup r[45](\text{petal}) \cup r[90](\text{petal}) \cup r[135](\text{petal}) \cup r[180](\text{petal}) \cup r[225](\text{petal}) \cup r[270](\text{petal}) \cup r[315](\text{petal})$

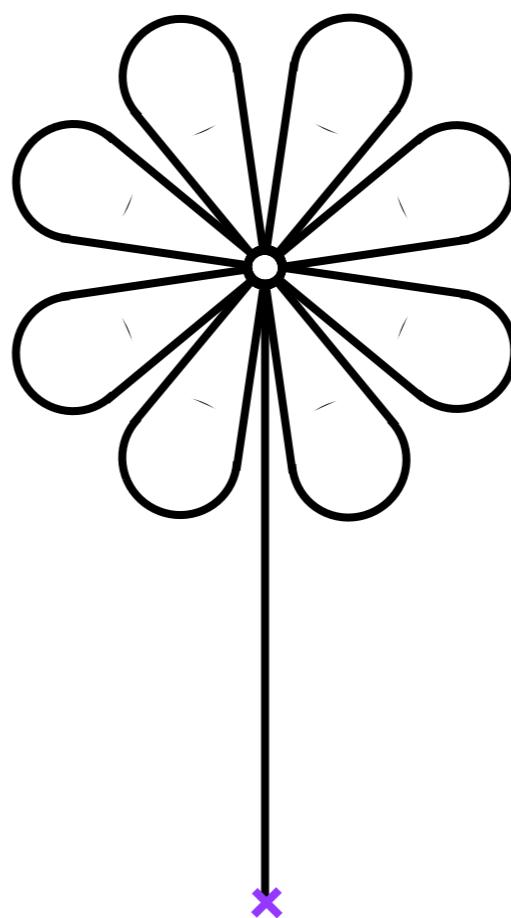


flower

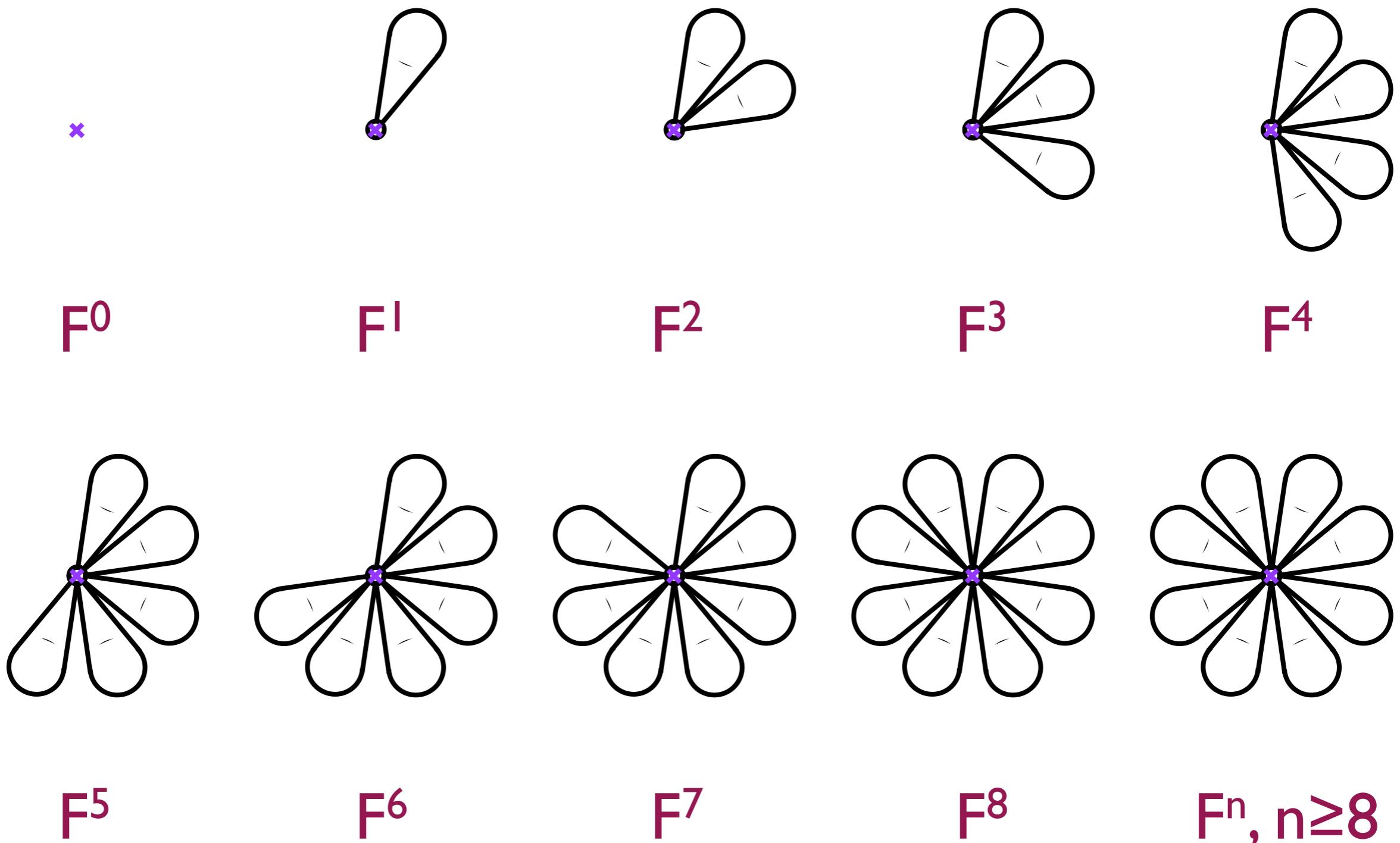
corolla =



flower = stem(corolla) =



Building a corolla iteratively

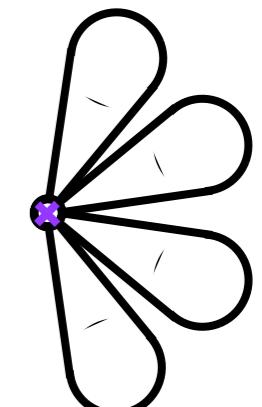
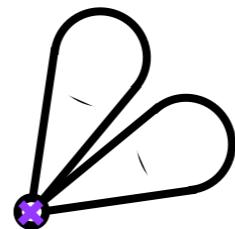


Corolla transformer

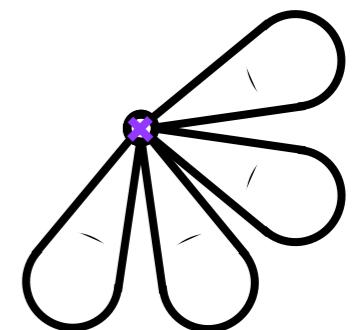
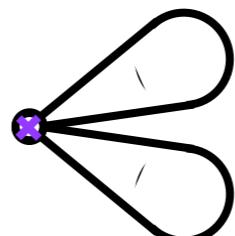
- $F(X) = r[45]X \cup \text{petal}$

- Example:

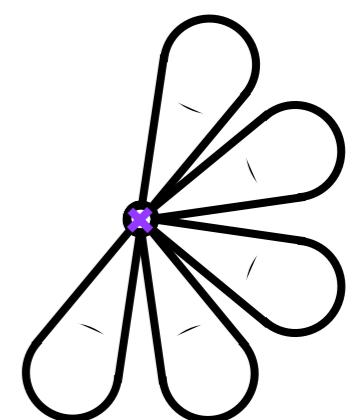
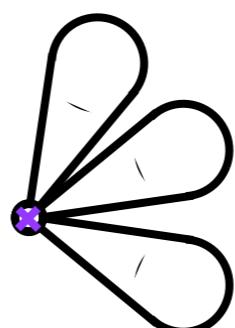
- $X =$



- $r[45]X =$



- $r[45]X \cup \text{petal} =$



Iterates of a transformer to a fixpoint

- The iterates F^n , $n \geq 0$, of F from the empty set \emptyset are

$$F^0 = \emptyset$$

$$F^1 = F(F^0)$$

$$F^2 = F(F^1)$$

...

$$F^{n+1} = F(F^n)$$

...

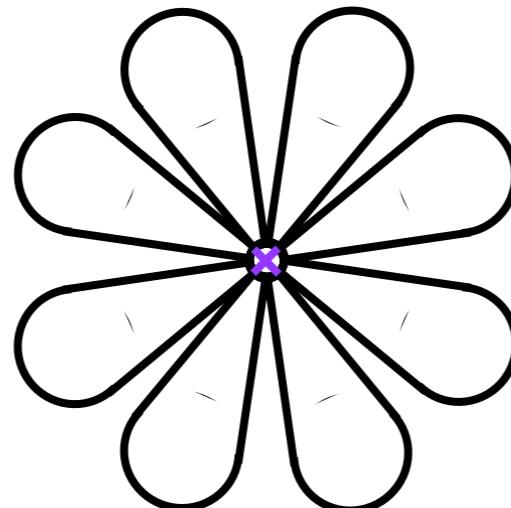
$$F^\omega = \bigcup_{n \geq 0} F^n = \text{lfp } F$$

(assuming F continuous)

- Least fixpoint: $F(\text{lfp } F) = \text{lfp } F$, and
 $F(x) = x$ implies $\text{lfp } F \subseteq x$

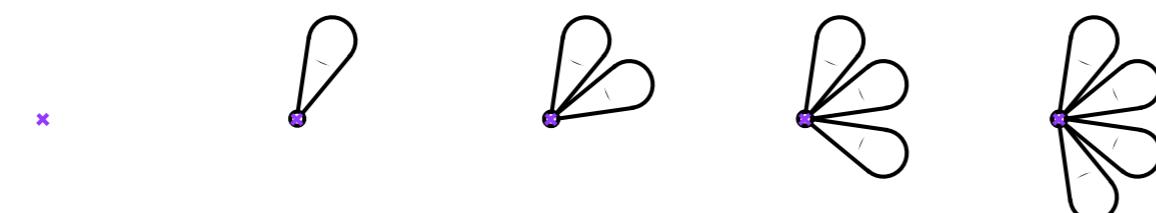
Fixpoint corolla

- $F(X) = r[45]X \cup \text{petal}$

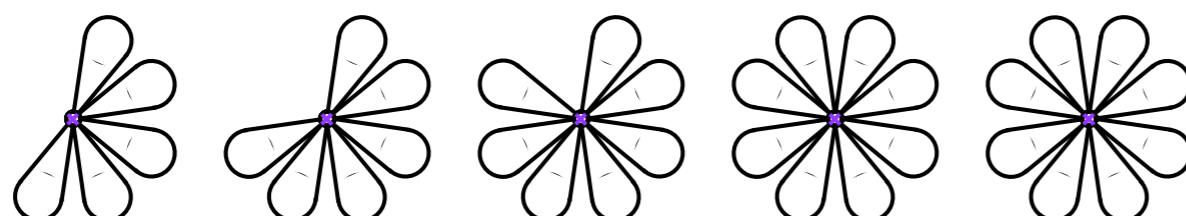


- $\text{corolla} = \text{lfp } F =$

- Proof: the *iterates* are



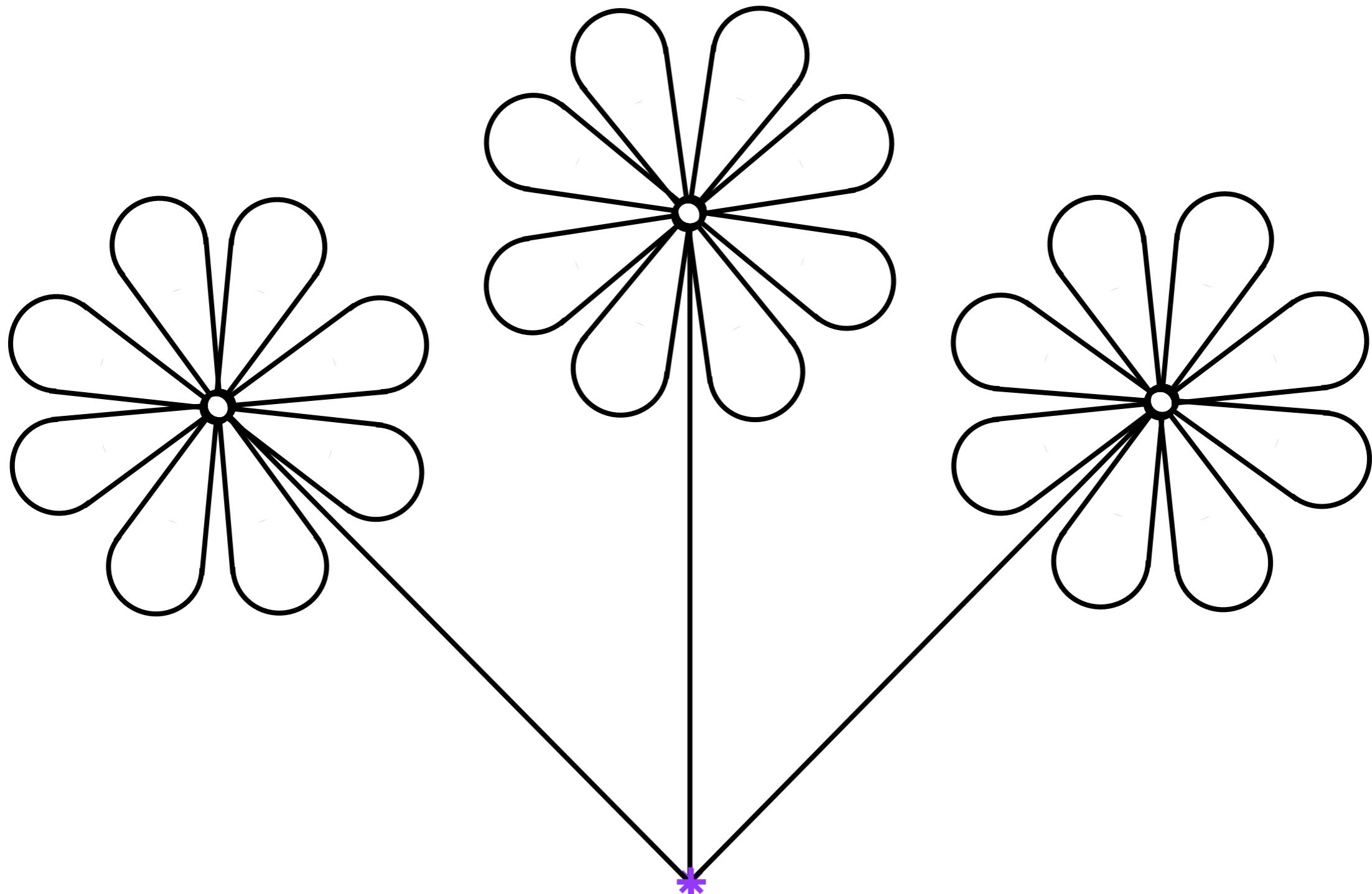
$F^0 \quad F^1 \quad F^2 \quad F^3 \quad F^4$



$F^5 \quad F^6 \quad F^7 \quad F^8 \quad F^n, n \geq 8$

Concrete bouquet

- bouquet = $r[-45](\text{flower}) \cup \text{flower} \cup r[45](\text{flower})$



The abstract world

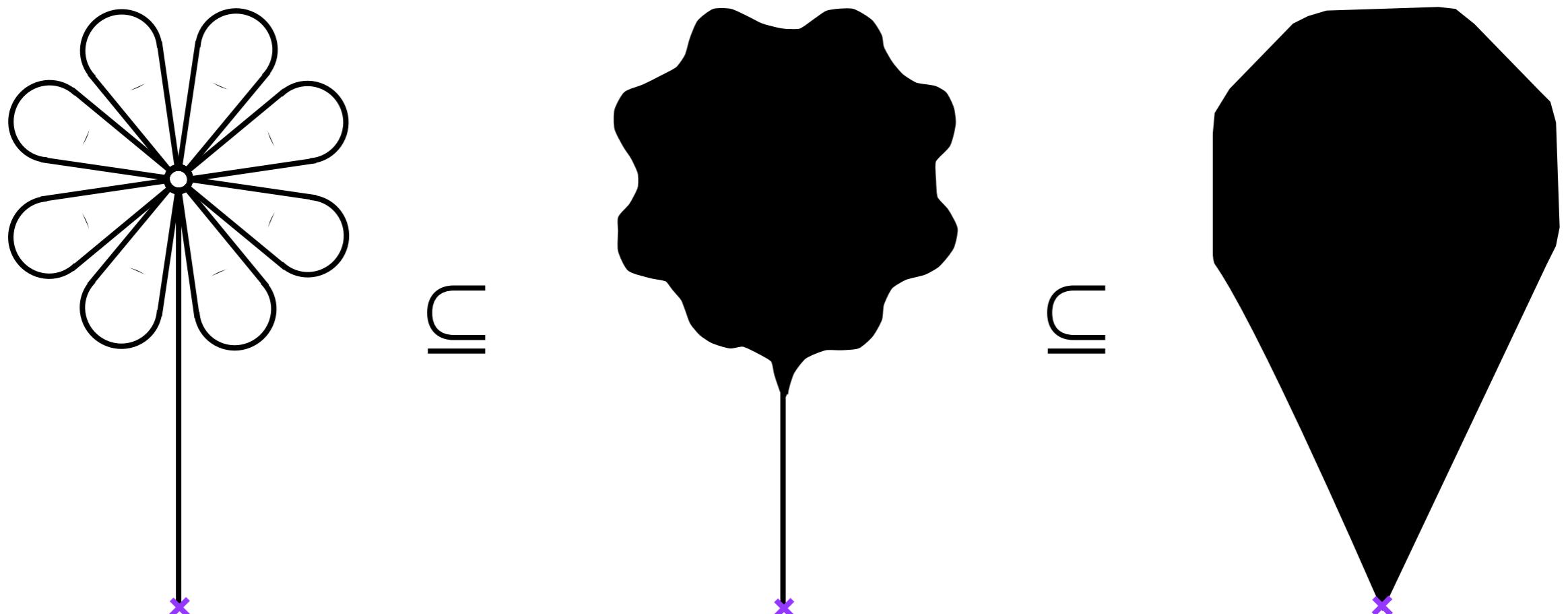
Over-approximation

- An **over-approximation** of an object o is an object \overline{o} with
 - same origin
 - more pixels
- The **dual**^(l) is an **under-approximation**, with less pixels

(l) Patrick Cousot. Méthodes itératives de construction et d'approximation de points fixes d'opérateurs monotones sur un treillis, analyse sémantique des programmes. *Thèse És Sciences Mathématiques*, Université Joseph Fourier, Grenoble, France, 21 March 1978

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Examples of over-approximations of flowers



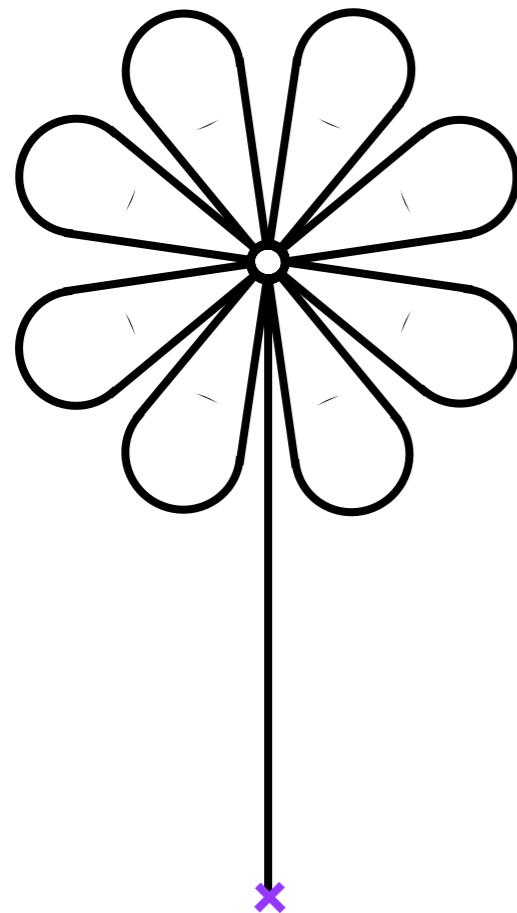
Abstraction

- An **abstraction** of an object o is a mathematical/computer representation of an over-approximation of this object o
- The abstraction is sometimes **exact** else is a **strict over-approximation**
 - Examples abstraction by plain squares

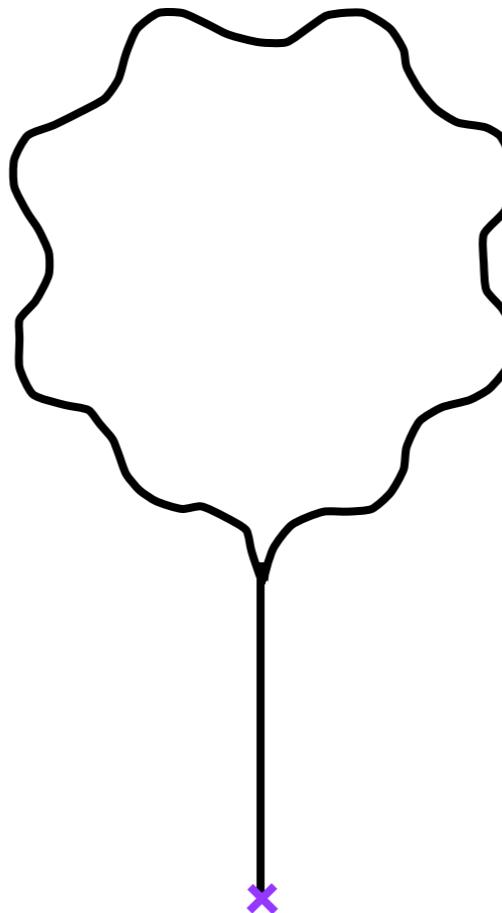


Examples of abstractions of flowers

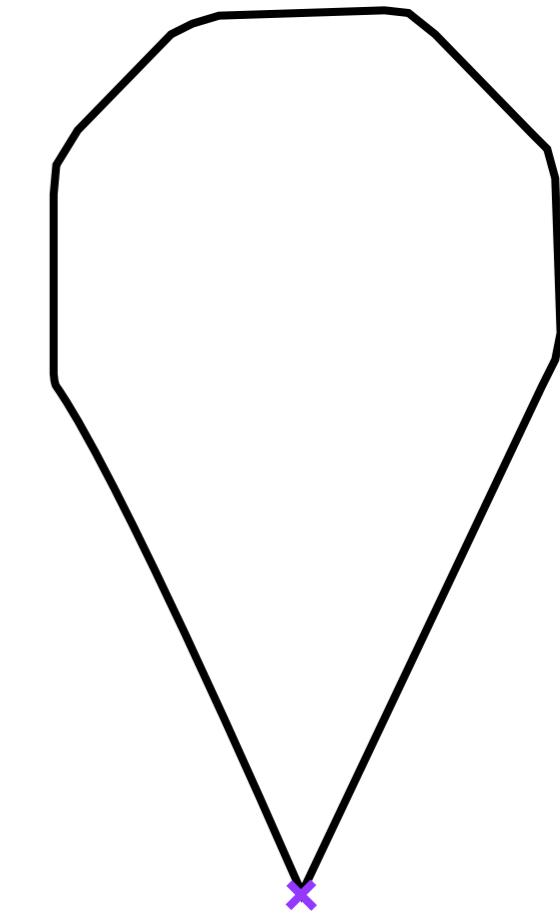
- Encode a concrete over-approximation by its **outline**



concrete



abstract



more abstract

A Touch of Abstract Interpretation Theory

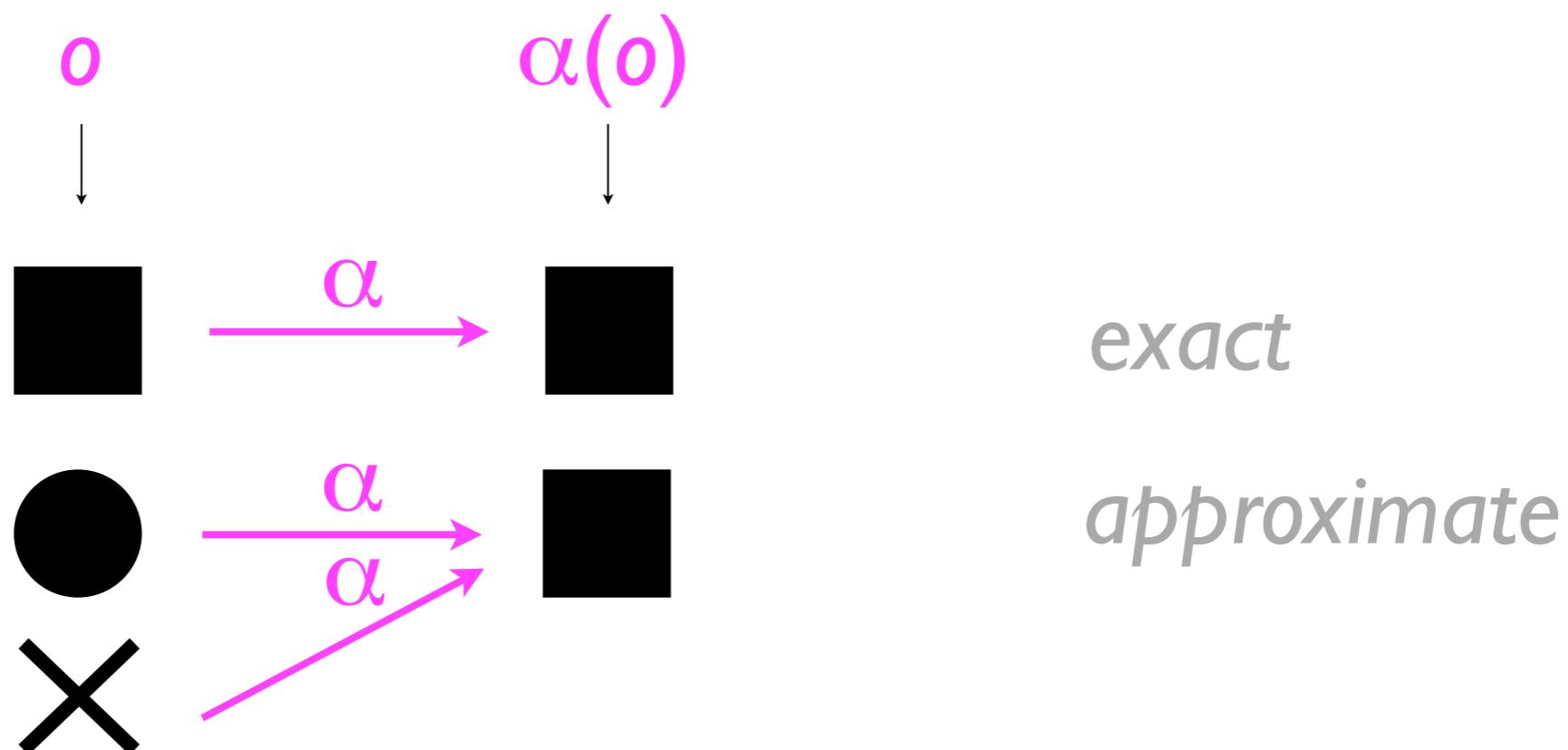
Patrick Cousot, Radhia Cousot: Abstract Interpretation: A Unified Lattice Model for Static Analysis of Programs by Construction or Approximation of Fixpoints. POPL 1977: 238-252
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Abstract domain

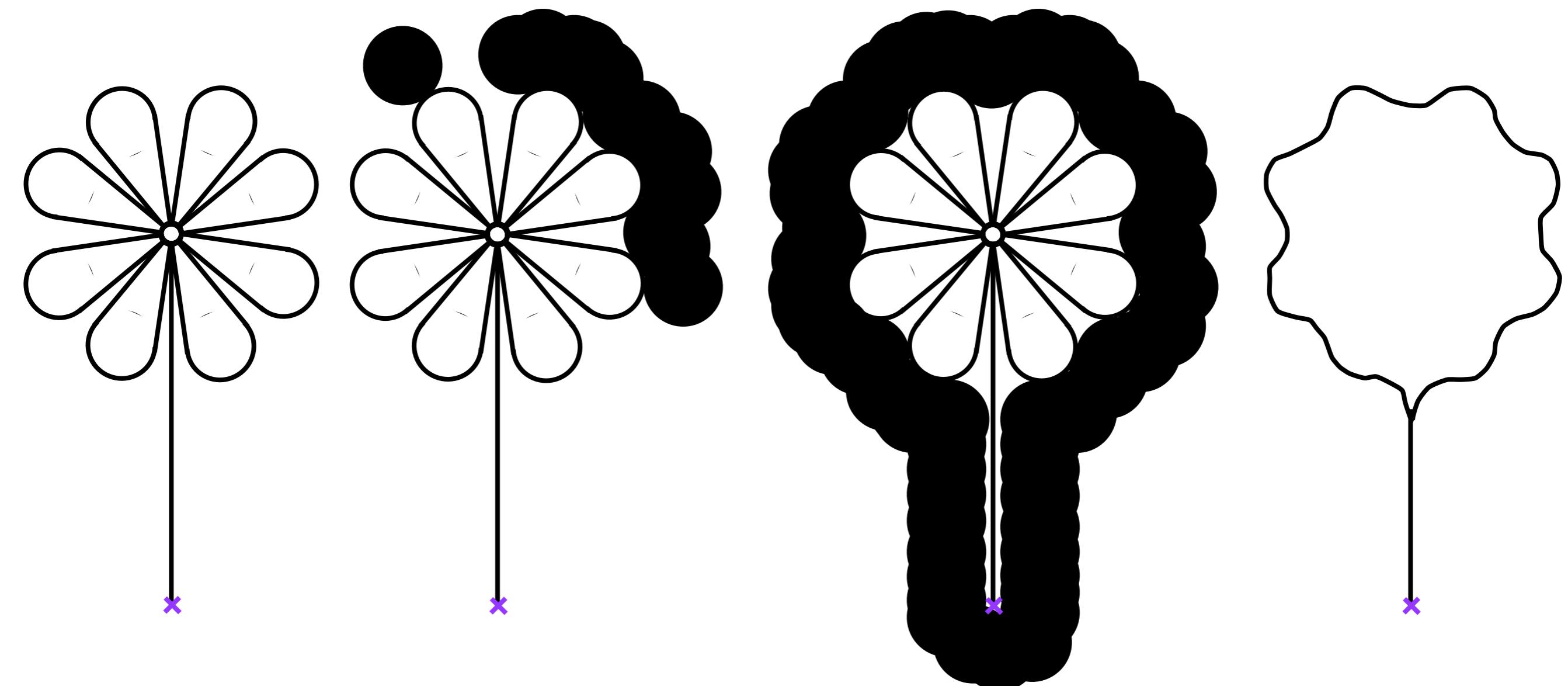
- An abstract domain is
 - a set of abstract objects \overline{O} (abstracting concrete objects)
 - a set of abstract operations (abstracting the concrete operations) $\overline{O}^n \rightarrow \overline{O}, n \geq 0$
 - a set of logical abstract operations
 $\overline{O}^n \rightarrow \text{Booleans}, n \geq 0$

Abstraction function

- The abstraction function $\alpha \in O \rightarrow \overline{O}$ maps concrete objects $o \in O$ to their approximation by an abstract object $\alpha(o) \in \overline{O}$
- Example I of abstraction function by plain squares:

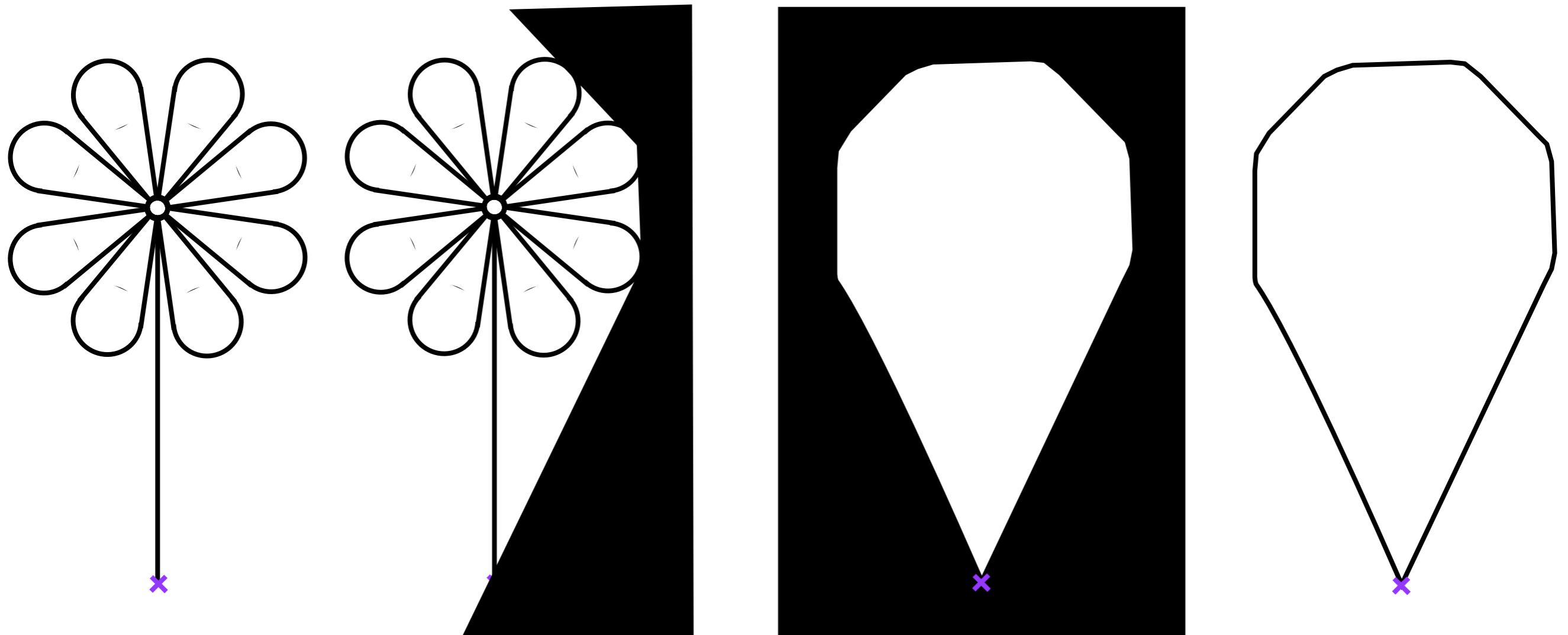


Example II of abstraction function



Outlining brush: ●

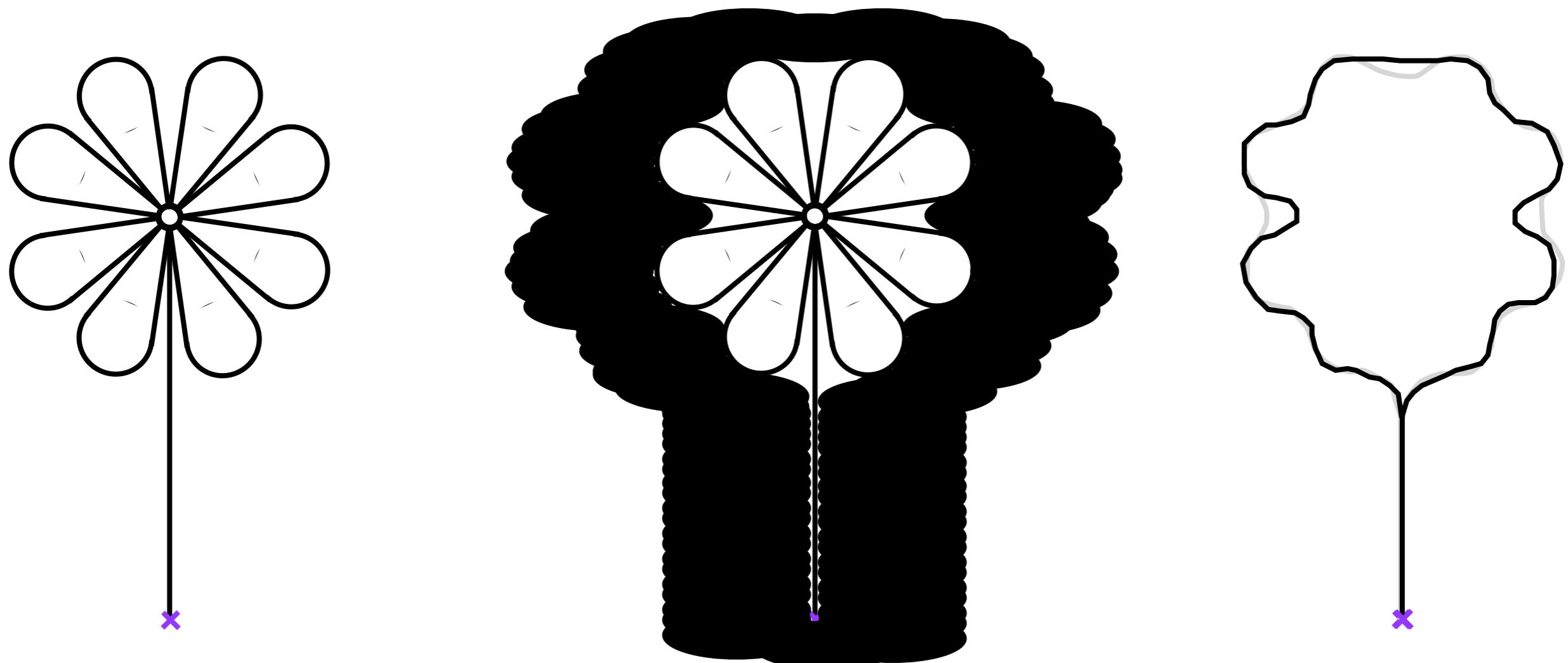
Example III of abstraction function



Outlining brush of infinite diameter

The hierarchy of abstractions

- Larger brush diameter: **more abstract**
- Different brush shapes: may be **non-comparable abstractions**



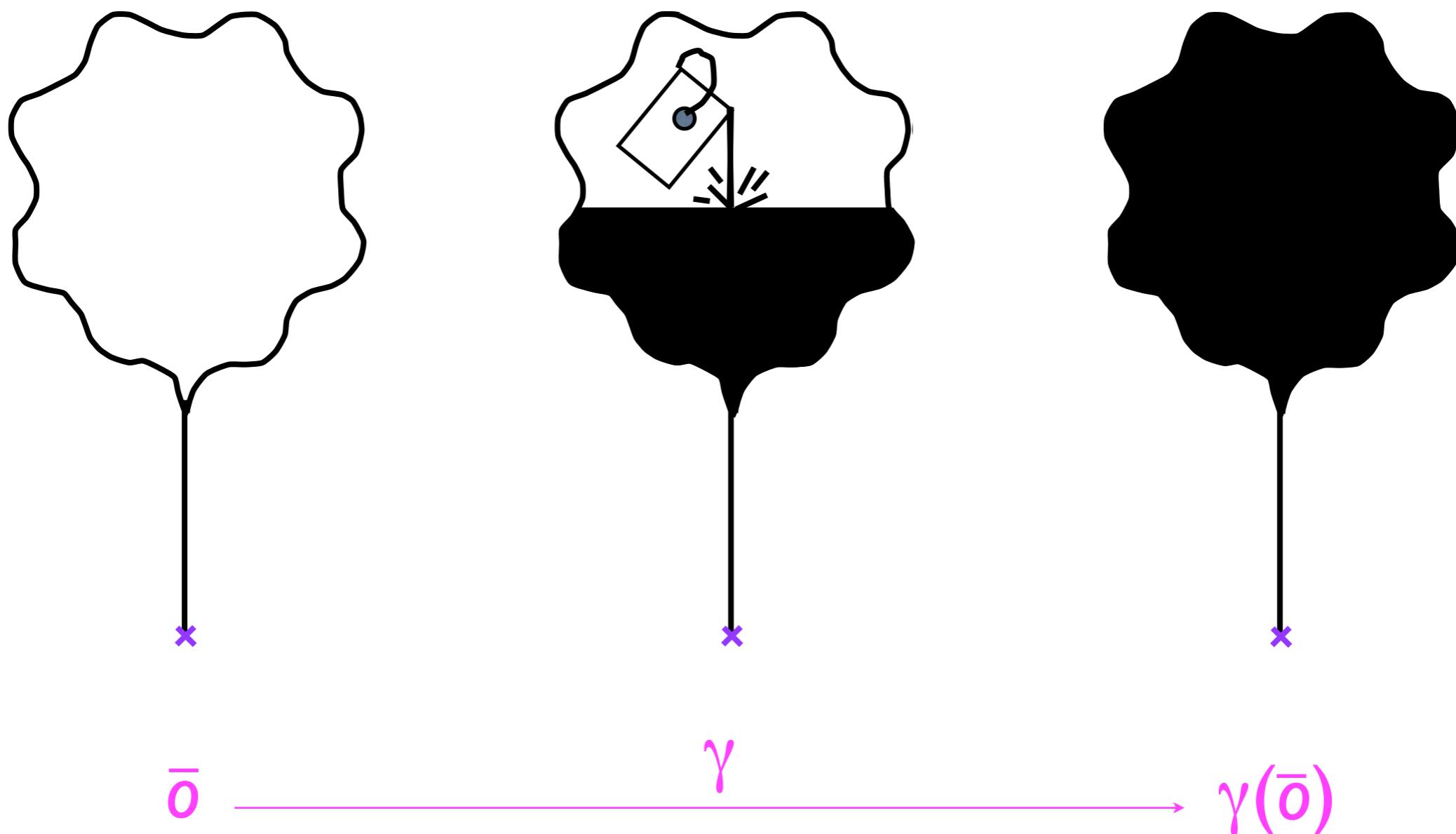
Oval outlining brush:



Concretization function

- A **concretization function** $\gamma \in \bar{O} \rightarrow O$ maps an abstract object $\bar{o} \in \bar{O}$ to the concrete objects $\gamma(\bar{o}) \in O$ that it represents/approximates
- $\gamma(\bar{o})$ is the **concrete meaning/semantics** of \bar{o}

Example of concretization

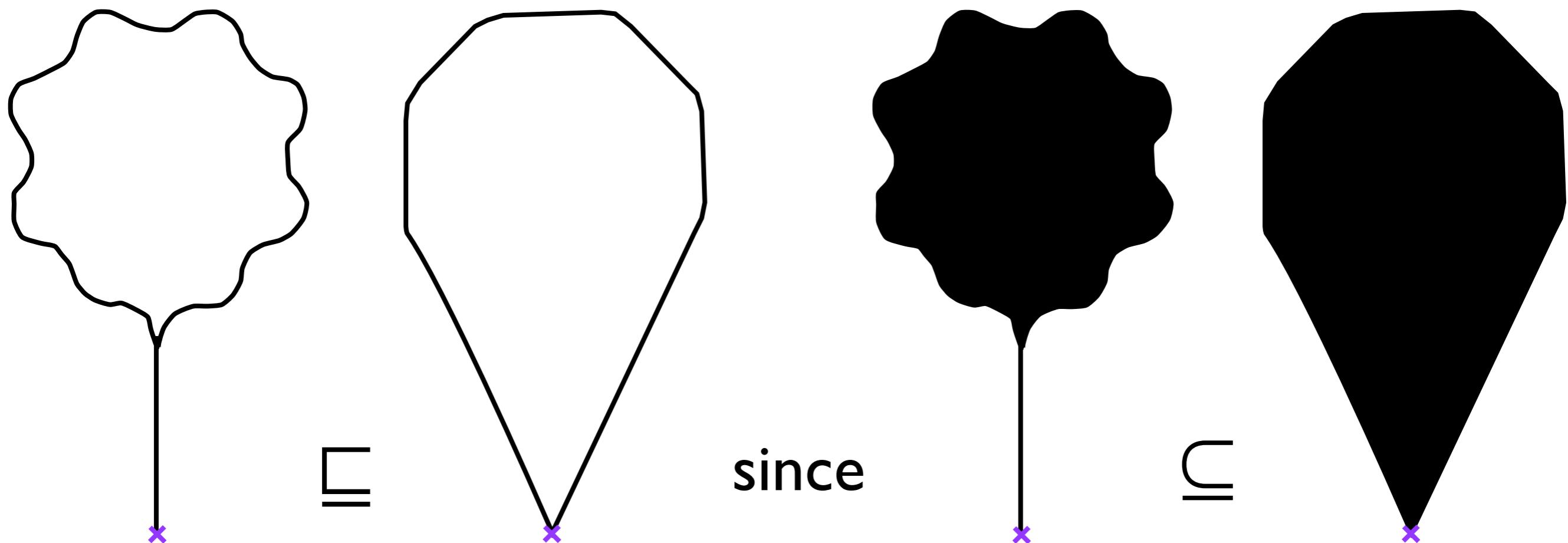


Abstract logical operation: abstract inclusion

- The **abstract flower inclusion** is defined as

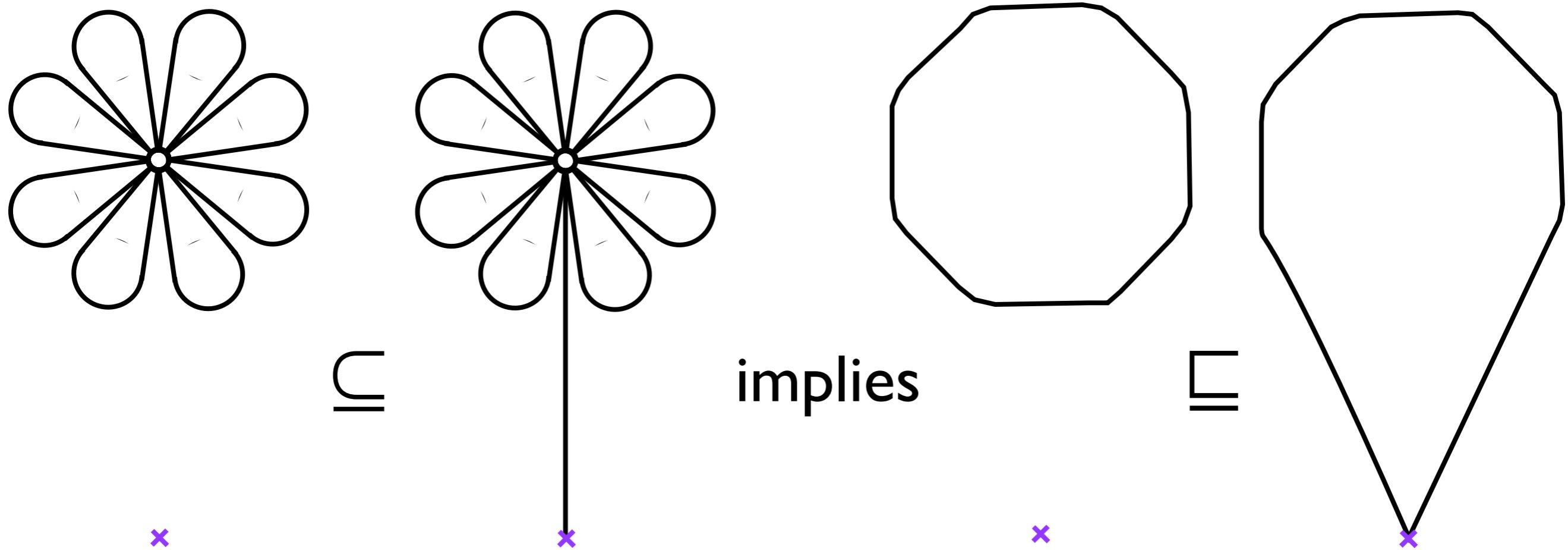
$$\bar{o}_1 \sqsubseteq \bar{o}_2 \quad \text{if and only if} \quad \gamma(\bar{o}_1) \subseteq \gamma(\bar{o}_2)$$

- Example:



Galois connection I/4

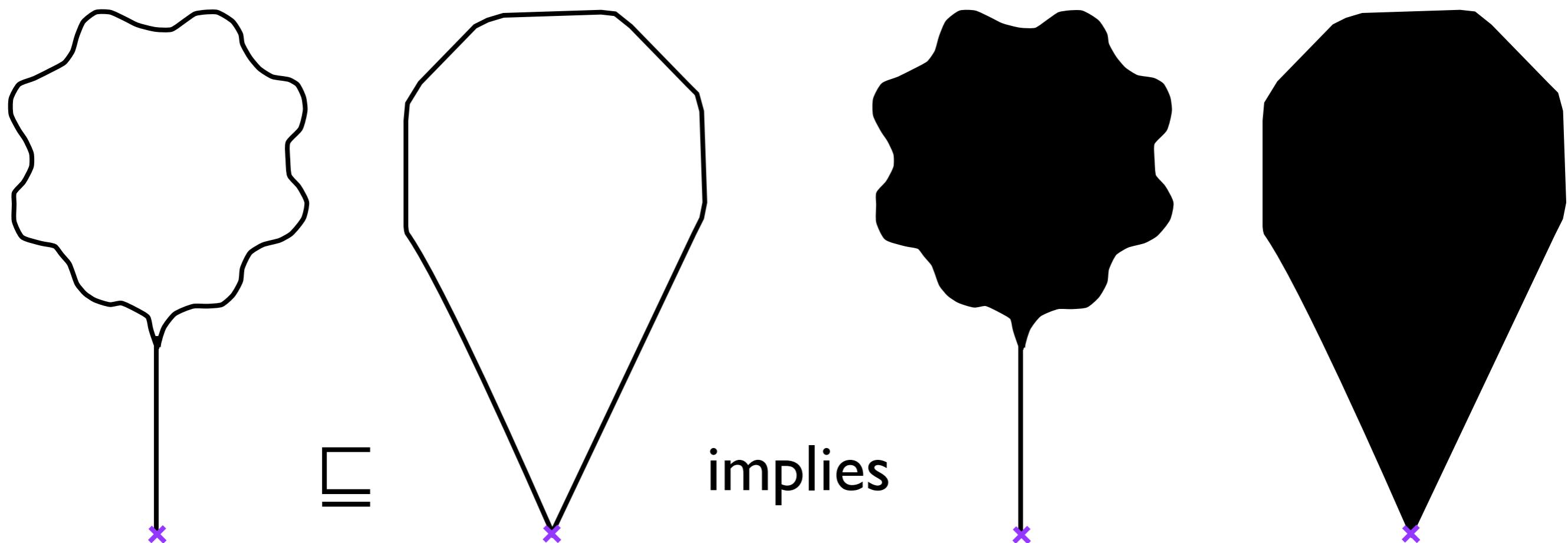
- α is increasing



The larger the concrete, the larger the abstract

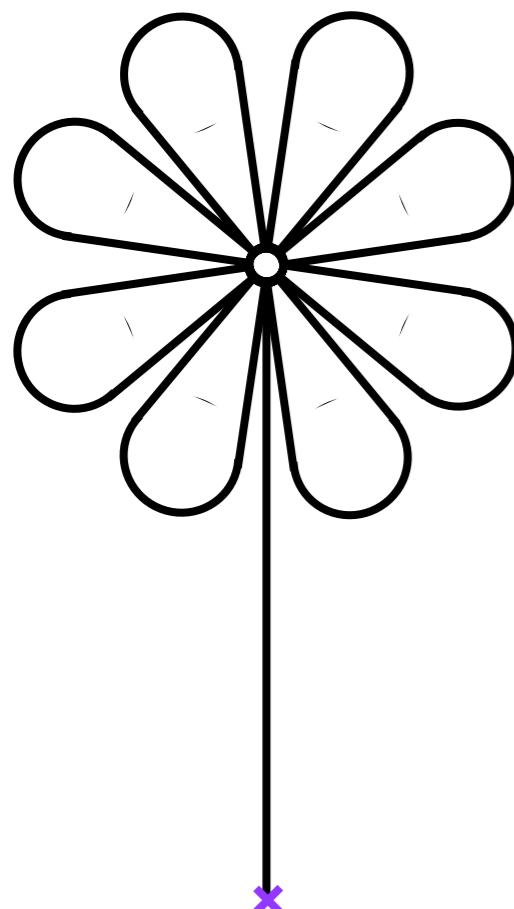
Galois connection 2/4

- γ is increasing
- Proof: by definition of \sqsubseteq , $\bar{o}_1 \sqsubseteq \bar{o}_2$ implies $\gamma(\bar{o}_1) \subseteq \gamma(\bar{o}_2)$

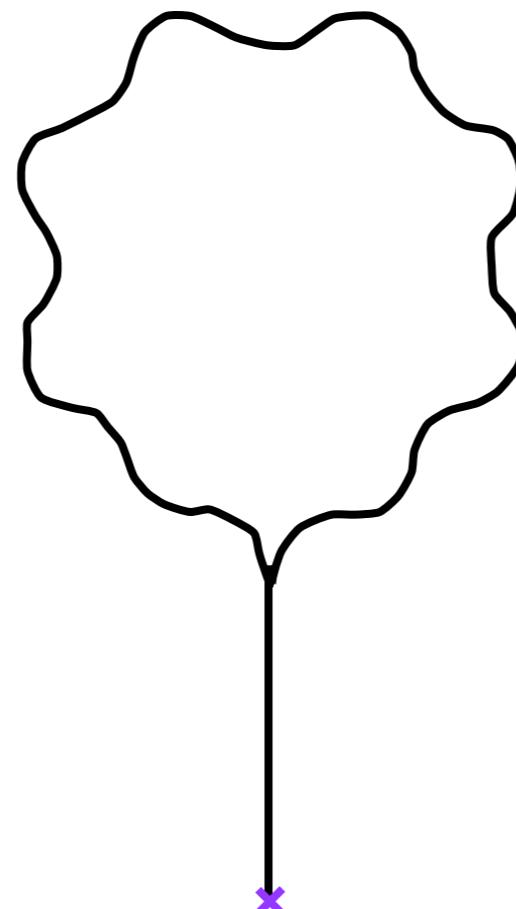


Galois connection 3/4

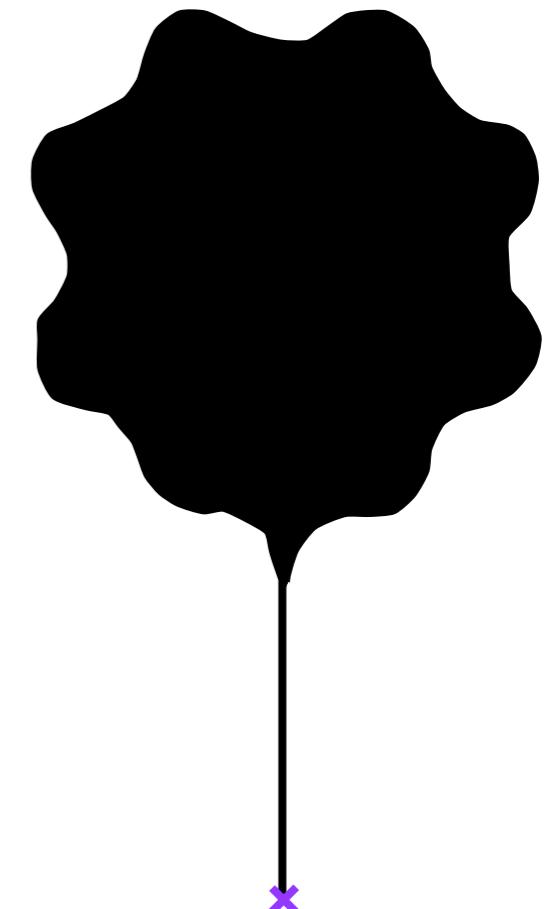
- For all concrete objects $x \in O$, $x \subseteq \gamma \circ \alpha(x)$
- Intuition: **soudness** (over-approximation)



flower



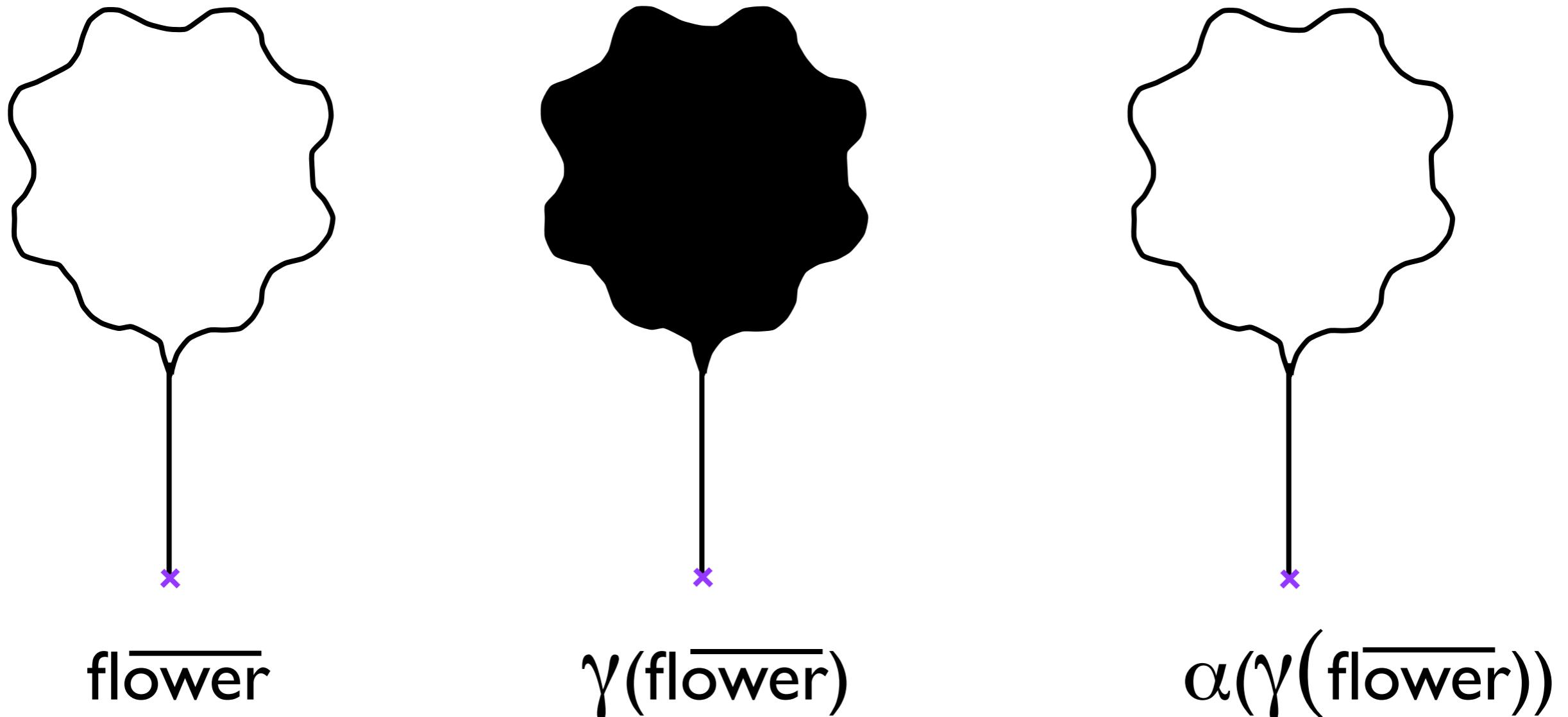
$\alpha(\text{flower})$



$\gamma(\alpha(\text{flower}))$

Galois connection 4/4

- For all abstract objects $y \in \bar{O}$, $\alpha \circ \gamma(y) = y$
- Intuition: α returns the **most precise abstraction**



Galois connection: all in one

- Notation:

$$\langle O, \subseteq \rangle \xrightleftharpoons[\alpha]{\gamma} \langle \bar{O}, \sqsubseteq \rangle$$

- Equivalent definition

$$\forall o \in O, \bar{o} \in \bar{O}: \alpha(o) \sqsubseteq \bar{o} \quad \text{iff} \quad o \subseteq \gamma(\bar{o})$$

and

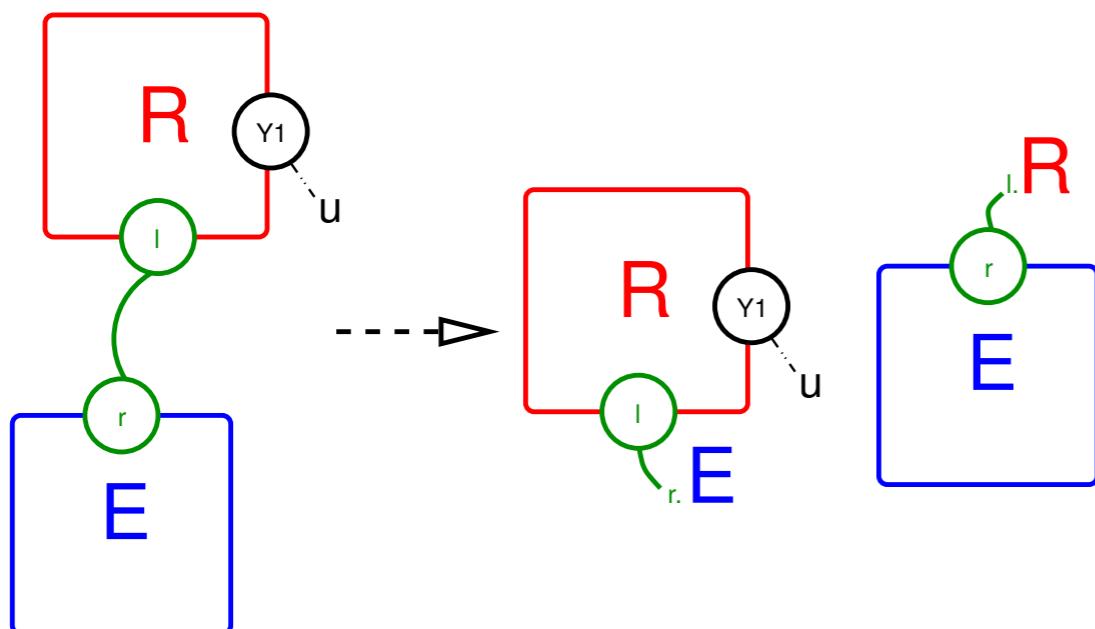
⇒ soundness
⇐ best abstraction

α surjective

(otherwise $\alpha \circ \gamma(y) \sqsubseteq y$)

Example of biological abstraction

- Let $Species$ be the set of all chemical species ($C, c_1, c'_1, \dots, c_k, c'_k, \dots \in Species$).
- Let $Local_view$ be the set of all local views
- Let $\alpha \in \wp(Species) \rightarrow \wp(Local_view)$ be the function that maps any set of complexes into the set of their local views.



$$\begin{aligned} \alpha(\{R(Y1 \sim u, !l1), E(r!1)\}) \\ = \{R(Y1 \sim u, !l_r.E); E(r!!l.R)\} \end{aligned}$$

- The function α defines a Galois connexion: $\wp(Species) \xrightleftharpoons[\alpha]{\gamma} \wp(Local_view)$
- (The function γ maps a set of local views into the set of complexes that can be built with these local views).

Jérôme Feret. Reachability Analysis of Biological Signalling Pathways by Abstract Interpretation. In Proceedings of the International Conference of Computational Methods in Sciences and Engineering (ICCMSE'2007), Corfu, Greece, 25–30 september, T.E. Simos(Ed.), 2007, American Institute of Physics conference proceedings 963.(2), pp 619–622.

Vincent Danos, Jérôme Feret, Walter Fontana, Jean Krivine: Abstract Interpretation of Cellular Signalling Networks. VMCAI 2008: 83-97

Specification of abstract operations

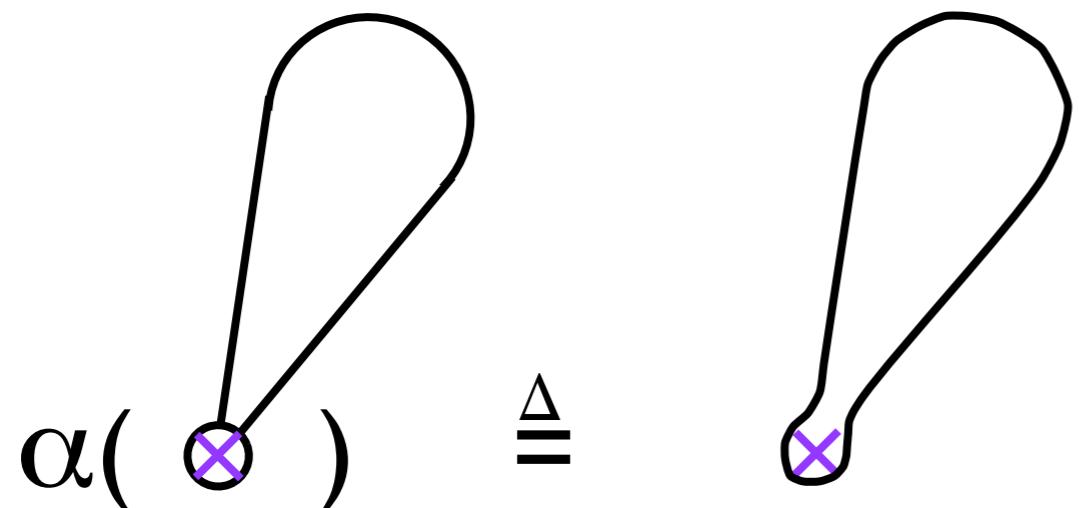
- $\text{cte} \triangleq \alpha(\text{cte})$ constant
- $\text{op}_1(x) \triangleq \alpha(\text{op}_1(\gamma(x)))$ unary
- $\text{op}_2(x, y) \triangleq \alpha(\text{op}_2(\gamma(x), \gamma(y)))$ binary
- ...
- $\text{op}_n(x_1, \dots, x_n) \triangleq \alpha(\text{op}_n(\gamma(x_1), \dots, \gamma(x_n)))$ *n*-ary

- Can be less precise

$$\alpha(\text{op}_n(\gamma(x_1), \dots, \gamma(x_n))) \sqsubseteq \text{op}_n(x_1, \dots, x_n)$$

Abstract constants

- **Abstract petal**



Abstract rotation

- Abstract rotation

$$\bar{r}[a](\bar{o}) \triangleq \alpha(r[a](\gamma(\bar{o})))$$

definition

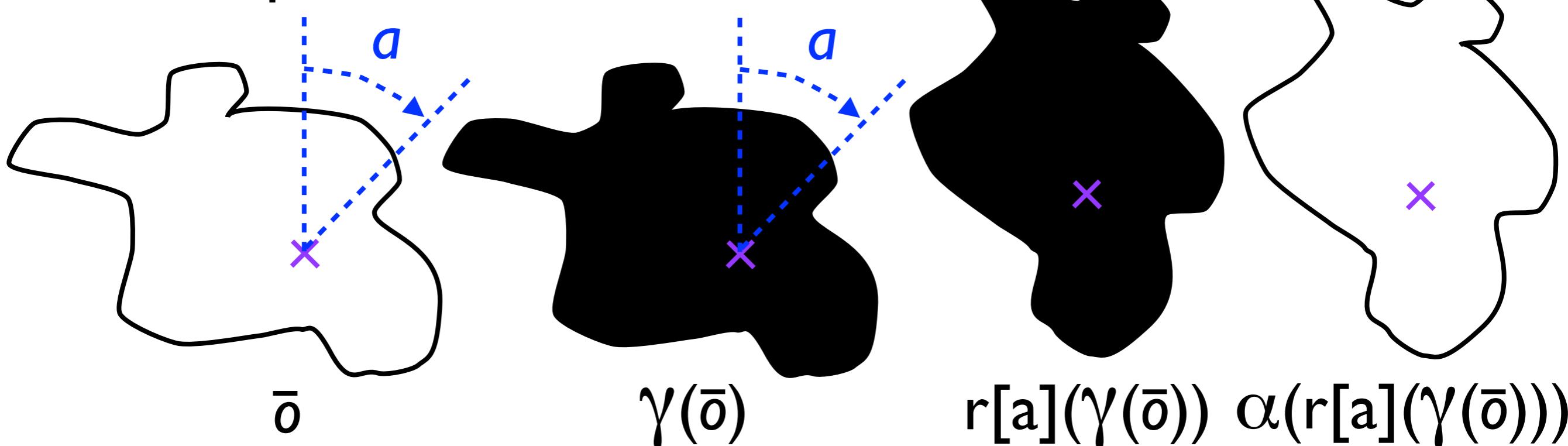
$$= \alpha(\gamma(r[a](\bar{o})))$$

rotation preserves shape

$$= r[a](\bar{o})$$

identity

- Example:



A commutation theorem on rotation

- $\alpha(r[a](y)) = \bar{r}[a](\alpha(y)) \quad \forall y \in \bar{\sigma}$

- Proof:

$$\alpha(r[a](y))$$

$\alpha \circ \gamma$ is the identity

$$= \alpha(\gamma(\alpha(r[a](y))))$$

α preserves rotation

$$= \alpha(\gamma(r[a](\alpha(y))))$$

γ preserves rotation

$$= \alpha(r[a](\gamma(\alpha(y))))$$

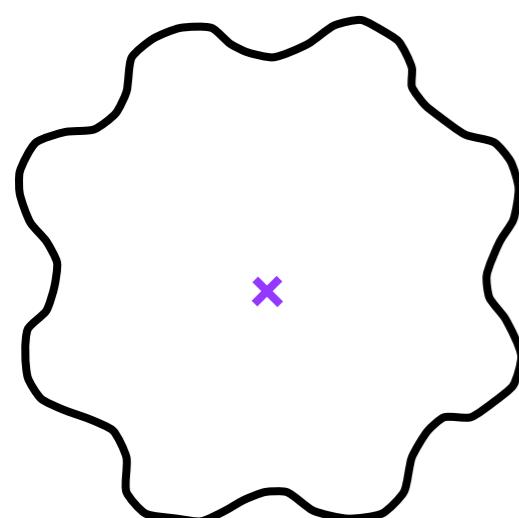
definition abstract rotation

$$\stackrel{\Delta}{=} \bar{r}[a](\alpha(y))$$

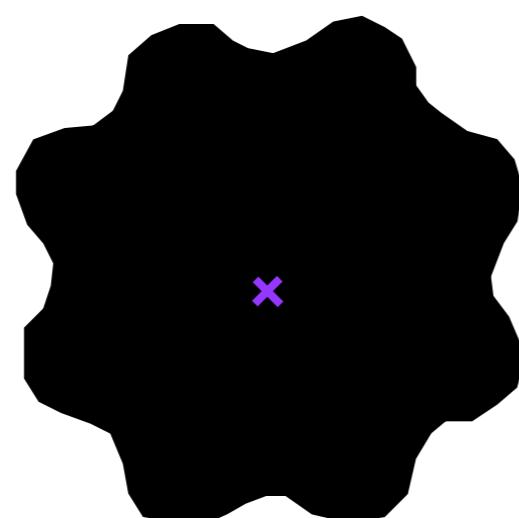
Abstract stems

- $\overline{\text{stem}}(y) \triangleq \alpha(\text{stem}(\gamma(y)))$

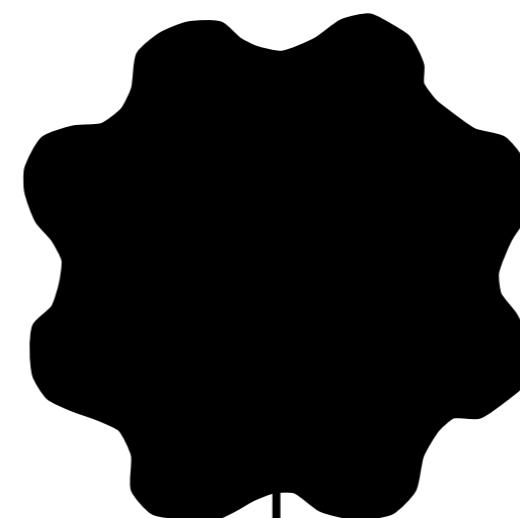
- Example:



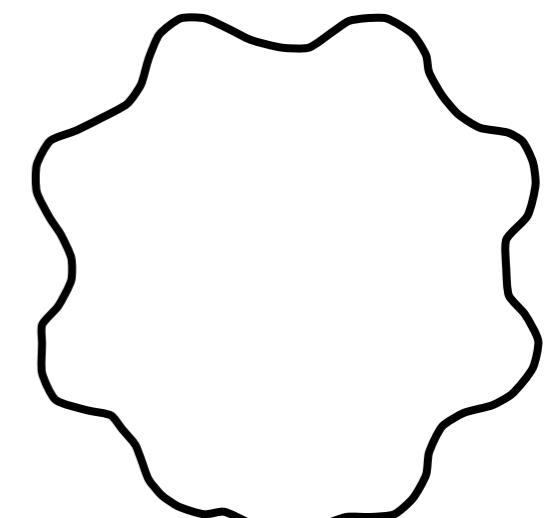
corolla



$\gamma(\text{corolla})$



$\text{stem}(\gamma(\text{corolla}))$



$\alpha(\text{stem}(\gamma(\text{corolla})))$

Abstract union

- $x \sqcup y \triangleq \alpha(\gamma(x) \cup \gamma(y))$

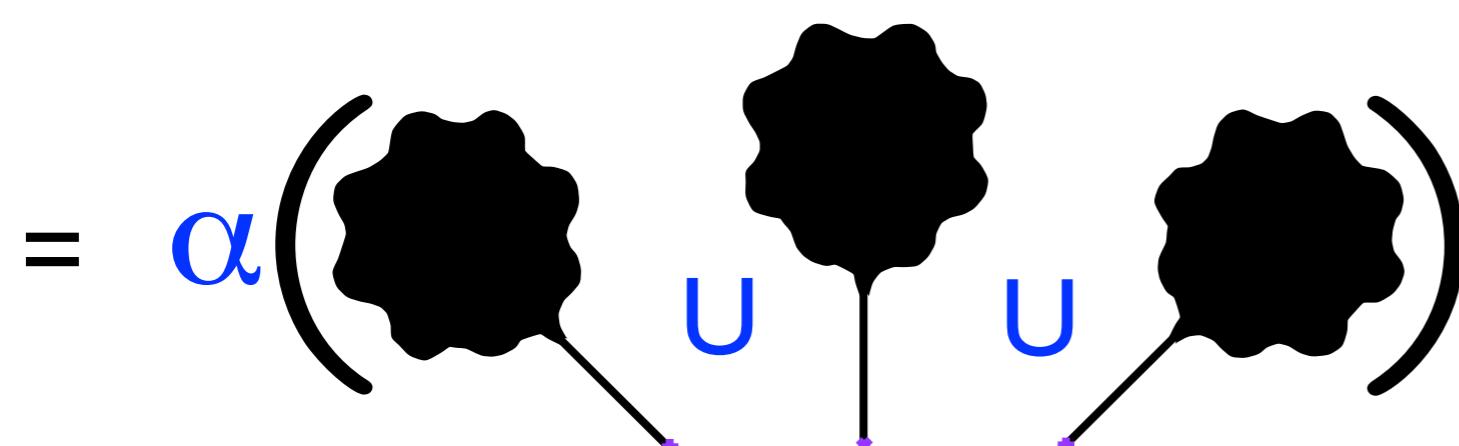
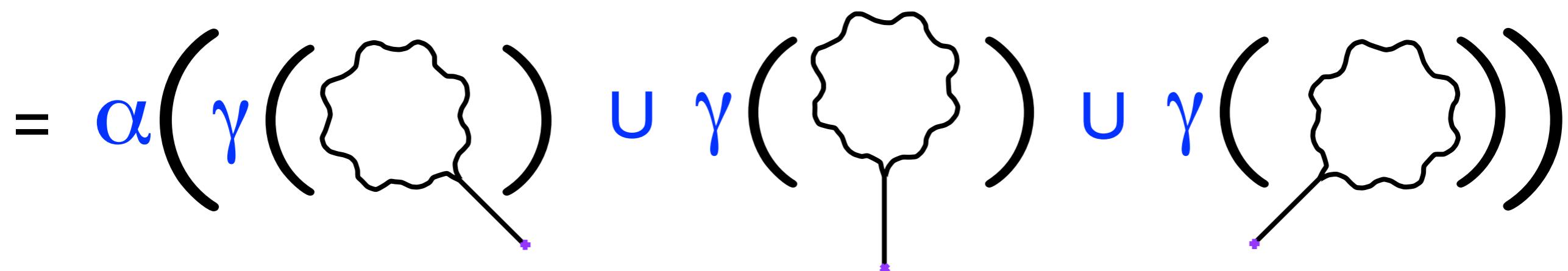
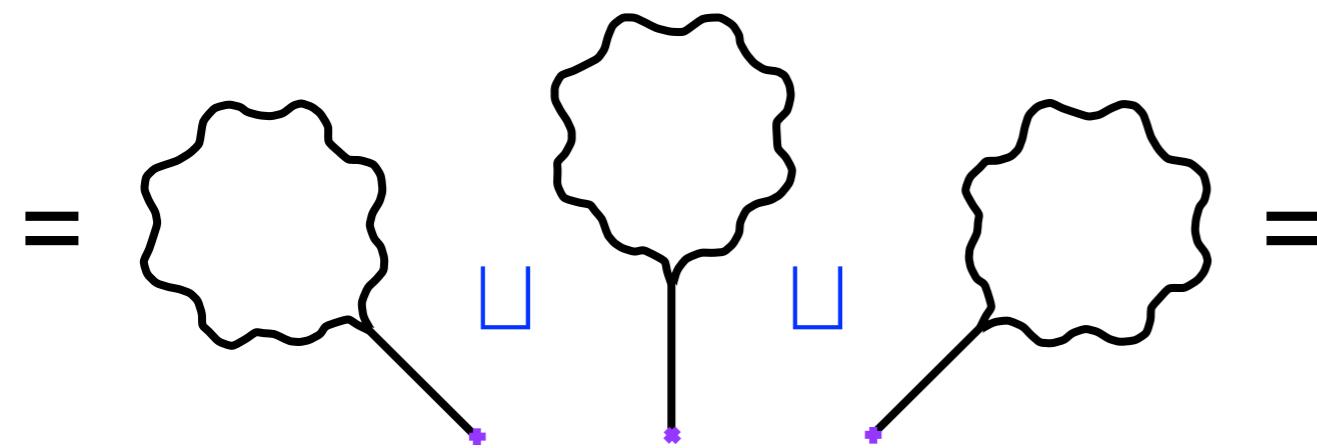
- Join abstraction theorem:

$$\alpha(x) \sqcup \alpha(y) = \alpha(x \cup y)$$

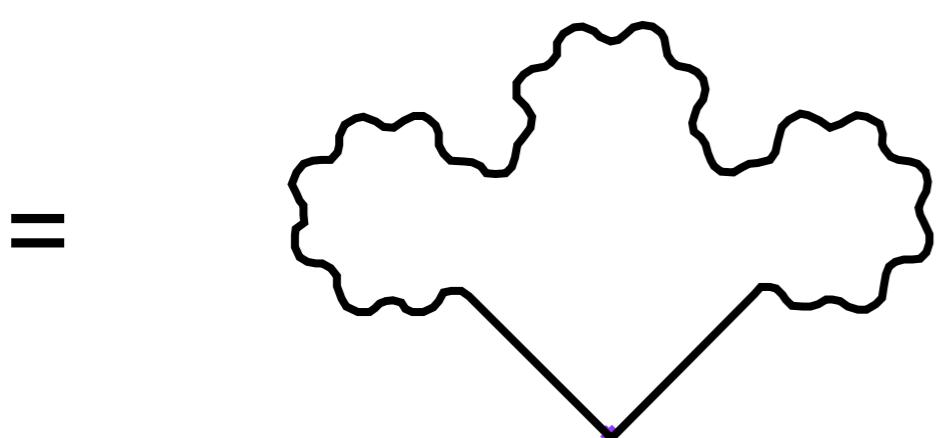
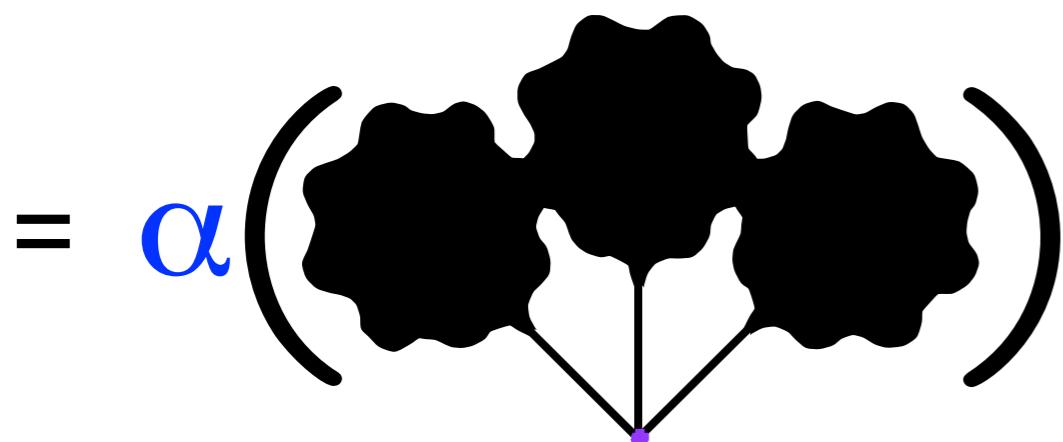
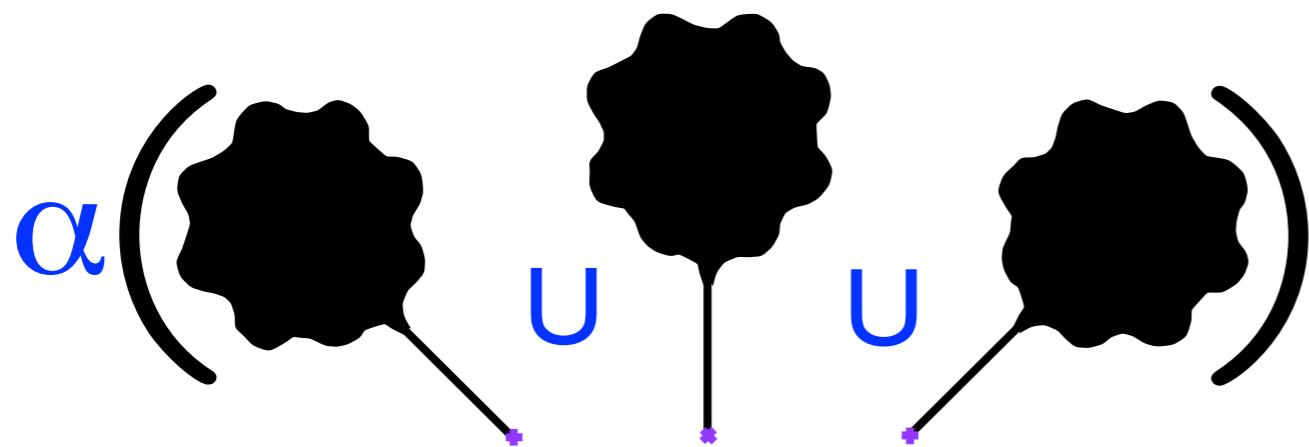
Galois connection

Abstract bouquet (cont'd)

- $\overline{\text{bouquet}} = \bar{r}[-45](\text{flower}) \sqcup \text{flower} \sqcup \bar{r}[45](\text{flower})$



Abstract bouquet (cont'd)



A theorem on abstract bouquets

- $\overline{\text{bouquet}}$

$$= \bar{r}[-45](\overline{\text{flower}}) \sqcup \overline{\text{flower}} \sqcup \bar{r}[45](\overline{\text{flower}})$$

$\text{flower} = \alpha(\text{flower})$

$$= \bar{r}[-45](\alpha(\text{flower})) \sqcup \alpha(\text{flower}) \sqcup \bar{r}[45](\alpha(\text{flower}))$$

rotation commutation theorem

$$= \alpha(r[-45](\text{flower})) \sqcup \alpha(\text{flower}) \sqcup \alpha(r[45](\text{flower}))$$

join abstraction theorem

$$= \alpha(r[-45](\text{flower}) \mathbf{U} \text{flower} \mathbf{U} r[45](\text{flower}))$$

definition concrete bouquet

$$= \alpha(\text{bouquet})$$

Abstract corolla transformer

- Corolla transformer commutation theorem:

- $\alpha(F(x))$

$$= \alpha(\text{petal} \cup r[45](x)) \quad \text{definition } F$$

$$= \alpha(\text{petal}) \sqcup \alpha(r[45](x)) \quad \text{join abstraction theorem}$$

$$= \overline{\text{petal}} \sqcup \alpha(r[45](x)) \quad \text{definition abstract petal}$$

$$= \overline{\text{petal}} \sqcup \bar{r}[45](\alpha(x)) \quad \text{rotation commut. theorem}$$

$$= \bar{F}(\alpha(x))$$

by defining $\bar{F}(y) = \overline{\text{petal}} \sqcup \bar{r}[45](y)$

Abstract transformer

- An abstract transformer \overline{F} is

- *Sound* iff

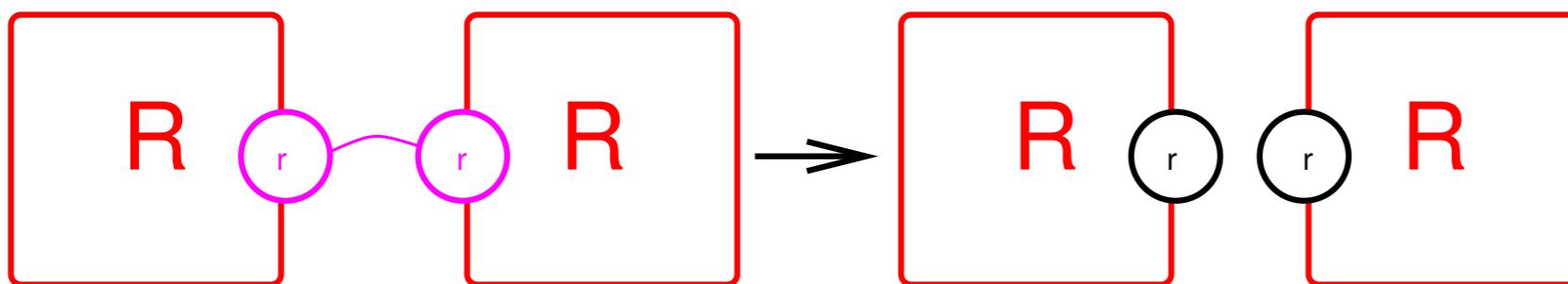
$$\forall P \in \mathcal{P} : \alpha \circ F(P) \sqsubseteq \overline{F} \circ \alpha(P)$$

- *Complete* iff

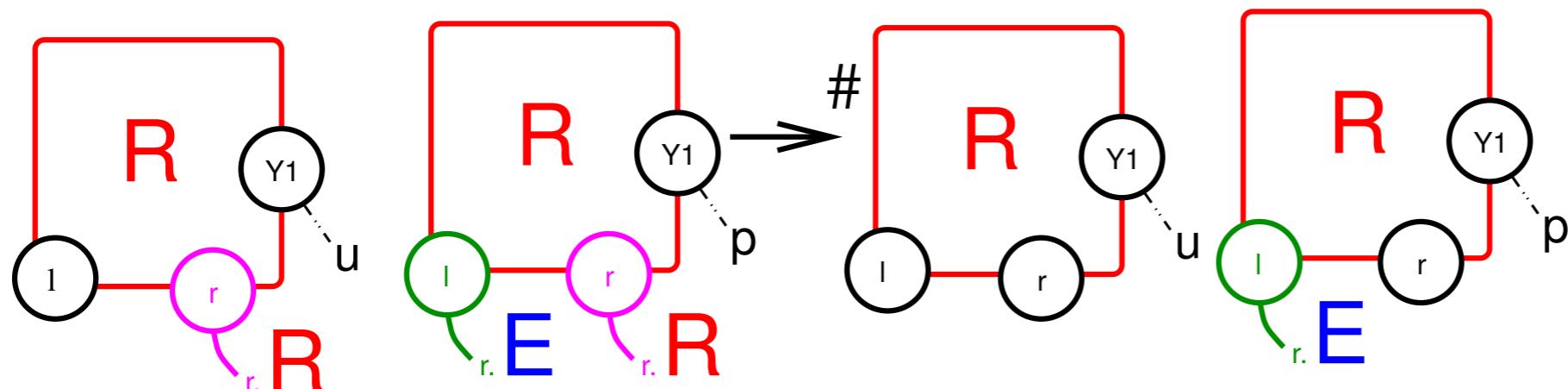
$$\forall P \in \mathcal{P} : \alpha \circ F(P) = \overline{F} \circ \alpha(P)$$

Example of biological transformer

- Concrete rule:



- Abstract rule:



Fixpoint abstraction

- For an increasing and sound abstract transformer, we have a *fixpoint approximation*

$$\alpha(\text{lfp}^{\leqslant F}) \sqsubseteq \text{lfp}^{\sqsubseteq \overline{F}}$$

- For an increasing, sound, and complete abstract transformer, we have an *exact fixpoint abstraction*

$$\alpha(\text{lfp}^{\leqslant F}) = \text{lfp}^{\sqsubseteq \overline{F}}$$

Abstract corolla

- $\overline{\text{corolla}} = \alpha(\text{corolla}) = \alpha(\text{lfp}^{\subseteq} F) = \text{lfp}^{\subseteq} \bar{F}$

since $F(x) = \text{petal} \cup r[45](x)$

and $\bar{F}(y) = \overline{\text{petal}} \sqcup \bar{r}[45](y)$

do commute: $\alpha(F(x)) = \bar{F}(\alpha(x))$

Iterates for the abstract corolla

*

\bar{F}^0

\bar{F}^1

\bar{F}^2

\bar{F}^3

\bar{F}^4

*

*

*

*

*

\bar{F}^5

\bar{F}^6

\bar{F}^7

\bar{F}^8

$\bar{F}^n, n \geq 8$

Example of biological fixpoint

- Concrete reachability transformer:

$$\mathbb{F} : \begin{cases} \wp(\text{Species}) & \rightarrow \wp(\text{Species}) \\ X & \mapsto X \cup \left\{ c'_j \mid \begin{array}{l} \exists R_k \in \mathcal{R}, c_1, \dots, c_m \in X, \\ c_1, \dots, c_m \xrightarrow{R_k} c'_1, \dots, c'_n \end{array} \right\} \end{cases}$$

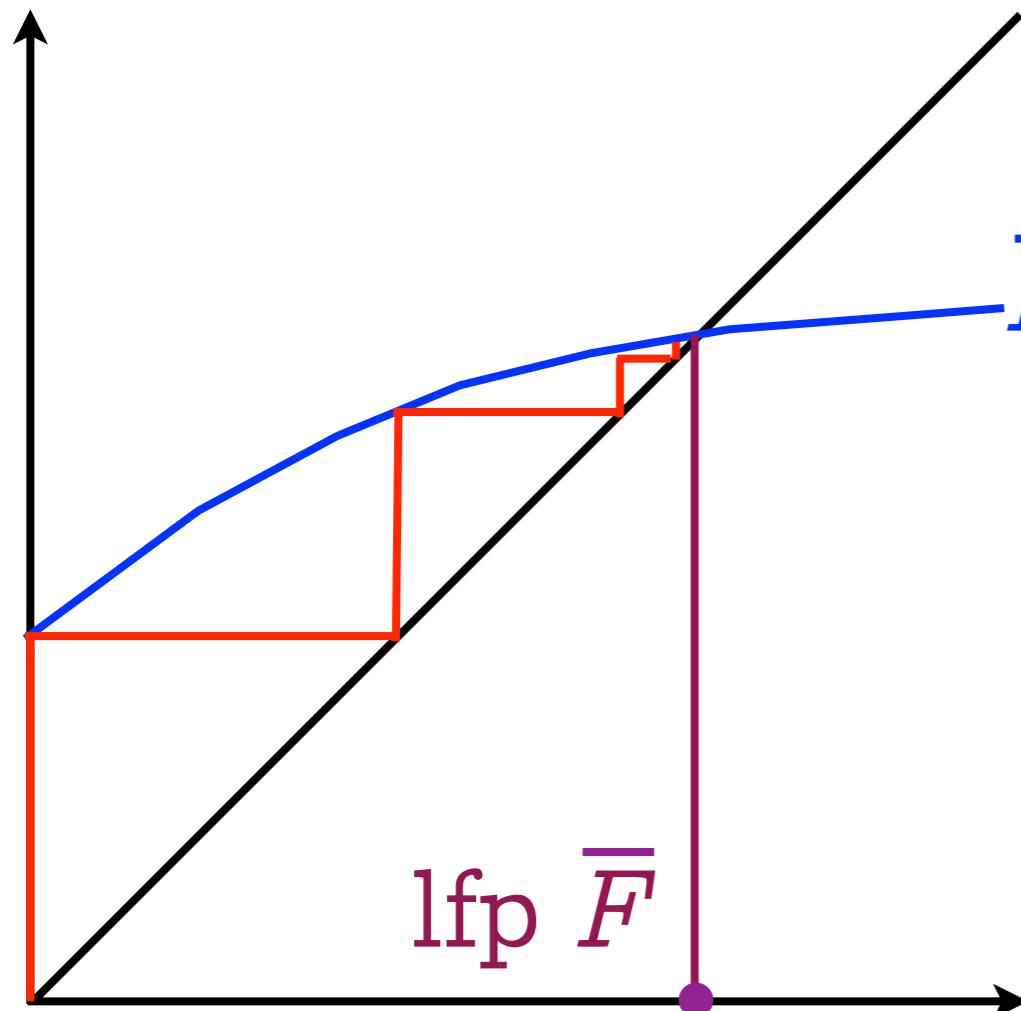
- Reachable species from Species_0

$$\text{lfp} \subseteq \lambda X. \text{Species}_0 \cup \mathbb{F}(X)$$

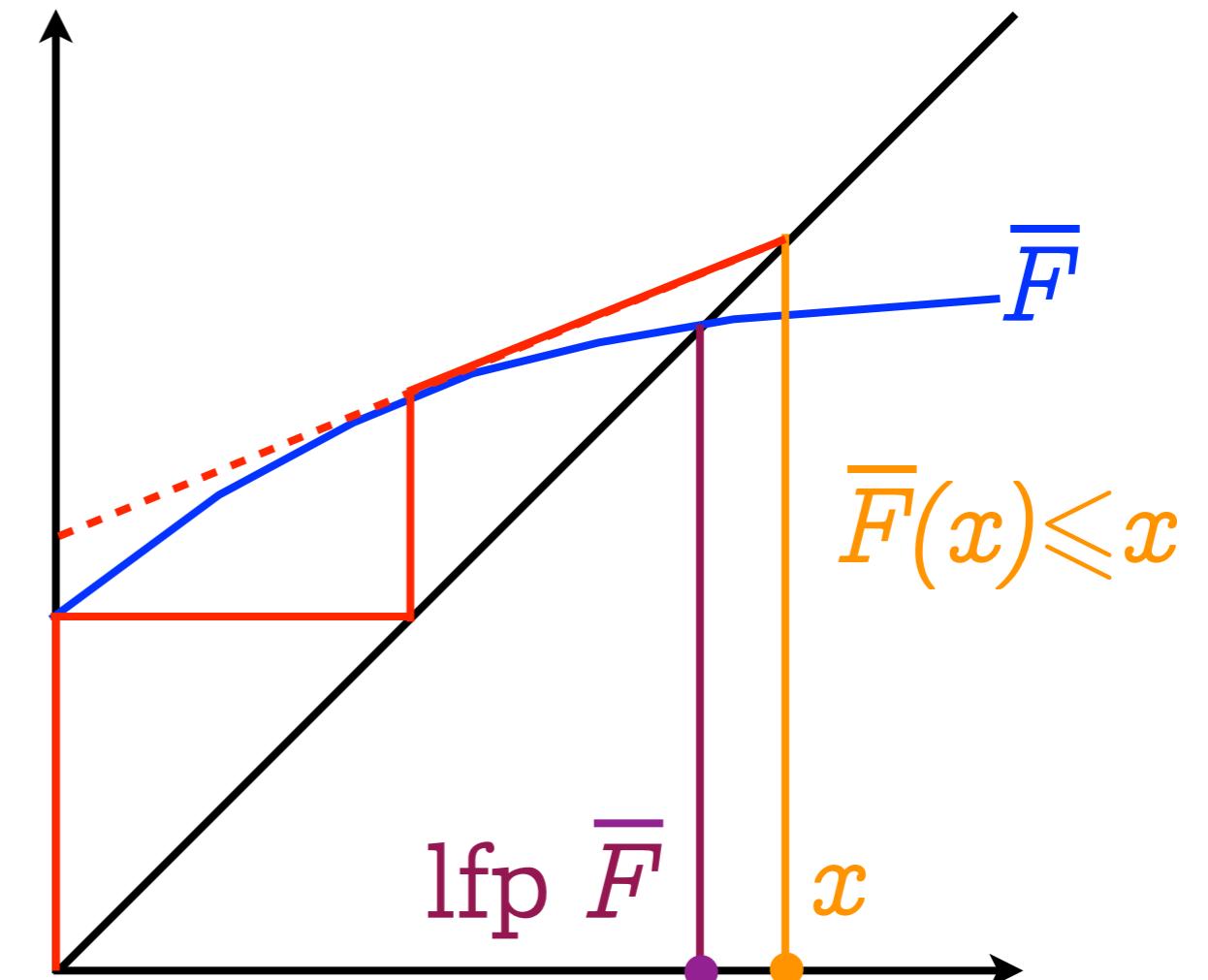
- Abstract reachability transformer:

$$\mathbb{F}^\sharp : \begin{cases} \wp(\text{Local_view}) & \rightarrow \wp(\text{Local_view}) \\ X & \mapsto X \cup \left\{ lV'_j \mid \begin{array}{l} \exists R_k \in \mathcal{R}, lV_1, \dots, lV_m \in X, \\ lV_1, \dots, lV_m \xrightarrow{\sharp}_{R_k} lV'_1, \dots, lV'_n \end{array} \right\} \end{cases}$$

Convergence acceleration with widening



Infinite iteration



Accelerated iteration with widening
(e.g. with a widening based on the derivative as in Newton-Raphson method)

Abstraction of the graphical language

- Any graphical program can be abstracted by replacing the concrete objects/operations by abstract ones
- The soundness follows by induction on the syntax of programs

Applications of Abstract Interpretation in Computer Science

See Software Horror Stories (www.cs.tau.ac.il/~nachumd/horror.html)

Software

- **Ait**: static analysis of the worst-case execution time of control/command software (www.absint.com/ait/)
- **Astrée**: proof of absence of runtime errors in embedded synchronous real time control/command software (www.absint.com/astreee/), **AstréeA** for asynchronous programs (www.astreea.ens.fr/)
- **C Global Surveyor**, NASA, static analyzer for flight software of NASA missions (www.cmu.edu/silicon-valley/faculty-staff/venet-arnaud.html)
- **Checkmate**: static analyzer of multi-threaded Java programs (www.piетro.ferrara.name/checkmate/)
- **CodeContracts Static Checker**, Microsoft (msdn.microsoft.com/en-us/devlabs/dd491992.aspx)
- **Fluctuat**: static analysis of the precision of numerical computations (www-list.cea.fr/labos/gb/LSL/fluctuat/index.html)

Software

- **Infer**: Static analyzer for C/C++ (monoidics.com/)
- **Julia**: static analyzer for Java and Android programs (www.juliasoft.com/juliasoft-android-java-verification.aspx?Id=201177234649)
- **Predator**: static analyzer of C dynamic data structures using separation logic (www.fit.vutbr.cz/research/groups/verifit/tools/predator/)
- **Terminator**: termination proof (www.cs.ucl.ac.uk/staff/p.ohearn/Invader/Invader_Invader_Home.html)
- etc

Libraries:

- **Apron** numerical domains library (apron.cri.ensmp.fr/library/)
- **Parma Polyhedral Library** (bugseng.com/products/ppl/)
- etc

Hardware

- (Generalized) symbolic trajectory evaluation (Intel)

Intel's Successes with Formal Methods

John Harrison

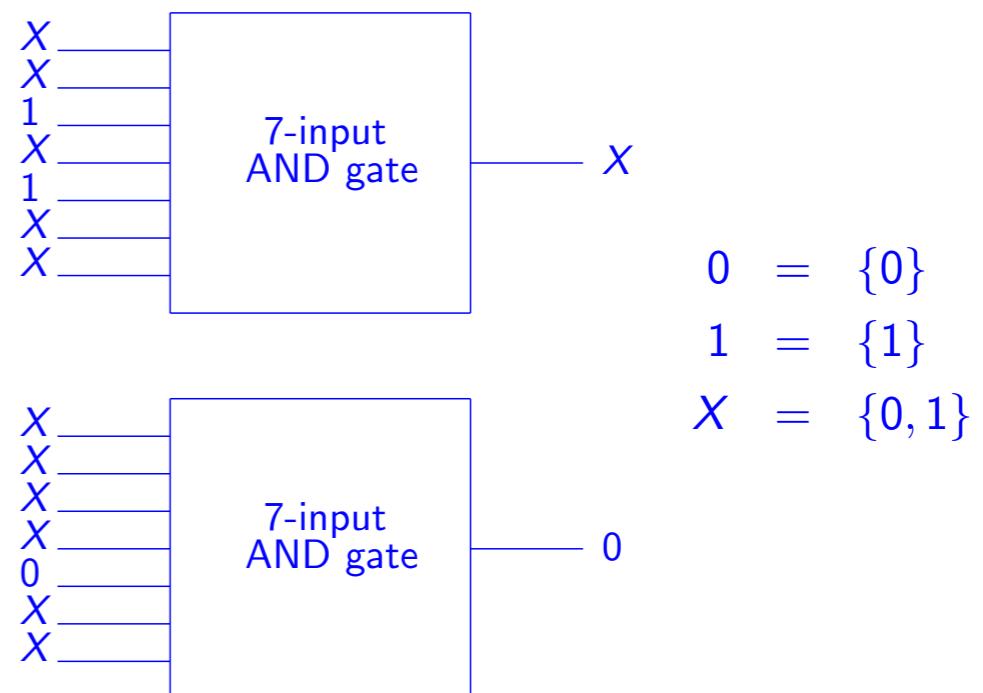
Intel Corporation

15 March 2012

Tsinghua software day, March 15, 2012, Tsinghua University, Beijing, China

Example of ternary simulation

If some inputs are undefined, the output often is too, but not always:



Jin Yang and Carl-Johan H. Seger, *Generalized Symbolic Trajectory Evaluation – Abstraction in Action*, Formal Methods in Computer-Aided Design, Lecture Notes in Computer Science, 2002, Volume 2517/2002, 70–87.

Jin Yang; Seger, C.-J.H.; *Introduction to generalized symbolic trajectory evaluation*, IEEE Transactions on Very Large Scale Integration (VLSI) Systems 11(3), June 2003, 345–353.

System biology

- See SBFM'2012 !

Conclusion

Conclusion

If the simulation/analysis/checking of your model does not scale up, consider using (sound (and complete)) abstractions